

HOSE-Index Series

Data as at: 31 Mar 2021

HOSE-Index is a market-capitalization weighted investable index series comprised of companies listed on the Hochiminh Stock Exchange (HOSE). The constituents are screened for eligibility, free-float and liquidity. HOSE-Index Series covers over 90% total traded value and 80% HOSE's market capitalization.

HOSE-Index Structure

VNAllshare is a market-capitalization weighted index comprises all HOSE-listed companies that passed screening for eligibility, free-float and liquidity.

VN30 is a market-capitalization weighted index which measures the performance of 30 large market-capitalization and high liquidity stocks from VNAllshare.

VNMidcap is a market-capitalization weighted index which measures the performance of 70 medium market-capitalization companies from VNAllshare.

VN100 is a market-capitalization weighted index combining constituents from both VN30 and VNMidcap.

VNSmallcap is a market-capitalization weighted index and designed to measure the performance of small market-capitalization companies from VNAllshare.

VNAllshare Sector Indices is a series of sector index comprised of constituents from VNAllshare classified according to the Global Industry Classification Standard (GICS®).

Features

Objective

The indices are designed for use in the creation of index track funds, derivatives and as a performance benchmark.

Investability

Stocks are selected and calculated by free-float to ensure index is investable.

Liquidity

Stocks are screened to ensure index is tradable.

Transparency

The indices use a transparent, rules-based construction process. Index Rules are freely available on the HOSE website.

Availability

The indices are calculated in real-time or end of day.

Review Dates

Semi-annually in January and July.

Capping Limit

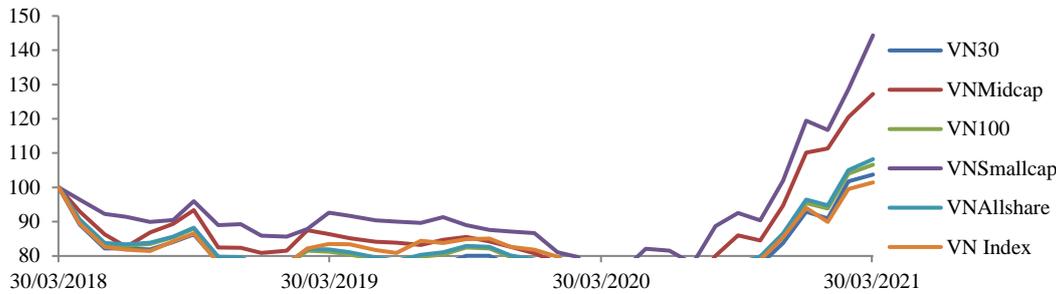
10% (Not applied for VNAllshare Sector)

Index Methodology

Free-floatadjusted market-capitalization weighted index.

Industry Classification Benchmark
Index constituents are classified in accordance with the Global Industry Classification Standard (GICS®).

Indices performance from 30/03/2018 to 31/03/2021



* Indices values are simulatedly rebased to 100 at 30/03/2018

Indices Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNAllShare	13.66%	3.40%	21.25%	3.10%	12.26%	39.21%	95.94%	8.22%	103.14%	2.67%	15.23%
VN30	14.10%	2.73%	20.73%	1.93%	11.72%	39.33%	95.86%	3.74%	109.25%	1.23%	15.91%
VNMidcap	14.65%	1.82%	35.92%	5.65%	15.58%	47.93%	122.20%	27.25%	95.88%	8.36%	14.39%
VN100	13.23%	3.43%	20.69%	2.52%	11.79%	38.60%	94.84%	6.62%	105.76%	2.16%	15.52%
VNSmallcap	18.77%	1.72%	37.64%	12.29%	20.87%	55.94%	127.28%	44.34%	78.51%	13.01%	12.29%
VN-Index	10.27%	7.76%	14.19%	1.97%	7.93%	31.62%	79.83%	1.45%	112.29%	0.48%	16.25%

Indices Volatility

	3 months	6 months	1 year	3 years	5 years
VNAllShare	15.04%	16.47%	23.19%	34.84%	39.02%
VN30	15.62%	17.18%	23.72%	36.66%	41.58%
VNMidcap	14.58%	16.34%	22.43%	33.49%	37.70%
VN100	15.23%	16.70%	22.99%	35.19%	39.55%
VNSmallcap	13.44%	15.06%	20.71%	27.93%	32.01%
VN Index	14.39%	15.84%	22.05%	34.67%	39.64%

Correlation of Indices with VN Index

	3 months	6 months	1 year	3 years	5 years
VNAllShare	99.50%	99.08%	97.44%	97.44%	96.66%
VN30	99.10%	98.60%	98.44%	97.47%	96.66%
VNMidcap	93.53%	89.77%	89.34%	87.01%	83.42%
VN100	99.44%	99.04%	99.06%	98.19%	97.30%
VNSmallcap	90.01%	85.50%	85.50%	80.81%	76.44%

Indices Characteristics

	VNAllshare	VN30	VNMidcap	VN100	VNSmallcap
Number of Constituents	248	30	70	100	148
Full Market-Capitalization (Billion VND)	3,750,813	3,128,106	444,720	3,572,825	177,988
Free-float adjusted Market-Capitalization (Billion VND)	1,409,244	1,098,241	225,951	1,324,192	85,052
Average	5,615	36,608	3,228	13,242	563
Max	139,576	139,576	17,239	139,576	4,261
Min	0	2,736	0	0	0
Median	721	29,587	2,250	3,279	357
Weighted capitalization of largest company	9.90%	12.71%	7.63%	10.54%	5.01%
Weighted capitalization of top-10 companies	53.64%	68.83%	43.67%	57.08%	27.50%

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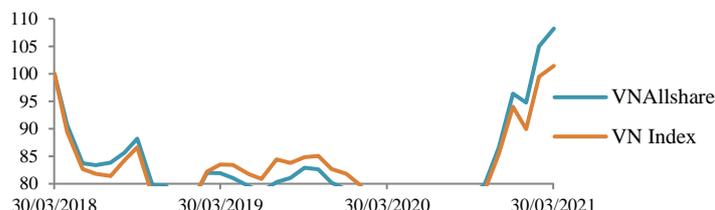
Index Characteristics

Number of Constituents	248
Full Market-Capitalization (Billion VND)	3,750,813
Free-float adjusted Market-Capitalization (Billion VND)	1,409,244
Average	5,615
Max	139,576
Min	0
Median	721
Weighted capitalization of largest company	9.90%
Weighted capitalization of top-10 companies	53.64%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNAllshare: every 5 seconds.
 - VNAllshareTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 30/03/2018 to 31/03/2021



* Indices values are simulatedly rebased to 100 at 30/03/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNAllshare	15.04%	16.47%	23.19%	34.84%	39.02%

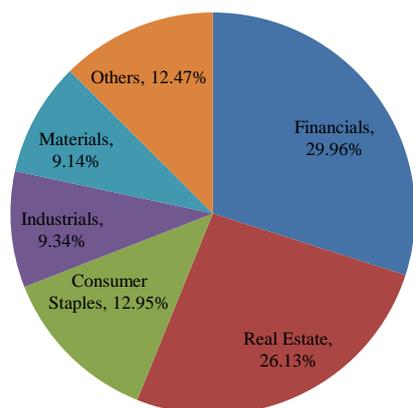
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNAllshare	99.50%	99.08%	97.44%	97.44%	96.66%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNAllshare	13.66%	3.40%	21.25%	3.10%	12.26%	39.21%	95.94%	8.22%	103.14%	2.67%	15.23%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	139,576	9.90%
2	VNM	Consumer Staples	102,811	7.30%
3	TCB	Financials	92,039	6.53%
4	HPG	Materials	85,284	6.05%
5	VPB	Financials	82,203	5.83%
6	VHM	Real Estate	80,017	5.68%
7	FPT	Information Technology	48,728	3.46%
8	MBB	Financials	47,271	3.35%
9	MWG	Consumer Discretionary	39,297	2.79%
10	VCB	Financials	38,676	2.74%
	Total		755,901	53.64%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	23	422,271	29.96%
Real Estate	38	368,230	26.13%
Consumer Staples	22	182,547	12.95%
Industrials	67	131,651	9.34%
Materials	39	128,776	9.14%

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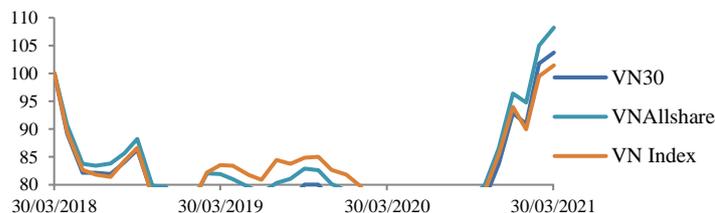
Index Characteristics

Number of Constituents	30
Full Market-Capitalization (Billion VND)	3,128,106
Free-float adjusted Market-Capitalization (Billion VND)	1,098,241
Average	36,608
Max	139,576
Min	2,736
Median	29,587
Weighted capitalization of largest company	12.71%
Weighted capitalization of top-10 companies	68.83%

Information

Base Date: 02/01/2009
Base Value: 313.34
Availability: Price and Total Return
Frequency:
 - VN30: every 5 seconds.
 - VN30TRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 30/03/2018 to 31/03/2021



* Indices values are simulatedly rebased to 100 at 30/03/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VN30	15.62%	17.18%	23.72%	36.66%	41.58%

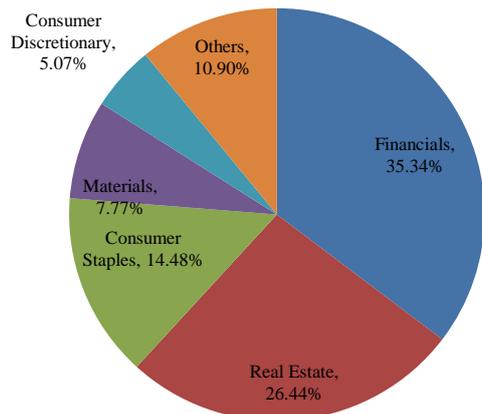
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VN30	99.10%	98.60%	98.44%	97.47%	96.66%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VN30	14.10%	2.73%	20.73%	1.93%	11.72%	39.33%	95.86%	3.74%	109.25%	1.23%	15.91%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	139,576	12.71%
2	VNM	Consumer Staples	102,811	9.36%
3	TCB	Financials	92,039	8.38%
4	HPG	Materials	85,284	7.77%
5	VPB	Financials	82,203	7.49%
6	VHM	Real Estate	80,017	7.29%
7	FPT	Information Technology	48,728	4.44%
8	MBB	Financials	47,271	4.30%
9	MWG	Consumer Discretionary	39,297	3.58%
10	VCB	Financials	38,676	3.52%
	Total		755,901	68.83%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	10	388,146	35.34%
Real Estate	5	290,352	26.44%
Consumer Staples	4	159,068	14.48%
Materials	1	85,284	7.77%
Consumer Discretionary	2	55,633	5.07%

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VNMidcap is a market-capitalization weighted index which measures the performance of 70 medium market-capitalization companies from VNAllshare.

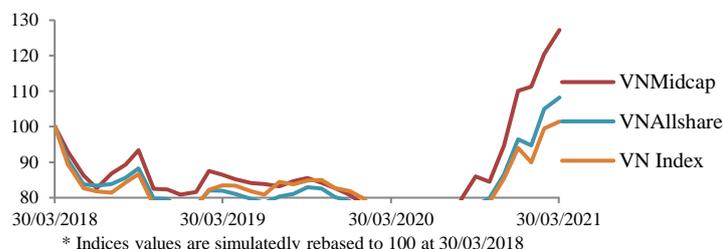
Index Characteristics

Number of Constituents	70
Full Market-Capitalization (Billion VND)	444,720
Free-float adjusted Market-Capitalization (Billion VND)	225,951
Average	3,228
Max	17,239
Min	0
Median	2,250
Weighted capitalization of largest company	7.63%
Weighted capitalization of top-10 companies	43.67%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNMidcap: every 5 seconds.
 - VNMidcapTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 30/03/2018 to 31/03/2021



Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNMidcap	14.58%	16.34%	22.43%	33.49%	37.70%

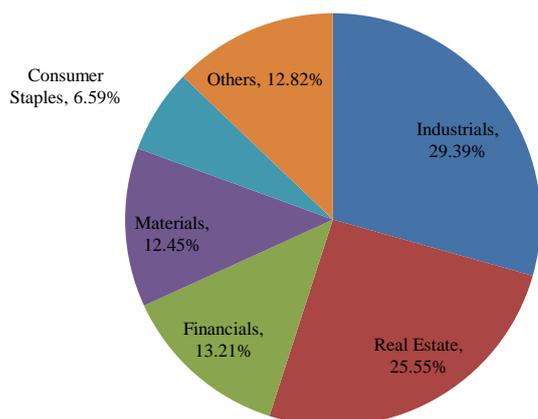
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNMidcap	93.53%	89.77%	89.34%	87.01%	83.42%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNMidcap	14.65%	1.82%	35.92%	5.65%	15.58%	47.93%	122.20%	27.25%	95.88%	8.36%	14.39%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	TPB	Financials	17,239	7.63%
2	KBC	Real Estate	12,545	5.55%
3	PDR	Real Estate	10,808	4.78%
4	DXG	Real Estate	10,573	4.68%
5	HSG	Materials	10,094	4.47%
6	GMD	Industrials	9,181	4.06%
7	GEX	Industrials	8,030	3.55%
8	VCI	Financials	7,407	3.28%
9	FLC	Real Estate	6,789	3.00%
10	KDC	Consumer Staples	6,005	2.66%
	Total		98,671	43.67%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Industrials	14	66,417	29.39%
Real Estate	18	57,723	25.55%
Financials	6	29,853	13.21%
Materials	9	28,122	12.45%
Consumer Staples	5	14,879	6.59%

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VN100 is a market-capitalization weighted index combining constituents from both VN30 and VNMidcap.

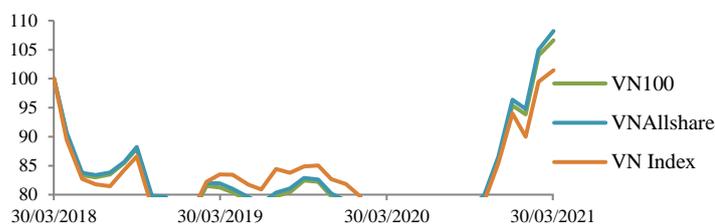
Index Characteristics

Number of Constituents	100
Full Market-Capitalization (Billion VND)	3,572,825
Free-float adjusted Market-Capitalization (Billion VND)	1,324,192
Average	13,242
Max	139,576
Min	0
Median	3,279
Weighted capitalization of largest company	10.54%
Weighted capitalization of top-10 companies	57.08%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VN100: every 5 seconds.
 - VN100TRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 30/03/2018 to 31/03/2021



* Indices values are simulatedly rebased to 100 at 30/03/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VN100	15.23%	16.70%	22.99%	35.19%	39.55%

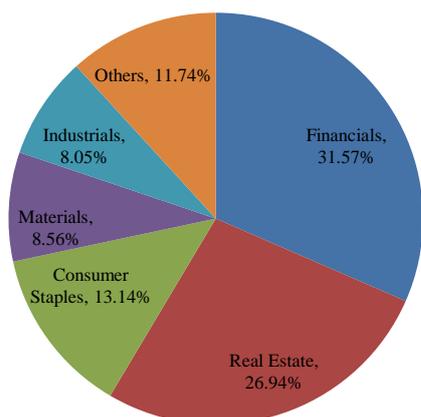
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VN100	99.44%	99.04%	99.06%	98.19%	97.30%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VN100	13.23%	3.43%	20.69%	2.52%	11.79%	38.60%	94.84%	6.62%	105.76%	2.16%	15.52%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	139,576	10.54%
2	VNM	Consumer Staples	102,811	7.76%
3	TCB	Financials	92,039	6.95%
4	HPG	Materials	85,284	6.44%
5	VPB	Financials	82,203	6.21%
6	VHM	Real Estate	80,017	6.04%
7	FPT	Information Technology	48,728	3.68%
8	MBB	Financials	47,271	3.57%
9	MWG	Consumer Discretionary	39,297	2.97%
10	VCB	Financials	38,676	2.92%
	Total		755,901	57.08%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	16	417,999	31.57%
Real Estate	19	356,769	26.94%
Consumer Staples	9	173,947	13.14%
Materials	10	113,406	8.56%
Industrials	22	106,598	8.05%

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VNSmallcap Index

Data as at: 31 Mar 2021

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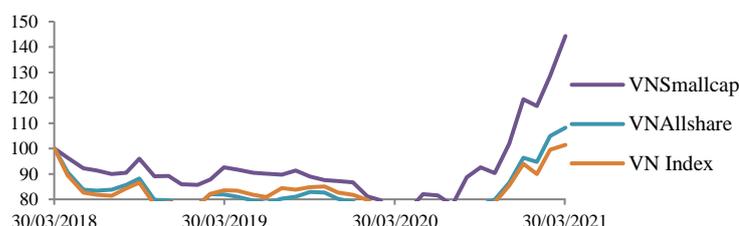
Index Characteristics

Number of Constituents	148
Full Market-Capitalization (Billion VND)	177,988
Free-float adjusted Market-Capitalization (Billion VND)	85,052
Average	563
Max	4,261
Min	0
Median	357
Weighted capitalization of largest company	5.01%
Weighted capitalization of top-10 companies	27.50%

Information

Base Date:	24/01/2014
Base Value:	560.19
Availability:	Price and Total Return
Frequency:	- VNSmallcap: every 5 seconds. - VNSmallcapTRI: End of day.
Capping limit:	10%
Calculation Method:	Free-float adjusted market-capitalization weighted index.
Currency:	VND

Indices performance from 30/03/2018 to 31/03/2021



Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNSmallcap	13.44%	15.06%	20.71%	27.93%	32.01%

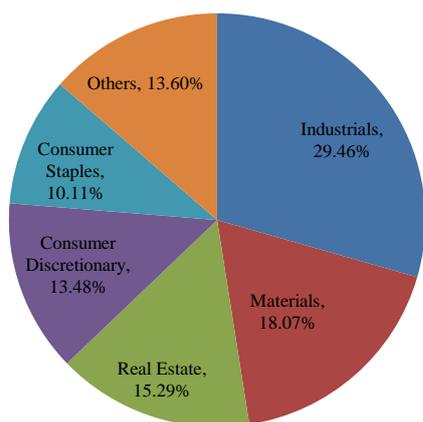
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNSmallcap	90.01%	85.50%	85.50%	80.81%	76.44%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNSmallcap	18.77%	1.72%	37.64%	12.29%	20.87%	55.94%	127.28%	44.34%	78.51%	13.01%	12.29%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	DBC	Consumer Staples	4,261	5.01%
2	TCM	Consumer Discretionary	3,718	4.37%
3	NKG	Materials	2,672	3.14%
4	DGW	Information Technology	2,281	2.68%
5	VSC	Industrials	2,237	2.63%
6	CVT	Materials	1,788	2.10%
7	HDC	Real Estate	1,704	2.00%
8	GIL	Consumer Discretionary	1,679	1.97%
9	SZC	Industrials	1,542	1.81%
10	BCG	Industrials	1,510	1.77%
	Total		23,392	27.50%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Industrials	45	25,053	29.46%
Materials	29	15,370	18.07%
Real Estate	21	13,002	15.29%
Consumer Discretionary	19	11,461	13.48%
Consumer Staples	13	8,600	10.11%

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Hochiminh Stock exchange

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Website: www.hsx.vn



SUMMARY OF HOSE-INDEX'S METHODOLOGY

Selection Criteria:

1. Eligibility:

- Must be listed and traded on HOSE for at least 6 (six) months prior Review Date.
- Must not violate disclosure requirement or subjected to controlled, special control or suspended at least 3 months prior Review Date.

2. **Free-float:** Companies must have free-float ratio minimum of 10%.

3. **Liquidity:** Turnover ratio must be at least 0.05%.

Constituents Selection:

1. **VNAllshare:** Comprises the universe of all constituents that passed Selection Criteria.

2. VN30:

- **Eligibility constituents for VN30:** Must belong to top-listed accumulated 90% of traded value of VNAllshare.
- 20 largest companies by market-capitalization are chosen. The remaining 10 companies are selected from 21st to 40th ranked in market-capitalization with priority given to current constituent(s) of VN30.

3. VNMidcap:

- Excluding the VN30 constituents, 40 largest companies by market-capitalization from the remaining pool will be chosen.
- 30 Stocks from the 41st to 80th of market-capitalization are select with priority given to current constituent(s) of VNMidcap index.

4. **VN100:** Comprises all constituents of VN30 and VNMidcap.

5. **VNSmallcap:** Comprises all constituents of VNAllshare excluding VN100.

GLOBAL INDUSTRY CLASSIFICATION STANDARD (GICS®)

GICS (Global Industry Classification Standard) is the industry classification standard for securities market which developed and managed by MSCI and S&P. Information regarding to GICS classification shall be managed and disclosed by MSCI and S&P.

Securities listing on HOSE shall be classified by MSCI based on GICS standard. Information on listed companies is published on HOSE's website: www.hsx.vn.

Industrial classification update of constituent stocks shall be made semi-annually on the same date as Review Date.

