

Code VND
Company name VNDIRECT Securities Corporation
Date 03/25/2021
Subject VND removed from the related index baskets

Content:

1. For HOSE-Indexes:

Hochiminh Stock Exchange has announced the removal of component stock in HOSE-Indexes as follows:

Index	Stock removed	Stock added	Reason	Effective date
VNMidcap, VN100	VND (VNDIRECT Securities Corporation)	GAB (FLC Mining Investment & Asset Management Joint Stock Company)	<ul style="list-style-type: none">- The transactions of VND are switched to Hanoi Stock Exchange.- GAB is a component stock of VNSmallcap and ranked No.1 in the reserve portfolio of VNMidcap.	March 31, 2021
VNSmallcap	GAB		<ul style="list-style-type: none">- GAB is added to the list of components of the VNMidcap index basket.	March 31, 2021
VNAllshare	VND		<ul style="list-style-type: none">- The transactions of VND are switched to Hanoi Stock Exchange.	March 31, 2021

- This removal will not change the capping factor of components.

2. For VNFN LEAD:

Hochiminh Stock Exchange has announced the removal of component stock in VNFN LEAD as follows:

- Stock removed: VND (VNDIRECT Securities Corporation)
- Reason: VND will switch transactions to Hanoi Stock Exchange.
- Effective date: March 31, 2021

- This removal will lead to changes in liquidity weight and capping factor of components in the basket:

No.	Stock code	Current liquidity weight	New liquidity weight	Current capping factor	New capping factor
1	BID	4.61%	4.68%	100%	100%
2	BVH	2.93%	2.97%	100%	100%
3	CTG	13.77%	13.97%	100%	100%
4	EIB	1.65%	1.67%	100%	100%
5	HCM	3.99%	4.05%	100%	100%
6	HDB	4.16%	4.22%	100%	100%
7	MBB	11.38%	11.54%	73.81%	65.88%
8	SSI	7.19%	7.30%	100%	100%
9	STB	11.73%	11.90%	88.97%	93.92%
10	TCB	14.64%	14.85%	30.70%	26.50%
11	TPB	1.67%	1.70%	100%	100%
12	VCB	7.64%	7.75%	100%	100%
13	VCI	1.59%	1.62%	100%	100%
14	VPB	11.61%	11.78%	47.39%	36.84%

3. For VNFINSELECT:

Hochiminh Stock Exchange has announced the removal of component stock in VNFIN LEAD as follows:

- Stock removed: VND (VNDIRECT Securities Corporation)
- Reason: VND will switch transactions to Hanoi Stock Exchange.
- Effective date: March 31, 2021
- This removal will lead to changes in capping factor of components in the basket:

Index	Stock code	Current capping factor	New capping factor
VNFINSELECT	TCB	66.17%	56.29%
	VPB	81.00%	62.08%

4. For VNX Allshare:

To ensure the stability and continuity of VNX Allshare, Hochiminh Stock Exchange (HOSE) and Hanoi Stock Exchange (HNX) will still maintain the stock of VNDIRECT Securities Corporation (code: VND) in VNX Allshare during the period of trading halt (from March 31, 2021

to the day before the first trading day on HNX) in order to affect the trading transfer from HOSE to HNX.

The price, outstanding volume for index calculation, free-float and capping ratio of the stock during this period will be similar to the information at the end of the last trading day on HOSE (March 30, 2021) before trading switch.