

Name of warrant: STB.KIS.M.CA.T.06
Company name: KIS Viet Nam Securities Corporation
Subject: Decision on the change of listing

Content:

On September 11, 2020, the Hochiminh Stock Exchange issued Decision No.530/QD-SGDHCM to allow KIS Viet Nam Securities Corporation to change the listing as follow:

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| Issuer: | KIS Viet Nam Securities Corporation |
| Short name: | KIS |
| Security type: | covered warrant |
| Warrant name: | Warrant STB.KIS.M.CA.T.06 |
| Warrant code: | CSTB2006 |
| Issuer of underlying security: | Sai Gon Thuong Tin Commercial Joint Stock Bank |
| Underlying security: | STB |
| Warrant type (call/put): | Call |
| Warrant style: | European-style |
| Method of exercise: | Cash |
| Duration: | 10 months |
| Expiration date: | April 05, 2021 |
| Conversion ratio: | 2 : 1 |
| Exercise price (exercise index): | 12,888. VND |
| Number of warrants before increase/decrease: | 2,000,000 |
| Number of warrants increased/decreased: | 800,000 |
| Total number of warrants after increase/decrease: | 1,200,000 |
| Effective date of change: | September 15, 2020 |
| Official trading date: | |
| Reason of change: | Partial delisting |