

HOSE-Index Series

Data as at: 31 May 2021

HOSE-Index is a market-capitalization weighted investable index series comprised of companies listed on the Hochiminh Stock Exchange (HOSE). The constituents are screened for eligibility, free-float and liquidity. HOSE-Index Series covers over 90% total traded value and 80% HOSE's market capitalization.

HOSE-Index Structure

VNAllshare is a market-capitalization weighted index comprises all HOSE-listed companies that passed screening for eligibility, free-float and liquidity.

VN30 is a market-capitalization weighted index which measures the performance of 30 large market-capitalization and high liquidity stocks from VNAllshare.

VNMidcap is a market-capitalization weighted index which measures the performance of 70 medium market-capitalization companies from VNAllshare.

VN100 is a market-capitalization weighted index combining constituents from both VN30 and VNMidcap.

VNSmallcap is a market-capitalization weighted index and designed to measure the performance of small market-capitalization companies from VNAllshare.

VNAllshare Sector Indices is a series of sector index comprised of constituents from VNAllshare classified according to the Global Industry Classification Standard (GICS®).

Features

Objective

The indices are designed for use in the creation of index track funds, derivatives and as a performance benchmark.

Investability

Stocks are selected and calculated by free-float to ensure index is investable.

Liquidity

Stocks are screened to ensure index is tradable.

Transparency

The indices use a transparent, rules-based construction process. Index Rules are freely available on the HOSE website.

Availability

The indices are calculated in real-time or end of day.

Review Dates

Semi-annually in January and July.

Capping Limit

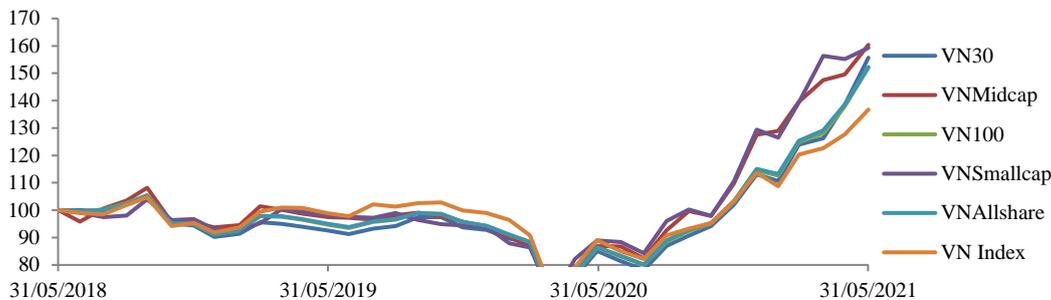
10%(Not applied for VNAllshare Sector)

Index Methodology

Free-floatadjusted market-capitalization weighted index.

Industry Classification Benchmark
Index constituents are classified in accordance with the Global Industry Classification Standard (GICS®).

Indices performance from 31/05/2018 to 31/05/2021



* Indices values are simulatedly rebased to 100 at 31/05/2018

Indices Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNAllShare	13.66%	3.40%	21.25%	9.86%	21.50%	47.37%	76.14%	52.28%	123.34%	15.05%	17.43%
VN30	14.10%	2.73%	20.73%	12.38%	25.66%	52.69%	82.92%	55.68%	138.82%	15.90%	19.02%
VNMidcap	14.65%	1.82%	35.92%	7.24%	14.91%	46.22%	84.46%	60.38%	101.57%	17.05%	15.05%
VN100	13.23%	3.43%	20.69%	10.31%	21.86%	47.73%	76.39%	52.10%	127.79%	15.00%	17.90%
VNSmallcap	18.77%	1.72%	37.64%	2.64%	14.35%	44.17%	79.11%	59.27%	73.74%	16.78%	11.68%
VN-Index	10.27%	7.76%	14.19%	7.15%	13.66%	32.40%	53.63%	36.74%	114.74%	10.99%	16.52%

Indices Volatility

	3 months	6 months	1 year	3 years	5 years
VNAllShare	8.22%	17.12%	21.88%	33.78%	39.45%
VN30	8.88%	17.96%	22.82%	35.37%	42.06%
VNMidcap	9.31%	17.23%	22.11%	32.81%	38.35%
VN100	8.41%	17.38%	22.18%	34.04%	40.00%
VNSmallcap	8.22%	15.59%	20.49%	27.98%	32.39%
VN Index	7.93%	16.47%	21.16%	33.14%	39.80%

Correlation of Indices with VN Index

	3 months	6 months	1 year	3 years	5 years
VNAllShare	98.23%	99.12%	99.10%	97.66%	96.75%
VN30	95.35%	98.04%	98.16%	97.37%	96.58%
VNMidcap	81.15%	89.53%	89.52%	87.33%	83.52%
VN100	97.98%	99.03%	99.04%	98.40%	97.34%
VNSmallcap	69.86%	85.42%	85.36%	81.51%	76.61%

Indices Characteristics

	VNAllshare	VN30	VNMidcap	VN100	VNSmallcap
Number of Constituents	261	30	70	100	161
Full Market-Capitalization (Billion VND)	4,270,833	3,523,918	561,229	4,085,147	185,686
Free-float adjusted Market-Capitalization (Billion VND)	1,676,658	1,323,727	264,990	1,588,717	87,941
Average	6,424	44,124	3,786	15,887	546
Max	139,102	139,102	34,277	139,102	3,684
Min	16	4,016	226	226	16
Median	763	29,723	2,235	3,750	352
Weighted capitalization of largest company	8.30%	10.51%	12.94%	8.76%	4.19%
Weighted capitalization of top-10 companies	55.56%	70.38%	47.43%	58.64%	25.35%

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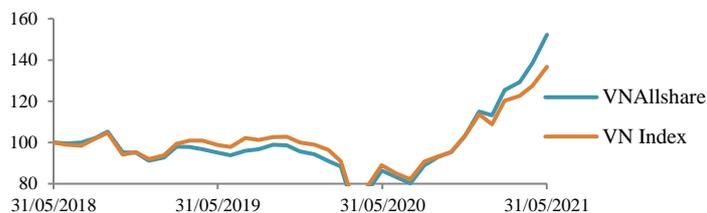
Index Characteristics

Number of Constituents	261
Full Market-Capitalization (Billion VND)	4,270,833
Free-float adjusted Market-Capitalization (Billion VND)	1,676,658
Average	6,424
Max	139,102
Min	16
Median	763
Weighted capitalization of largest company	8.30%
Weighted capitalization of top-10 companies	55.56%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNAllshare: every 5 seconds.
 - VNAllshareTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/05/2018 to 31/05/2021



* Indices values are simulatedly rebased to 100 at 31/05/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNAllshare	8.22%	17.12%	21.88%	33.78%	39.45%

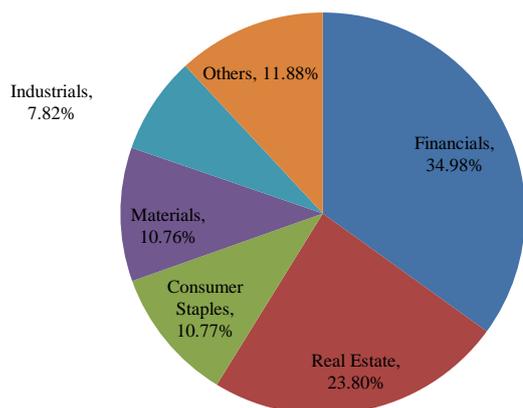
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNAllshare	98.23%	99.12%	99.10%	97.66%	96.75%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNAllshare	13.66%	3.40%	21.25%	9.86%	21.50%	47.37%	76.14%	52.28%	123.34%	15.05%	17.43%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	139,102	8.30%
2	HPG	Materials	129,648	7.73%
3	VPB	Financials	127,401	7.60%
4	TCB	Financials	122,339	7.30%
5	VNM	Consumer Staples	94,570	5.64%
6	VHM	Real Estate	84,376	5.03%
7	MBB	Financials	63,812	3.81%
8	FPT	Information Technology	61,803	3.69%
9	STB	Financials	57,915	3.45%
10	NVL	Real Estate	50,634	3.02%
	Total		931,602	55.56%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	21	586,442	34.98%
Real Estate	37	398,997	23.80%
Consumer Staples	42	180,597	10.77%
Materials	23	180,361	10.76%
Industrials	72	131,097	7.82%

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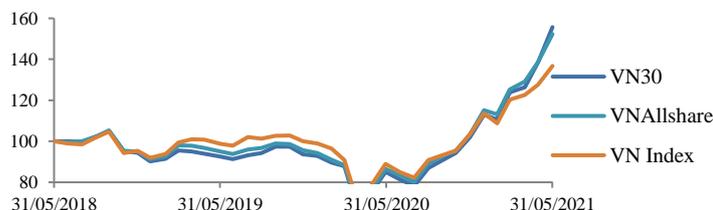
Index Characteristics

Number of Constituents	30
Full Market-Capitalization (Billion VND)	3,523,918
Free-float adjusted Market-Capitalization (Billion VND)	1,323,727
Average	44,124
Max	139,102
Min	4,016
Median	29,723
Weighted capitalization of largest company	10.51%
Weighted capitalization of top-10 companies	70.38%

Information

Base Date: 02/01/2009
Base Value: 313.34
Availability: Price and Total Return
Frequency:
 - VN30: every 5 seconds.
 - VN30TRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/05/2018 to 31/05/2021



* Indices values are simulatedly rebased to 100 at 31/05/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VN30	8.88%	17.96%	22.82%	35.37%	42.06%

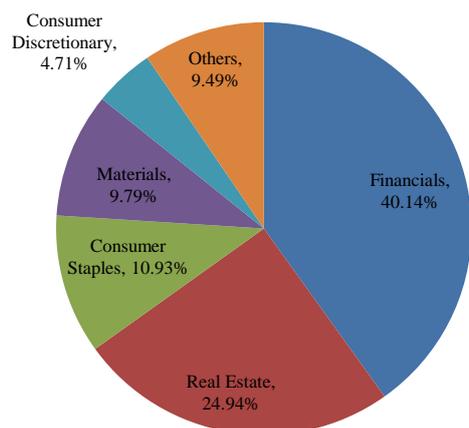
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VN30	95.35%	98.04%	98.16%	97.37%	96.58%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VN30	14.10%	2.73%	20.73%	12.38%	25.66%	52.69%	82.92%	55.68%	138.82%	15.90%	19.02%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	139,102	10.51%
2	HPG	Materials	129,648	9.79%
3	VPB	Financials	127,401	9.62%
4	TCB	Financials	122,339	9.24%
5	VNM	Consumer Staples	94,570	7.14%
6	VHM	Real Estate	84,376	6.37%
7	MBB	Financials	63,812	4.82%
8	FPT	Information Technology	61,803	4.67%
9	STB	Financials	57,915	4.38%
10	NVL	Real Estate	50,634	3.83%
	Total		931,602	70.38%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	11	531,297	40.14%
Real Estate	6	330,157	24.94%
Consumer Staples	3	144,749	10.93%
Materials	1	129,648	9.79%
Consumer Discretionary	2	62,301	4.71%

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VNMidcap is a market-capitalization weighted index which measures the performance of 70 medium market-capitalization companies from VNAllshare.

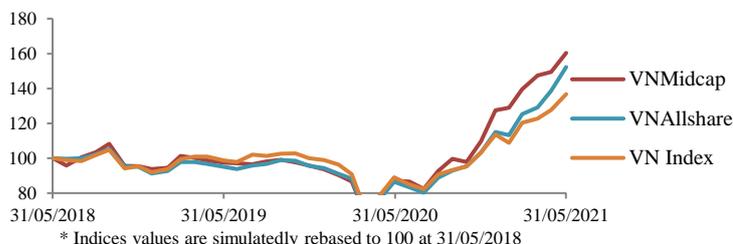
Index Characteristics

Number of Constituents	70
Full Market-Capitalization (Billion VND)	561,229
Free-float adjusted Market-Capitalization (Billion VND)	264,990
Average	3,786
Max	34,277
Min	226
Median	2,235
Weighted capitalization of largest company	12.94%
Weighted capitalization of top-10 companies	47.43%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNMidcap: every 5 seconds.
 - VNMidcapTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/05/2018 to 31/05/2021



Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNMidcap	9.31%	17.23%	22.11%	32.81%	38.35%

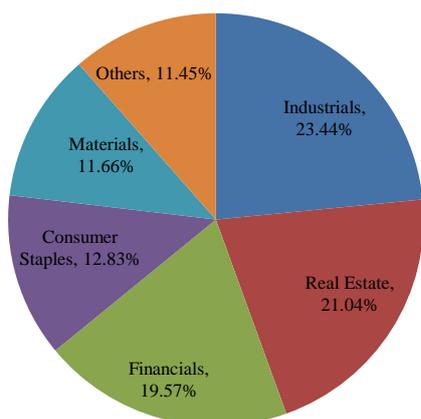
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNMidcap	81.15%	89.53%	89.52%	87.33%	83.52%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNMidcap	14.65%	1.82%	35.92%	7.24%	14.91%	46.22%	84.46%	60.38%	101.57%	17.05%	15.05%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	EIB	Financials	34,277	12.94%
2	HSG	Materials	15,615	5.89%
3	DXG	Real Estate	12,335	4.65%
4	SAB	Consumer Staples	10,969	4.14%
5	KBC	Real Estate	10,950	4.13%
6	GMD	Industrials	10,049	3.79%
7	VCI	Financials	9,266	3.50%
8	GEX	Industrials	9,074	3.42%
9	NLG	Real Estate	6,675	2.52%
10	KDC	Consumer Staples	6,462	2.44%
	Total		125,673	47.43%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Industrials	19	62,125	23.44%
Real Estate	11	55,764	21.04%
Financials	6	51,847	19.57%
Consumer Staples	9	34,006	12.83%
Materials	7	30,910	11.66%

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VN100 is a market-capitalization weighted index combining constituents from both VN30 and VNMidcap.

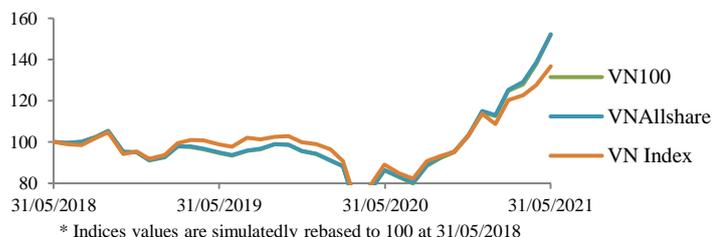
Index Characteristics

Number of Constituents	100
Full Market-Capitalization (Billion VND)	4,085,147
Free-float adjusted Market-Capitalization (Billion VND)	1,588,717
Average	15,887
Max	139,102
Min	226
Median	3,750
Weighted capitalization of largest company	8.76%
Weighted capitalization of top-10 companies	58.64%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VN100: every 5 seconds.
 - VN100TRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/05/2018 to 31/05/2021



Index Volatility

	3 months	6 months	1 year	3 years	5 years
VN100	8.41%	17.38%	22.18%	34.04%	40.00%

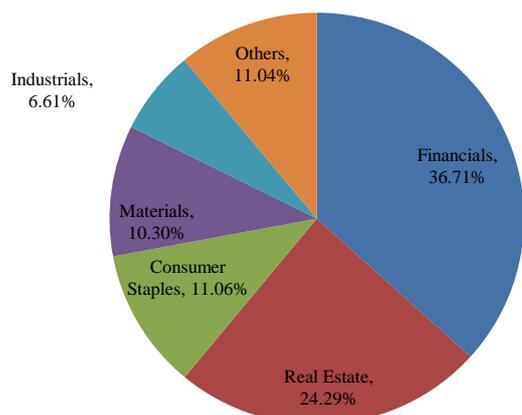
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VN100	97.98%	99.03%	99.04%	98.40%	97.34%

Index Performance

	Performance (%)						Annualized Performance (%)				
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years		
VN100	13.23%	3.43%	20.69%	10.31%	21.86%	47.73%	76.39%	52.10%	127.79%	15.00%	17.90%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	139,102	8.76%
2	HPG	Materials	129,648	8.16%
3	VPB	Financials	127,401	8.02%
4	TCB	Financials	122,339	7.70%
5	VNM	Consumer Staples	94,570	5.95%
6	VHM	Real Estate	84,376	5.31%
7	MBB	Financials	63,812	4.02%
8	FPT	Information Technology	61,803	3.89%
9	STB	Financials	57,915	3.65%
10	NVL	Real Estate	50,634	3.19%
	Total		931,602	58.64%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	17	583,145	36.71%
Real Estate	17	385,921	24.29%
Consumer Staples	10	175,659	11.06%
Materials	10	163,654	10.30%
Industrials	22	105,018	6.61%

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VNSmallcap Index

Data as at: 31 May 2021

VNSmallcap is a market-capitalization weighted index and designed to measure the performance of small market-capitalization companies from VNAllshare.

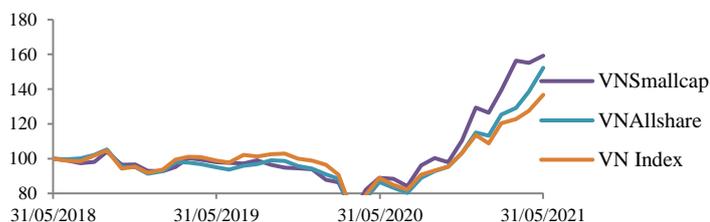
Index Characteristics

Number of Constituents	161
Full Market-Capitalization (Billion VND)	185,686
Free-float adjusted Market-Capitalization (Billion VND)	87,941
Average	546
Max	3,684
Min	16
Median	352
Weighted capitalization of largest company	4.19%
Weighted capitalization of top-10 companies	25.35%

Information

Base Date: 24/01/2014
 Base Value: 560.19
 Availability: Price and Total Return
 Frequency:
 - VNSmallcap: every 5 seconds.
 - VNSmallcapTRI: End of day.
 Capping limit: 10%
 Calculation Method: Free-float adjusted market-capitalization weighted index.
 Currency: VND

Indices performance from 31/05/2018 to 31/05/2021



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Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNSmallcap	8.22%	15.59%	20.49%	27.98%	32.39%

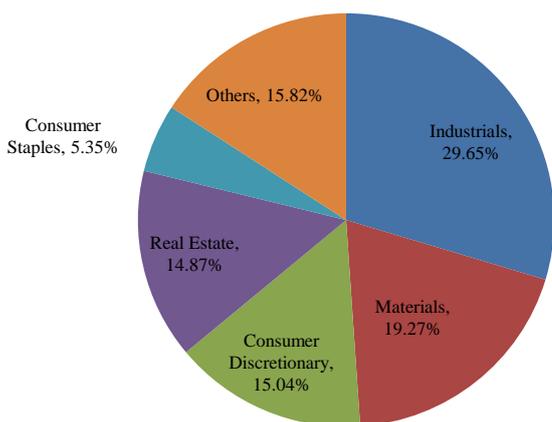
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNSmallcap	97.98%	99.03%	99.04%	98.40%	97.34%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNSmallcap	18.77%	1.72%	37.64%	2.64%	14.35%	44.17%	79.11%	59.27%	73.74%	16.78%	11.68%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	NKG	Materials	3,684	4.40%
2	TCM	Consumer Discretionary	3,064	3.66%
3	DGW	Information Technology	2,341	2.80%
4	VSC	Industrials	2,137	2.56%
5	HDC	Real Estate	2,091	2.50%
6	SHI	Industrials	2,029	2.43%
7	FTS	Financials	1,823	2.18%
8	LDG	Real Estate	1,509	1.80%
9	CVT	Materials	1,499	1.79%
10	HQC	Real Estate	1,394	1.67%
	Total		21,572	25.79%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Industrials	50	26,079	29.65%
Materials	32	16,943	19.27%
Consumer Discretionary	22	13,228	15.04%
Real Estate	20	13,076	14.87%
Consumer Staples	13	4,701	5.35%

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Hochiminh Stock exchange

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 Website: www.hsx.vn



SUMMARY OF HOSE-INDEX'S METHODOLOGY

Selection Criteria:

1. Eligibility:

- Must be listed and traded on HOSE for at least 6 (six) months prior Review Date.
- Must not violate disclosure requirement or subjected to controlled, special control or suspended at least 3 months prior Review Date.

2. **Free-float:** Companies must have free-float ratio minimum of 10%.

3. **Liquidity:** Turnover ratio must be at least 0.05%.

Constituents Selection:

1. **VNAllshare:** Comprises the universe of all constituents that passed Selection Criteria.

2. VN30:

- **Eligibility constituents for VN30:** Must belong to top-listed accumulated 90% of traded value of VNAllshare.
- 20 largest companies by market-capitalization are chosen. The remaining 10 companies are selected from 21st to 40th ranked in market-capitalization with priority given to current constituent(s) of VN30.

3. VNMidcap:

- Excluding the VN30 constituents, 40 largest companies by market-capitalization from the remaining pool will be chosen.
- 30 Stocks from the 41st to 80th of market-capitalization are select with priority given to current constituent(s) of VNMidcap index.

4. **VN100:** Comprises all constituents of VN30 and VNMidcap.

5. **VNSmallcap:** Comprises all constituents of VNAllshare excluding VN100.

GLOBAL INDUSTRY CLASSIFICATION STANDARD (GICS®)

GICS (Global Industry Classification Standard) is the industry classification standard for securities market which developed and managed by MSCI and S&P. Information regarding to GICS classification shall be managed and disclosed by MSCI and S&P.

Securities listing on HOSE shall be classified by MSCI based on GICS standard. Information on listed companies is published on HOSE's website: www.hsx.vn.

Industrial classification update of constituent stocks shall be made semi-annually on the same date as Review Date.

