

**REPORT ON CORPORATE GOVERNANCE OF LISTING COMPANY**  
(2019)

**To: THE STATE SECURITIES COMMISSION (SSC)**  
**HO CHI MINH STOCK EXCHANGE (HOSE)**

Name of listing company: **NAM LONG INVESTMENT CORPORATION**

Address of head office: 06 Nguyen Khac Vien Street, Tan Phu Ward, District 7, Ho Chi Minh City

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Charter capital: 2,597,025,750,000 Viet Nam dong (at the reporting date)

Securities code: NLG

**I. ACTIVITIES OF THE GENERAL SHAREHOLDERS' MEETING**

Information on meetings and resolutions/decisions of the General Shareholders' Meeting (including the resolutions of the General Shareholders' Meeting adopted in the form of written comments) with details as follow:

No.	Resolution/Decision No.	Date	Content
1.	01/2019/NQ/ĐHĐCĐ/ NLG (Approved at the Annual General Shareholders' Meeting on 20 Apr 2019)	20 Apr 2019	Approve 2018 consolidated financial report of Nam Long Investment Corporation Approve 2018 profit distribution plan Approve the issuance of new shares to pay 2018 dividend Approve business plan 2019, profit distribution and dividend payment plans for 2019 and authorize the Board to organize the execution Approve report of the Board of Directors in 2018 Approve the operation budget of the Board of Directors and Inspection Committees for 2019 Approve the D&O Liability Insurance for BOD members for 2019 Approve shares issuance for NLG Executives according to the Executive Long Term Incentive Plan (ESG shares) in 2018 Approve the change of Corporate Governance structure from Inspection Committee into Audit Committee Approve the revision of Company Charter – Internal Regulation on Corporate Governance Approve report of the Inspection Committee 2018 Appoint Ernst & Young Vietnam Co. Ltd to be auditor for financial year 2019

**II. ACTIVITIES OF BOARD OF DIRECTORS**

**1. Information about Board of Directors' members and meetings**

In 2019, the Board had organized 04 meetings on 22 Feb 2019, 05 Apr 2019, 02 Aug 2019 and 13 Dec 2019 with attendance ratio as follows:

No.	Board member	Position	Day becoming/no longer member of the Board	Number of attendance	Ratio	Reasons for absence
1.	Mr Nguyen Xuan Quang	Chairman	Board members for term 2016 – 2021, reappointed at the Annual General Shareholders Meeting on 23 Apr 2016	4	100%	
2.	Mr Tran Thanh Phong	Permanent Vice Chairman		4	100%	
3.	Mr Lai Voon Hon	Member		3	75%	Personal reason
4.	Mr Bui Duc Khang	Member		4	100%	
5.	Mr Chad Ryan Ovel	Member		3	75%	Personal reason
6.	Mr Ziang Tony Ngo	Member		4	100%	
7.	Mr Ngian Siew Siong	Member		4	100%	
8.	Mr Cao Tan Thach	Member		4	100%	
9.	Mr Linson Lim Soon Kooi	Member		3	75%	Personal reason

## 2. Supervision activities by the Board of Directors over the Board of Management

- Assign KPIs 2019 for the Board of Management and review/assess periodically
- Request BOM to follow the Board's guidance timely
- Direct the Board' Sub-committees to supervise and assess the compliance of CEO on legal regulations, Company Charter, Internal Regulation on Corporate Governance, prevailing Charter of Authorizations (COAs) and other company regulations
- Review and assess 2018 business performance (audited)
- Review and assess 2019 business performance

## 3. Activities of the Board of Directors' Sub-committees

The Board' Sub-Committees work as assigned by BOD and respective Sub-Committee's working plan, periodically review and evaluate the implementation of the respective working plan. Organizational chart of the Board' Sub-committees is presented in Appendix I. Detailed scopes of work of the Sub-Committees are as follow:

- Investment Committee:
  - o Appraise annual/3-year business plans of Nam Long and key subsidiaries.
  - o Appraise investment plans for new projects, investment/divestment plans of subsidiaries within the Group.
  - o Appraise fundraising plans and road-map for Nam Long to become 1 billion USD Company.
  - o Give direction and appraise plan to build long-term growth strategy 2020-2030 for Nam Long.
- Human Resources Committee:
  - o Survey and evaluate Board's effectiveness in 2018; and propose improvement plan
  - o Propose operation budget for the Board in 2019 for approval of the Annual General Shareholders' Meeting (AGM) on 20 Apr 2019
  - o Review and allocate 2019 annual fees for Board Members and Board' Sub-Committee Members (based on the approved operation budget by AGM on 20 Apr 2019)
  - o Review and allocate 2018 variable bonus for Board Members (based on the approved operation budget for the Board and Inspection Committee approved by AGM on 21 Apr 2018 and 2018 audited business results)
  - o Appraise 2019 HR operation budget, headcount planning and organization structure of the Company and the Group
  - o Assess 2018 performance of the Group CEO
  - o Design & assign 2019 KPIs for CEO and advise CEO in 2019 goals setting for Division Heads
  - o Review and appraise execution results of ESG policy as well as allocation of ESG shares (the shares granted to top management level and outstanding employees)

- Appraise implementation results of the Board of Management's succession plan and implementation results of the Group centralization
- Advise and support BOM to effectively conduct the corporate culture transformation program
- Review, appraise Human Resources documentations and important Human Resources procedures/policies (employees' performance evaluation process and system, potential leader and talent development process....)
- Audit Committee (activities upto 22 Apr 2019):
  - Supervise Internal Audit to conduct the review and report of internal regulations of the Company and Subsidiaries
  - Supervise Internal Audit to review the compliance of the Board of Management with Company Charter, Internal Regulation on Corporate Governance, Chart of Authority (COA) and other regulations of the Company.
  - Review financial report of 2018, quarterly management reports in Quarter 1 of 2019, review monthly/ quarterly sales result for the first 4 months of 2019 and compare to plan.
  - Organise periodically meeting between Audit Committee and the Board of Management in 20 Mar 2019 to review business performance, compliance status, enhance internal control process and risk assessment and make necessary recommendations, and to ensure the Board of Management implement the recommendations in a timely and effective manner.
  - Follow up the recommendations from External Audit, Internal Audit and Audit Committee related to internal regulations improvement and compliance topic.
  - Periodically meeting with External Audit related to the Audited financial report of 2018, recommendations in management letter.
  - Cooperate with Inspection Committee (IC) to review the quality of External Audit of 2018
  - Cooperate with IC in External Audit selection process for 2019
- Audit Committee (Replacement for Inspection committee and Audit Committee since 22 Apr 2019):
  - Supervise Internal Audit to conduct the review and report of internal regulations of the Company and Subsidiaries
  - Supervise Internal Audit to review the compliance of the Board of Management with Company Charter, Internal Regulation on Corporate Governance, Chart of Authority (COA) and other regulations of the Company.
  - Review monthly/quarterly sales result of 2019 and compare to plan.
  - Organise periodically meeting between Audit Committee and the Board of Management in 29 May 2019, 24 Jul 2019, 23 Oct 2019 and 18 Dec 2019 to review business performance, compliance status, enhance internal control process and risk assessment and make necessary recommendations, and to ensure the Board of Management implement the recommendations in a timely and effective manner.
  - Follow up the recommendations from External Audit, Internal Audit and Audit Committee related to internal regulations improvement and compliance topic.
  - On 24 Jul 2019 and 18 Dec 2019, Audit Committee had meetings with Independent Auditors to discuss and approve financial statements for the first 6 months and 9 months of 2019.
  - Direct Internal Audit to review non-audit services provided by Independent Auditor on 02 Jul 2019.
  - On 18 Dec 2019 Audit Committee performed assessment of Audit Committee and Internal Audit in 2019 and approve working plan in 2020 for Audit Committee and Internal Audit.
  - Audit Committee assessed Independent Auditor and proposed Independent Auditor for 2020 according to independent auditor selection procedure.
  - Audit Committee provided supporting consultation for the Board of Management in its Risk Management and ERP projects to ensure efficiency and maximize manpower.
- Activities of Independent Directors of the Board:
  - Preside at monthly meetings to consult the Board of Management about project management and development, system and procedure improvement, Sales and Marketing activities, HR and IT matters....
  - Share best practices of big corporations in the region and then propose proper recommendations for Nam Long to apply.

- Board Office:
  - o Organize ordinarily Board Meeting, record meeting minutes and related resolutions
  - o Proceed to get the Board's approval by written voting sheet
  - o Deploy and supervise the Board of Management on implementing General Shareholders' Meeting/the Board's decisions, resolutions
  - o Coordinate activities of the Board' Sub-Committees to support the Board on reviewing materials submitted to the Board
  - o Cooperate with Shareholder Relation Committee to organize 2019 Annual General Shareholders' Meeting, take part in review materials submitted to AGM
  - o To be a point of contact between the Board of Directors and the Board of Management
  - o Secretaries to perform effective job and assist the Board very well
- Shareholder Relation Committee:
  - o To be a point of contact between the Board of Directors and Shareholders
  - o Organize Annual General Shareholders' Meeting on 20 Apr 2019, record the meeting minute, resolution, and disclosed information as regulated
  - o Disclose information periodically, extraordinarily as regulated
  - o These following documents were sent to SSC and HOSE (HSX):

No.	Date	Documents	Receiver
1.	20 Jan 2019	Disclosure of information on financial statements Quarter 4 of 2018 - Consolidated & Seperate	HSX/SSC
2.	22 Jan 2019	Disclosure of information on corporate governance report 2018	HSX/SSC
3.	22 Jan 2019	Disclosure of information on corporate governance 2018	HSX
4.	25 Jan 2019	Disclosure of information on Resolution of the Board regarding the approval on investing into Dong Nai Waterfront	HSX/SSC
5.	22 Feb 2019	Disclosure of information on shareholders list recorded for organizing Annual General Shareholders' Meeting 2019	HSX/SSC
6.	12 Mar 2019	List of State shareholders, stragic shareholders, major shareholders and treasury stocks at 28 Feb 2019	HSX
7.	13 Mar 2019	Disclosure of information on audited financial statements 2018 - Consolidated & Seperate	HSX/SSC
8.	25 Mar 2019	Shareholding structure registered on 15 Mar 2019 for the purpose of organizing Annual General Shareholders' Meeting 2019	HSX
9.	01 Apr 2019	Disclosure of information on Annual Report 2018	HSX/SSC
10.	05 Apr 2019	Disclosure of information on Enterprise Registration Certificate of Dong Nai Waterfront City LLC.	HSX/SSC
11.	05 Apr 2019	Disclosure of information on Materials for AGM 2019	HSX/SSC
12.	19 Apr 2019	Disclosure of information on financial statements Quarter 1 of 2019 - Consolidated & Seperate	HSX/SSC
13.	22 Apr 2019	Disclosure of information on 2019 AGM's meeting minutes and resolutions	HSX/SSC
14.	23 Apr 2019	Disclosure of information on Resolution of the Board regarding the approval on purchasing treasury shares plan	HSX/SSC
15.	15 May 2019	Disclosure of information on Charter + Internal Regulation on Corporate Governance of Nam Long Investment Corporation + Decision of Chairman regarding the appointment of person in charge of corporate governance + List of Internal persons and their related persons	HSX/SSC
16.	16 May 2019	Disclosure of information on purchasing treasury shares	HSX/SSC
17.	28 May 2019	Disclosure of information on Shareholders list recorded for 2018 last dividend payment by cash	HSX/SSC

18.	07 Jun 2019	List of State shareholders, stragic shareholders, major shareholders and treasury stocks at 24 May 2019	HSX
19.	07 Jun 2019	Disclosure of information on Resolution of the Board regarding the appointment of Acting Chief Accountant	HSX/SSC
20.	18 Jun 2019	Disclosure of information on Resolution of the Board regarding the approval of bank guarantee related to Waterpoint project	HSX/SSC
21.	21 Jun 2019	Shareholding structure registered on 11 Jun 2019 for the purpose of 2018 last dividend payment by cash	HSX
22.	05 Jul 2019	Disclosure of information on Result report of purchasing treasury stocks and Changing of outstanding voting shares	HSX/SSC
23.	19 Jul 2019	Disclosure of information on corporate governance report for the first 6 months of 2019	HSX/SSC
24.	19 Jul 2019	Disclosure of information on corporate governance for the first 6 months of 2019	HSX
25.	19 Jul 2019	Disclosure of information on financial statements Quarter 2 of 2019 – Consolidated & Seperate	HSX/SSC
26.	09 Aug 2019	Disclosure of information on Resolution of the Board regarding the approval of issuing shares to pay 2018 dividend	HSX/SSC
27.	13 Aug 2019	Disclosure of information on Interim financial statements 2019 - Consolidated & Seperate	HSX/SSC
28.	16 Aug 2019	Disclosure of information on Resolution of the Board regarding the implementation of issuing shares based on ESG program	HSX/SSC
29.	23 Aug 2019	Disclosure of information on Resolution of the Board regarding issuing shares to pay 2018 dividend	HSX/SSC
30.	23 Aug 2019	Disclosure of information on shareholders list recorded for 2018 dividend payment by stocks	HSX/SSC
31.	06 Sep 2019	List of State shareholders, stragic shareholders, major shareholders and treasury stocks at 30 Aug 2019	HSX
32.	06 Sep 2019	Disclosure of information on issuing shares based on ESG program	HSX/SSC
33.	13 Sep 2019	Disclosure of information on Resolution of the Board regarding the approval of shares issuance result of 2018 dividend payment	HSX/SSC
34.	13 Sep 2019	Disclosure of information on Result report of issuing shares for 2018 dividend payment	HSX/SSC
35.	13 Sep 2019	Disclosure of information on Changing of outstanding voting shares after issuing shares for 2018 dividend payment	HSX/SSC
36.	23 Sep 2019	Shareholding structure registered on 05 Sep 2019 for the purpose of 2018 dividend payment by stocks	HSX
37.	24 Sep 2019	Disclosure of information on Resolution of the Board regarding the approval of shares issuance result based on ESG program	HSX/SSC
38.	24 Sep 2019	Disclosure of information on Result report of issuing shares based on ESG program	HSX/SSC
39.	24 Sep 2019	Disclosure of information on Changing of outstanding voting shares after issuing shares based on ESG program	HSX/SSC
40.	24 Sep 2019	Disclosure of information on Resolution of the Board regarding recruiting Deputy Chief Executive Officer – Development + List of Internal persons and their related persons	HSX/SSC
41.	04 Oct 2019	Disclosure of information on Enterprise Registration Certificate of Nam Long Transportation Service One Member Limited Liability Company	HSX/SSC
42.	18 Oct 2019	Disclosure of information on financial statements Quarter 3 of 2019 – Consolidated & Seperate	HSX/SSC
43.	25 Oct 2019	Disclosure of information on 21 <sup>st</sup> change of Enterprise Registration Certificate of Nam Long Investment Corporation	HSX/SSC
44.	12 Nov 2019	Disclosure of information on Resolution of the Board regarding the resignation of Managing Director of Project Division	HSX/SSC
45.	28 Nov 2019	Disclosure of information on 1 <sup>st</sup> advance payment for 2019 dividend by cash	HSX/SSC

46.	29 Nov 2019	Disclosure of information on Decision of HCMC Tax Department regarding the sanction of administrative offence on tax	HSX/SSC
47.	10 Dec 2019	List of State shareholders, stragic shareholders, major shareholders and treasury stocks at 29 Nov 2019	HSX
48.	27 Dec 2019	Shareholding structure registered on 12 Dec 2019 for the purpose of 1 <sup>st</sup> advance payment for 2019 dividend by cash	HSX

#### 4. The Board's resolutions/decisions

No	Resolution No.	Date	Content
1.	01/2019/NQ/HĐQT/NLG	02 Jan 2019	Approve the plan to decrease charter capital of Project Companies
2.	02/2019/NQ/HĐQT/NLG	21 Jan 2019	Approve selection of Nam Khang Construction Investment Development One Member Limited Liability Company as the contractor for additional items of Nguyen Son project
3.	03/2019/NQ/HĐQT/NLG	21 Jan 2019	Approve selection of Nam Khang Construction Investment Development One Member Limited Liability Company as the contractor for 2 <sup>nd</sup> backfilling package of Waterpoint Long An project
4.	04/2019/NQ/HĐQT/NLG	25 Jan 2019	Approve the updated proposal to invest into Dong Nai Waterfront by purchasing shares of Dong Nai Waterfront City LLC
5.	05a/2019/NQ/HĐQT/NLG	30 Jan 2019	Approve the adjusting structure of capital representatives at Nguyen Son Real Estate JSC.
6.	05/2019/NQ/HĐQT/NLG	22 Feb 2019	Approve the shareholders list recorded for organizing Annual General Shareholders' Meeting 2019
7.	06/2019/NQ/HĐQT/NLG	06 Mar 2019	<p>Agree to submit to AGM 2019 for final approval of 2019 Business plan</p> <p>Approve the fixed operation budget for the Board and Inspection Committee + the variable bonus for the Board in 2019 and agree to submit to AGM 2019 for final approval</p> <p>Approve result of CEO's 2018 performance</p> <p>Approve the convening of AGM 2019</p> <p>Approve on continuously appointing Ernst &amp; Young to be the external auditor for 2019 and agree to submit to AGM 2019 for final approval</p>
8.	07/2019/NQ/HĐQT/NLG	14 Mar 2019	Approval of Nam Long Investment Corporation to borrow capital from subsidiaries
9.	08/2019/NQ/HĐQT/NLG	26 Mar 2019	Approval of new appointments and replacements of capital representatives at the member companies
10.	09/2019/NQ/HĐQT/NLG	29 Mar 2019	Approval on bank loan for Novia project at HSBC Vietnam Bank
11.	10/2019/NQ/HĐQT/NLG	02 Apr 2019	Approval for Nam Long Investment Corporation to be the contractor in respect of the package of connecting provincial road 830 and transportation system of Nam Long Vam Co Dong urban area
12.	11/2019/NQ/HĐQT/NLG	16 Apr 2019	Approval of increasing charter capital for Paragon Dai Phuoc One Member Limited Liabilities Company
13.	12/2019/NQ/HĐQT/NLG	23 Apr 2019	<p>Agree on 2018 audited business performance &amp; profit distribution and agree to submit to AGM 2019 for approval</p> <p>Agree on 2019 profit distribution plan and agree to submit to AGM 2019 for approval</p> <p>Approve the proposed treasury shares plan</p> <p>Agree on buying D&amp;O Liability Insurance for BOD members</p>

No	Resolution No.	Date	Content
			in 2019 and agree to submit to AGM 2019 for approval Agree on the total number of ESG shares granted for 2018 and agree to submit to AGM 2019 for approval Agree on the agenda of AGM 2019
14.	13/2019/NQ/HĐQT/NLG	23 Apr 2019	Approve to buyback capital contribution of Nguyen Phuc Real Estate Trading and Investment Co., Ltd from Japanese investors – Flora Anh Dao project
15.	14/2019/NQ/HĐQT/NLG	24 Apr 2019	Approval of Definite Agreements on purchasing project with VSIP Hai Phong Co., Ltd and Definite Agreements with Japanese Investor on acquisition and development of VSIP HP2 project at Thuy Nguyen ward, Hai Phong city
16.	15/2019/NQ/HĐQT/NLG	24 Apr 2019	Approval on decreasing charter capital of Nguyen Son Real Estate JSC.
17.	16/2019/NQ/HĐQT/NLG	06 May 2019	Approval on the proposal to purchase Phu Duc project located in Linh Trung ward, Thu Duc district, HCMC
18.	17/2019/NQ/HĐQT/NLG	27 May 2019	Approval on the revision of NLG Organization Chart
19.	18/2019/NQ/HĐQT/NLG	28 May 2019	Approval of 2018 last dividend payment by cash
20.	19/2019/NQ/HĐQT/NLG	31 May 2019	Approval of Nam Long Investment Corporation to borrow capital from subsidiaries
21.	20/2019/NQ/HĐQT/NLG	07 Jun 2019	Approval on changing Chief Accountant of Nam Long Investment Corporation
22.	21/2019/NQ/HĐQT/NLG	07 Jun 2019	Approve to borrow capital from Nam Khang Construction Investment Development One Member Limited Liability Company
23.	22/2019/NQ/HĐQT/NLG	18 Jun 2019	Approval on bank guarantee for Southgate Joint Stock Company at Standard Chartered Bank Vietnam and bank guarantee for home buyer at Orient Commercial Joint Stock Bank for Waterpoint project
24.	23/2019/NQ/HĐQT/NLG	20 Jun 2019	Approval of borrowing capital from Nam Long VCD Corporation
25.	24/2019/NQ/HĐQT/NLG	11 Jul 2019	Approval of buying shares of Nam Long Apartment Development JSC. And 6D Joint Stock Company
26.	25/2019/NQ/HĐQT/NLG	09 Aug 2019	Approval of shares issuance plan for 2018 dividend payment
27.	26/2019/NQ/HĐQT/NLG	16 Aug 2019	Approval of implementing to issue shares based on ESG program and approval on issuance dossiers
28.	27/2019/NQ/HĐQT/NLG	16 Aug 2019	Approval for Paragon Dai Phuoc One Member Limited Liability Co., to borrow capital from Nam Long Investment Corporation
29.	28/2019/NQ/HĐQT/NLG	19 Aug 2019	Agree on business performance of the first 6 months of 2019 (audited) Approve the quantity of new issuing shares in Quarter 3 of 2019 for 2018 dividend payment Approval of budget and time for 2019, 1 <sup>st</sup> advance dividend payment Approval of beginning the plan to build growth strategy 2020 – 2030 for Nam Long Agree on the plan to improve and enhance Board's effectiveness
30.	29/2019/NQ/HĐQT/NLG	23 Aug 2019	Approval of implementing to pay 2018 dividend
31.	30/2019/NQ/HĐQT/NLG	06 Sep 2019	Approval for Nam Long Service Co., Ltd to refuse to

No	Resolution No.	Date	Content
			purchase capital contribution at Anabuki NL Housing Service Vietnam Co., Ltd
32.	31/2019/NQ/HĐQT/NLG	06 Sep 2019	Approval of transferring commercial property of Novia project
33.	32/2019/NQ/HĐQT/NLG	09 Sep 2019	Approval of establishing legal entity to operate bus system to support projects of Nam Long Group
34.	33/2019/NQ/HĐQT/NLG	13 Sep 2019	Approval on the result of shares issuance to pay 2018 dividend
35.	34/2019/NQ/HĐQT/NLG	20 Sep 2019	Approval for Nam Long Investment Corporation to be the main contractor to develop 190ha – Vam Co Dong urban area project phase 2 of Nam Long VCD JSC.
36.	35/2019/NQ/HĐQT/NLG	23 Sep 2019	Approval the result of shares issuance based on ESG program
37.	36/2019/NQ/HĐQT/NLG	24 Sep 2019	Approval of recruiting Deputy Chief Executive Officer - Development
38.	37/2019/NQ/HĐQT/NLG	30 Sep 2019	Approval on the establishment of Representative Office of Nam Long Investment Corporation in the Northern of Vietnam
39.	38/2019/NQ/HĐQT/NLG	04 Nov 2019	Approval of choosing consultant for Nam Long's growth strategy 2020 - 2030
40.	39/2019/NQ/HĐQT/NLG	12 Nov 2019	Approval of removing the person holding the position of Managing Director of Project Divisions
41.	40/2019/NQ/HĐQT/NLG	12 Nov 2019	Approval of revising Organization Chart of Nam Long Investment Corporation
42.	41/2019/NQ/HĐQT/NLG	28 Nov 2019	Agree on 2019, 1 <sup>st</sup> advance dividend payment by cash
43.	42/2019/NQ/HĐQT/NLG	17 Dec 2019	Approval for Nam Long Investment Corporation to transfer stakes at Paragon Dai Phuoc LLC. to Thai Binh Investment Corporation
44.	43/2019/NQ/HĐQT/NLG	24 Dec 2019	Agree on the fixed operation budget and variable bonus for the Board in 2020 Approve on working schedule and working plan of AGM and the Board in 2020 Agree on 2020 working plan of the Board's Sub-Committees
45.	44/2019/NQ/HĐQT/NLG	27 Dec 2019	Approval for Nam Long Investment Corporation to transfer contributed capital at Dong Nai Waterfront City LLC. to Hankyu Hanshin Properties Corporation

### III. ACTIVITIES OF INSPECTION COMMITTEE

#### 1. Information about Inspection Committee's members and meetings up to 22 Apr 2019:

No.	Member	Position	Day becoming/no longer member of IC	Number of attendance	Ratio	Reasons for absence
1.	Nguyen Luu Tuyen	Chief of IC	Appointed on 22 Apr 2017	2/2	100%	
2.	Dang Hong Tan	Member	Appointed on 22 Apr 2017	2/2	100%	
3.	Nguyen Thi Thanh Thao	Member	Appointed on 22 Apr 2017	2/2	100%	

#### 2. Surveillance activities of the Inspection Committee toward the Board of Directors, Board of Management and shareholders:

- Fully attend the quarterly and extraordinary Board meetings to catch up with the company's operations



- Report to shareholders the company business performance in 2018.
- Review the appropriateness of the Board's resolutions, the Board of Management's decisions in management and operations to ensure compliance with local law and company charter.
- Review the implementation and compliance with Shareholder and the Board's resolutions & decisions.

**3. The coordination among the Inspection Committee with the Board of Directors, Board of Management, and different managers:**

- All members of Inspection Committee to attended all regular Board meetings.
- Meeting materials and the Board's resolutions are supplemented to Inspection Committee members in full and in a timely manner.
- Inspection Committee conducted training for controller at Subsidiaries, supported and provided updated materials for controllers and auditors to improve the technical skills & knowledge.

**4. Other activities of the Inspection Committee (if any):**

- Review the quality of External Audit
- Inspection Committee cooperates with Internal Audit and Audit Committee to monitor the standardization of policies/procedures/processes at the Company and Subsidiaries to improve the operations efficiency and internal control quality.

**IV. Training on corporate governance**

Participate in corporate governance training courses which are organized by The State Securities Commission/Ho Chi Minh Stock Exchange and international audit company/international financial organization.

No.	Training courses	Date	Organizer
1.	Training on Internal audit Program	20 Mar 2019	VIOD & IFC
2.	The Corporate Secretary's Roles in Shaping the Future's Success	29 Mar 2019	VIOD, IFC, HNX & HSX
3.	Enterprises and IFRS implementation roadmap in Vietnam	17 Oct 2019	Accounting and Auditing Association
4.	2019 Annual Forum: "Building Board leadership toward future success"	03 Dec 2019	VIOD, SSC ...

**V. LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY AS SPECIFIED IN CLAUSE 34, ARTICLE 6 OF THE SECURITIES LAW (SEMI-ANNUAL/ANNUAL REPORTS) AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY WITH THE COMPANY**

**1. List of affiliated persons of the public company**

List of subsidiaries, joint ventures, associates is as follow. List of internal persons is enclosed with Appendix II.

Stt	Company name	Business registration no., first date of issue	Address	Note
1.	Nam Long Property Management and Development One Member Limited Company			Subsidiary
2.	Nam Long Service JSC.			Subsidiary

3.	Nam Long – Hong Phat JSC.			Subsidiary
4.	Nguyen Son Real Estate JSC.			Subsidiary
5.	Nam Long Apartment Development Corporation			Subsidiary
6.	Nam Long Real Estate Transaction Floor One Member Limited Liability Company			Subsidiary
7.	Nam Khang Construction Investment Development One Member Limited Liability Company			Subsidiary
8.	Nam Vien Construction & Design Consulting Joint Stock Company			Subsidiary
9.	Nam Khang Construction Materials Trading Company Limited			Subsidiary
10.	Nam Long VCD Corporation			Subsidiary
11.	Nam Phan Investment Corporation			Subsidiary
12.	Nguyen Phuc Real Estate Investment and Trading Co., Ltd.			Subsidiary
13.	6D Joint Stock Company			Subsidiary
14.	NLG – NNR – HR Fuji JSC.			Subsidiary
15.	NNH Kikyo Flora Co., Ltd.			Subsidiary
16.	NN Kikyo Valora Co., Ltd.			Subsidiary
17.	Paragon Dai Phuoc One Member Liability Company Limited			Subsidiary
18.	Nam Long Transportation Service Co., Ltd			Subsidiary
19.	NNH Mizuki Joint Stock Company			Joint venture

20.	Southgate Joint Stock Company			Joint venture
21.	Anabuki NL Housing Service Vietnam Limited Company			Joint venture

**2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal persons and related person of internal person are as follow:**

No.	Name of organization/individual	Relationship	No., date of issue, place of issue	Address	Time of transaction	Transaction information	Note
1.	Nam Khang Construction Investment Development One Member Limited Liability Company	Subsidiary			21 Jan 2019	Nam Khang constructs additional items of Nguyen Son project	
2.	Nam Khang Construction Investment Development One Member Limited Liability Company	Subsidiary			21 Jan 2019	Nam Khang constructs 2 <sup>nd</sup> backfilling package of Waterpoint Long An project	
3.	Nguyen Son Real Estate JSC.	Subsidiary			14 Mar 2019	Nguyen Son finances Nam Long	
4.	Nam Long VCD Corporation	Subsidiary			02 Apr 2019	Nam Long constructs connecting transportation of Waterpoint Long An project	
5.	Nam Long VCD Corporation	Subsidiary			31 May 2019	Nam Long VCD finances Nam Long	
6.	Nam Long Apartment Development Corporation	Subsidiary			31 May 2019	Nam Long ADC finances Nam Long	
7.	Viet Thien Lam Investment Corporation	Subsidiary			31 May 2019	Viet Thien Lam finances Nam Long	
8.	Nam Khang Construction Investment Development One Member Limited Liability Company	Subsidiary			07 Jun 2019	Nam Khang finances Nam Long	

9.	Nam Long VCD Corporation	Subsidiary			20 Jun 2019	Nam Long VCD finances Nam Long	
10.	Nam Long Apartment Development Corporation	Subsidiary			11 Jul 2019	Nam Long purchases shares at Nam Long ADC	
11.	Paragon Dai Phuoc One Member Liability Company Limited	Subsidiary			16 Aug 2019	Nam Long finances Paragon Dai Phuoc	
12.	Nam Long Property Management and Development One Member Limited Company	Subsidiary			06 Sep 2019	Nam Long transfers commercial assets to Nam Long PMD	
13.	Nam Long VCD Corporation	Subsidiary			20 Sep 2019	Nam Long is the main contractor for Nam Long VCD – Vam Co Dong urban area project	

3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power: N/A

4. Transactions between the company and other objects: N/A

#### VI. TRANSACTIONS OF INTERNAL PERSONS AND RELATED PERSON OF INTERNAL PERSON:

1. List of internal persons and their affiliated persons is enclosed with Appendix II.

2. Transactions of internal persons and affiliated persons on shares of the listed company:

No.	Transaction executor	Relationship with internal shareholder	Number of shares owned at the end of the period (Shareholder list recorded on 21 Jun 2019, on the total amount of 239,136,918 shares)		Number of shares owned at the end of the period (Shareholder list recorded on 31 Dec 2019, on the total amount of 259,702,575 shares)		Reasons for increase, decrease (purchase, sale, switch, reward...)
			Number of shares	Ratio (%)	Number of shares	Ratio (%)	
1.	Nguyen Xuan Quang	Chairman	32,154,634	13.45	34,800,959	13.4	Receive dividend by stock
2.	Nguyen Thi Bich Ngoc	Related person of Mr. Nguyen Xuan Quang (Chairman)	10,348,708	4.01	11,200,406	4.31	Receive dividend by stock
3.	Nguyen Nam	Related person of Mr. Nguyen Xuan Quang (Chairman)	2,991,516	1.25	1,506,036	0.58	Receive dividend by stock, sale

4.	Nguyen Hiep	Related person of Mr. Nguyen Xuan Quang (Chairman)	308,272	0.13	333,642	0.13	Receive dividend by stock
5.	Nguyen Tien Dung	Related person of Mr. Nguyen Xuan Quang (Chairman)	34,086	0.014	32,562	0.013	Receive dividend by stock, sale
6.	Tan Hiep Investment Co., Ltd.	Company owned by related person of Mr Quang (Chairman)	5,592,570	2.34	5,511,688	2.12	Receive dividend by stock, sale
7.	Tran Thanh Phong	Permanent Vice Chairman	11,535,605	4.82	12,484,985	4.8	Receive dividend by stock
8.	Bui Duc Khang	Board Member	651,471	0.27	705,087	0.27	Receive dividend by stock
9.	Luong Thi Xuan Dao	Related person of Mr. Bui Duc Khang (Board member)	34,209	0.014	37,024	0.014	Receive dividend by stock
10.	Nam Khang Construction Investment Development One Member Limited Liability Company	Ownership representative by Mr. Bui Duc Khang (Board Member)	9,544,956	3.99	10,330,505	3.98	Receive dividend by stock
11.	Cao Tan Thach	Board Member	538,553	0.23	658,158	0.25	Receive dividend by stock, reward as ESG policy
12.	Ngo Thi Ngoc Lieu	Related person of Mr. Cao Tan Thach (Board member)	7,150,006	3	7,117,611	2.74	Receive dividend by stock, sale
13.	Lam Ngoc Minh	Related person of Mr. Cao Tan Thach (Board member)	11,841	0.005	12,815	0.005	Receive dividend by stock
14.	Ibeworthy Pte Ltd	Ownership representative by Mr. Linson Lim Soon Kooi (Board Member)	23,927,133	10	25,896,336	9.97	Receive dividend by stock
15.	Nguyen Luu Tuyen	Chief of IC (GSM approved to change Inspection Committee in to Audit Committee on 20 Apr 2019)	4,487	0.002	11,537	0.004	Receive dividend by stock, reward as ESG policy
16.	Nguyen Thi Thanh Thao	IC Member (GSM approved to change Inspection Committee in to Audit Committee on 20 Apr 2019)	4,345	0.002	9,419	0.0036	Receive dividend by stock, reward as ESG policy
17.	Chu Chee Kwang	CEO	910,435	0.38	1,503,948	0.58	Receive dividend by stock, reward as ESG policy

18.	Chau Quang Phuc	CFO	153,502	0.064	177,048	0.068	Receive dividend by stock, reward as ESG policy, sale
19.	Nguyen Thanh Huyen	Related person of Mr. Chau Quang Phuc (CFO)	4,462	0.002	4,828	0.0019	Receive dividend by stock
20.	Nguyen Ngoc Huyen	Managing Director of Project Divisions	116,980	0.049	285,339	0.11	Receive dividend by stock, reward as ESG policy
21.	Luong Thi Kim Thoa	Chief Accountant (resigned on 10 Jun 2019)	139,383	0.058	63,189	0.024	Receive dividend by stock, reward as ESG policy, sale
22.	Nguyen Quang Duc	Chief Accountant (appointed on 10 Jun 2019)	1,389	0.0006	4,455	0.0017	Receive dividend by stock, reward as ESG policy

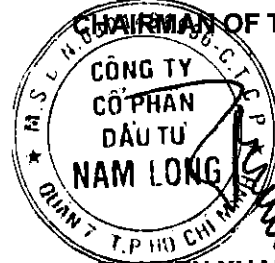
Note: The Board of Directors did not have any information about shares trading without memos from the shareholders to the Board.

#### V. OTHER SIGNIFICANT ISSUES: No

Receivers:

- As above;
- Filing at Board Office.

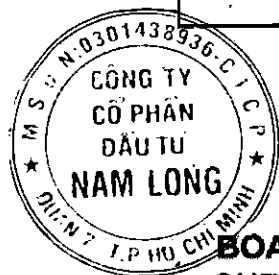
NAM LONG INVESTMENT CORPORATION  
CHAIRMAN OF THE BOARD



NGUYEN XUAN QUANG

# ANNEX I

## II.3. Operations of Board-sub committees.



### BOARD's ROLES & SUB-COMMITTEES' STRUCTURE

## BOARD OF DIRECTORS

BODs Office	Executive Chairman <b>Mr. Nguyen Xuan Quang</b>
	Executive Vice Chairman <b>Mr. Tran Thanh Phong</b>
	Executive Directors
	Chief of BODs Office cum BODs Secretary 1 <i>Ms. Chau Ngoc Anh Nguyet</i>
	BODs Office Executive cum BOD Secretary 2 <i>Ms. Vu Thi My Hanh</i>
	Secretary to Chairman & Investment Committee <i>Ms. Dao Thi Thao Vi</i>

Updated on 20<sup>th</sup> April 2019



Mr. Nguyen Xuan Quang  
Chairman

<b>Investment Committee</b>
<u>Head of Committee</u> <b>Mr. Nguyen Xuan Quang</b>
<u>Members:</u> Mr. Tran Thanh Phong Mr. Ngian Siew Siong Mr. Bui Duc Khang
<u>Coordinator</u> <i>Ms. Chau Ngoc Anh Nguyet</i>
<u>Assistant when required</u> <i>Ms. Dao Thi Thao Vi</i> <i>Ms. Vu Thi My Hanh</i>

<b>Human Resources Committee</b>
<u>Head of Committee</u> <b>Mr. Ngian Siew Siong</b>
<u>Members:</u> Mr. Nguyen Xuan Quang Mr. Chad Ryan Ovel
<u>Coordinator</u> <i>Ms. Chau Ngoc Anh Nguyet</i>
<u>Assistant when required</u> <i>Ms. Vu Thi My Hanh</i>

<b>Audit Committee</b>
<u>Head of Committee</u> <b>Mr. Ziang Tony Ngo</b>
<u>Members:</u> Mr. Nguyen Xuan Quang Mr. Tran Thanh Phong Mr. Bui Duc Khang Mr. Linson Lim Soon Koi Mr. Cao Tan Thach
<u>External Expert Members:</u> Mr. Dang Hong Tan Ms. Nguyen Luu Tuyen – Head of Internal Audit
<u>Coordinator</u> <i>Ms. Nguyen Luu Tuyen</i>
<u>Assistant when required</u> <i>Ms. Chau Ngoc Anh Nguyet</i> <i>Ms. Vu Thi My Hanh</i>

<b>Shareholder Relation Committee</b>
<u>Head of Committee</u> <b>Mr. Tran Thanh Phong</b>
<u>Members:</u> Chief Financial Officer Chief Investment Officer
<u>Coordinator</u> <i>Ms. Vu Thi My Hanh</i>
<u>Assistant when required</u> <i>Ms. Chau Ngoc Anh Nguyet</i>

## Annex II

## VI.1. List of internal personnel and their related parties

No.	Name of internal personnel	Title	LIST OF RELATED PARTIES								
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of Issue	Ownership Certificate - Place of Issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	Notes
1	Mr. Nguyễn Xuân Quang	Chairman	-	-	-	-	-	-	34,800,959	13.4	
			Nguyễn Bá Đệ (Pass away)	Father	-	-	-	-	-	-	
			Bùi Thị Tịnh (Pass away)	Mother	-	-	-	-	-	-	
			Nguyễn Thị Bích Ngọc	Wife	-	-	-	-	11,200,406	4.31	
			Nguyễn Nam	Son	-	-	-	-	1,506,036	0.58	
			Nguyễn Hiệp	Son	-	-	-	-	333,642	0.13	
			Nguyễn Tiến Dũng	Brother	-	-	-	-	32,562	0.013	
			Nguyễn Thị Ngọc Dung	Sister	-	-	-	-	-	-	
			Nguyễn Thị Ngọc Lan	Sister	-	-	-	-	-	-	
			Công ty CP Nam Long VCD	Chairman	-	-	-	-	-	-	
2	Mr. Trần Thanh Phong	Permanent Vice Chairman	-	-	-	-	-	-	12,484,985	4.8	
			Phan Nguyễn Liên Thành	Wife	-	-	-	-	-	-	
			Trần Chiêu Dương	Daughter	-	-	-	-	-	-	
			Trần Phạm Thị Phương Thảo	Sister	-	-	-	-	-	-	
			Trần Anh	Father	-	-	-	-	-	-	
			Phạm Thị Ba	Mother	-	-	-	-	-	-	



No.	Name of internal personnel	Title	LIST OF RELATED PARTIES								Notes
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of issue	Ownership Certificate - Place of issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	
			Công ty CP BĐS Nguyễn Sơn	Chairman	-	-	-	-	-	-	
			Công ty CP Nam Long VCD	Board Member	-	-	-	-	-	-	
			Công ty CP Phát triển Căn hộ Nam Long	Vice Chairman	-	-	-	-	-	-	
3	Mr. Bùi Đức Khang	Board Member	-	-	-	-	-	-	705,087	0.27	
			Bùi Phương (Pass away)	Father	-	-	-	-	-	-	
			Phan Thị Thanh Chân (Pass away)	Mother	-	-	-	-	-	-	
			Bùi Thị Ngọc Dung	Sister	-	-	-	-	-	-	
			Bùi Thị Ngọc Diệp	Sister	-	-	-	-	-	-	
			Bùi Thị Ngọc Anh	Sister	-	-	-	-	-	-	
			Bùi Thị Ngọc Loan	Sister	-	-	-	-	-	-	
			Bùi Thị Ngọc Trang	Sister	-	-	-	-	-	-	
			Bùi Thị Ngọc Liêm	Sister	-	-	-	-	-	-	
			Bùi Đức Phú	Brother	-	-	-	-	-	-	
			Bùi Đức Quý	Brother	-	-	-	-	-	-	
			Bùi Thị Ngọc Thọ	Sister	-	-	-	-	-	-	
			Bùi Phan Ngọc Đức	Sister	-	-	-	-	-	-	
			Bùi Ngọc Đức	Brother	-	-	-	-	-	-	
			Lương Thị Xuân Đào	Wife	-	-	-	-	37,024	0.014	
			Bùi Thị Thanh Châu	Daughter	-	-	-	-	-	-	

11/11/2019

No.	Name of Internal personnel	Title	LIST OF RELATED PARTIES								
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of Issue	Ownership Certificate - Place of Issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	Notes
			Bùi Thị Thanh Hiền	Daughter	-	-	-	-	-	-	-
			Công ty TNHH MTV Đầu tư Xây dựng Phát triển Nam Khang	Chairman	-	-	-	-	10,330,505	3.98	-
			Công ty TNHH MTV Quản lý & Khai thác BĐS Nam Long	Chairman	-	-	-	-	-	-	-
			Công ty CP Phát triển Căn hộ Nam Long	Chairman	-	-	-	-	-	-	-
			Công ty CPTV Thiết kế Xây dựng Nam Viên	Chairman	-	-	-	-	-	-	-
4	Mr. Lai Voon Hon	Board Member	-	-	-	-	-	-	-	-	-
			Choi Pueh Teng	Wife	-	-	-	-	-	-	-
			Lai Ze Yan	Son	-	-	-	-	-	-	-
			Lai Ze Yi	Daughter	-	-	-	-	-	-	-
			Lai Ze Qi Keira	Daughter	-	-	-	-	-	-	-
			Lai Siew Wah	Father	-	-	-	-	-	-	-
			Liw Yoke Yin	Mother	-	-	-	-	-	-	-
			Lai Voon Keat	Brother	-	-	-	-	-	-	-
			Lai Voon Huey	Sister	-	-	-	-	-	-	-
			Lai Voon Wai	Brother	-	-	-	-	-	-	-
			Lai Voon Mei	Sister	-	-	-	-	-	-	-
			ASPL V6 Limited	GD – Ownership representative	-	-	-	-	-	-	-
			Công ty Ireka Development Management SdnBhd	Chairman cum CEO	-	-	-	-	-	-	-
			Công ty Ireka Corporation Berhad	Group CEO	-	-	-	-	-	-	-

No.	Name of internal personnel	Title	LIST OF RELATED PARTIES								
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of issue	Ownership Certificate - Place of issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	Notes
			Công ty ICSD Ventures SdnBhd	Co-CEO	-	-	-	-	-	-	
			Hoa Lam Shangri-La Ltd	CEO	-	-	-	-	-	-	
			City International Hospital (Bệnh viện Quốc tế Thành Đô)	CEO	-	-	-	-	-	-	
5	Mr. Chad Ryan Ovel	Board Member	-	-	-	-	-	-	-	-	
			Tran Minh Ngoc Thu	Wife	-	-	-	-	-	-	
			Vienna Mai Ovel	Daughter	-	-	-	-	-	-	
			Isabella An Ovel	Daughter	-	-	-	-	-	-	
			Mekong Capital	Partner	-	-	-	-	-	-	
			ABA Business Solutions Corporation	Board Member	-	-	-	-	-	-	
			Traphaco	Board Member	-	-	-	-	-	-	
			AA Corporation	Board Member	-	-	-	-	-	-	
6	Mr. Ziang Tony Ngo	Board Member	-	-	-	-	-	-	-	-	
			Minnelly Luu	Wife	-	-	-	-	-	-	
			Ian Chi Ngo	Son	-	-	-	-	-	-	
			Estelle An Ngo	Daughter	-	-	-	-	-	-	
			Timothy Ngo	Father	-	-	-	-	-	-	
			Bich Ngo	Mother	-	-	-	-	-	-	
			Alexander Ngo	Brother	-	-	-	-	-	-	

No.	Name of internal personnel	Title	LIST OF RELATED PARTIES								
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of issue	Ownership Certificate - Place of Issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	Notes
7	Mr. Ngian Slew Slong	Board Member	Top Mot Vietnam Holdings Pte. Ltd.	Board Member & Co-Founder	-	-	-	-	-	-	-
			Công ty TNHH Trung tâm Giáo dục Everest	Chairman and Co-CEO	-	-	-	-	-	-	-
			Sponsors for Educational Opportunity – Vietnam (Tổ chức Phi lợi nhuận đặt tại New York)	Board Member	-	-	-	-	-	-	-
			-	-	-	-	-	-	-	-	-
			Chong Sau Chun	Wife	-	-	-	-	-	-	-
			Ngian Yuchun	Son	-	-	-	-	-	-	-
			Ngian Liwan	Daughter	-	-	-	-	-	-	-
8	Mr. Cao Tấn Thạch	Board Member	Ngian Weichern	Daughter	-	-	-	-	-	-	-
			IFCA MSC Berhad	Independent Board Member	-	-	-	-	-	-	-
			Hiệp hội Phát triển Nhà ở và BĐS, Malaysia	Board Member	-	-	-	-	-	-	-
			-	-	-	-	-	-	658,158	0.25	-
			Cao Tấn Bửu	Father	-	-	-	-	-	-	-
			Ngô Thị Ngọc Liễu	Mother	-	-	-	-	7,117,611	2.74	-
			Lâm Ngọc Minh	Wife	-	-	-	-	12,815	0.005	-
			Cao Triết Nam	Son	-	-	-	-	-	-	-
			Cao Minh Khuê	Daughter	-	-	-	-	-	-	-
			Cao Duy Thông	Brother	-	-	-	-	3	0.00	-
			Cao Phương Thảo	Sister	-	-	-	-	-	-	-

No.	Name of internal personnel	Title	LIST OF RELATED PARTIES								
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of issue	Ownership Certificate - Place of issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	Notes
			Công ty CP Southgate	Chairman	-	-	-	-	-	-	-
			Công ty CP NNH Mizuki	Chairman	-	-	-	-	-	-	-
			Công ty TNHH Đầu tư & Kinh doanh BĐS Nguyễn Phúc	Chairman	-	-	-	-	-	-	-
			Công ty TNHH Đầu tư và Kinh doanh BĐS Thảo Nguyên	Chairman	-	-	-	-	-	-	-
			Công ty TNHH NLG – NNR – HR Fuji	Chairman	-	-	-	-	-	-	-
			Công ty TNHH NNH Kikyo Flora	Chairman	-	-	-	-	-	-	-
			Công ty TNHH NN Kikyo Valora	Chairman	-	-	-	-	-	-	-
			Công ty TNHH MTV Paragon Đại Phước	Chairman	-	-	-	-	-	-	-
			Công ty CP Đầu tư Việt Thiên Lâm	Chairman	-	-	-	-	-	-	-
9	Mr. Linson Lim Soon Kooi đại diện cho Ibeworth Pte Ltd	Board Member	-	-	-	-	-	-	-	-	-
			Lim Bok Hin	Father	-	-	-	-	-	-	-
			Ooi Poh Kin	Mother	-	-	-	-	-	-	-
			Neo Hwee Hoon	Wife	-	-	-	-	-	-	-
			Leanne Lim Shiqi	Daughter	-	-	-	-	-	-	-
			Justin Lim Jin Yang	Son	-	-	-	-	-	-	-
			Ibeworth Pte Ltd	Director – ownership representative	-	-	-	-	25,696,336	9.97	-
			Keppel Land Vietnam Limited	CEO	-	-	-	-	-	-	-
10	Ms. Nguyễn Lưu Tuyền	Chief of IC (GSM approved to change Inspection Committee in to Audit Committee on 20 Apr 2019)	-	-	-	-	-	-	11,537	0.004	-

No.	Name of Internal personnel	Title	LIST OF RELATED PARTIES								Notes
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of issue	Ownership Certificate - Place of Issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	
			Nguyễn Văn Thạch	Father	-	-	-	-	-	-	
			Nguyễn Thị Lưu (Pass away)	Mother	-	-	-	-	-	-	
			Nguyễn Danh Vỹ	Husband	-	-	-	-	-	-	
			Nguyễn Danh Khoa	Son	-	-	-	-	-	-	
			Nguyễn Danh Khôi	Son	-	-	-	-	-	-	
			Nguyễn Thạch Lăng	Brother	-	-	-	-	-	-	
			Nguyễn Thạch Lâm	Brother	-	-	-	-	-	-	
11	Mr. Đặng Hồng Tân	IC Member (GSM approved to change Inspection Committee in to Audit Committee on 20 Apr 2019)		-	-	-	-	-	-	-	
			Tô Thị Lân	Mother	-	-	-	-	-	-	
			Huỳnh Kim Huy	Wife	-	-	-	-	-	-	
			Đặng Huy Lương	Son	-	-	-	-	-	-	
			Đặng Thục Phương	Daughter	-	-	-	-	-	-	
			Đặng Sơn Hà	Brother	-	-	-	-	-	-	
			Đặng Thục Trinh	Sister	-	-	-	-	-	-	
			Đặng Châu Dương	Brother	-	-	-	-	-	-	
			Đặng Thục Thanh	Sister	-	-	-	-	-	-	
			Đặng Thục Oanh	Sister	-	-	-	-	-	-	
			Đặng Đức Phổ	Brother	-	-	-	-	-	-	
12	Ms. Nguyễn Thị Thanh Thảo	IC Member (GSM approved to change Inspection Committee in to Audit Committee on 20 Apr 2019)		-	-	-	-	-	9,419	0.0036	
			Nguyễn Thị Kim Ánh	Mother	-	-	-	-	-	-	

No.	Name of internal personnel	Title	LIST OF RELATED PARTIES								Notes
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of issue	Ownership Certificate - Place of issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	
			Âu Thế Tuấn	Husband	-	-	-	-	-	-	
			Nguyễn Thanh Tú	Brother	-	-	-	-	-	-	
			Nguyễn Thị Thanh Trúc	Sister	-	-	-	-	-	-	
13	Mr. Chu Chee Kwang	CEO	-	-	-	-	-	-	1,503,948	0.58	
			Chu Chin Koy	Father	-	-	-	-	-	-	
			Lim Yee Hong	Mother	-	-	-	-	-	-	
			Ruth Leong	Wife	-	-	-	-	-	-	
			Tropicana Kajang Hill Sdn Bhd	General Director	-	-	-	-	-	-	
			Sapphire Index Sdn Bhd	General Director	-	-	-	-	-	-	
			Tropicana Business Park Sdn Bhd	General Director	-	-	-	-	-	-	
			Lido Waterfront Boulevard Sdn Bhd	General Director	-	-	-	-	-	-	
			T Botanica Sdn Bhd	General Director	-	-	-	-	-	-	
14	Mr. Châu Quang Phúc	CFO	-	-	-	-	-	-	177,048	0.068	
			Nguyễn Thanh Huyền	Wife	-	-	-	-	4,828	0.0019	
			Châu Minh Hiền Khánh	Daughter	-	-	-	-	-	-	
			Châu Minh Ngọc Khánh	Daughter	-	-	-	-	-	-	
			Châu Quang Tuyền	Father	-	-	-	-	-	-	
			Trần Thị Minh Châu	Mother	-	-	-	-	-	-	
			Công ty TNHH MTV Dịch vụ Nam Long	Chairman	-	-	-	-	-	-	

No.	Name of Internal personnel	Title	LIST OF RELATED PARTIES								
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of issue	Ownership Certificate - Place of issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	Notes
15	Mr. Nguyễn Ngọc Huyền	COO	-	-	-	-	-	-	285,339	0.11	
			Nguyễn Văn Mật (Pass away)	Father	-	-	-	-	-	-	
			Nguyễn Thị Hân	Mother	-	-	-	-	-	-	
			Trần Thị Nga	Wife	-	-	-	-	-	-	
			Nguyễn Trần Mai Khanh	Daughter	-	-	-	-	-	-	
			Nguyễn Ngọc Trúc Vy	Daughter	-	-	-	-	-	-	
16	Mr. Phạm Đình Huy	CIO	-	-	-	-	-	-	-	-	
			Đỗ Thị Thanh Huyền	Mother	-	-	-	-	-	-	
			Phạm Minh Anh	Son	-	-	-	-	-	-	
			Phạm Huy Hoàng	Brother	-	-	-	-	-	-	
			Phạm Hoàng Hải	Brother	-	-	-	-	-	-	
17	Ms. Lương Thị Kim Thoa	Chief Accountant (resigned on 7 Jun 2019)	-	-	-	-	-	-	63,189	0.024	
			Lương Bá (Pass away)	Father	-	-	-	-	-	-	
			Vũ Thị Liên	Mother	-	-	-	-	-	-	
			Trương Văn Nghiêm	Husband	-	-	-	-	-	-	
			Trương Hòa Mi	Daughter	-	-	-	-	-	-	
			Trương Bá Sơn	Son	-	-	-	-	-	-	
18	Mr. Nguyễn Quang Đức	Chief Accountant (appointed on 7 Jun 2019)	-	-	-	-	-	-	4,455	0.0017	
			Nguyễn Văn Hẹ	Father	-	-	-	-	-	-	



No.	Name of Internal personnel	Title	LIST OF RELATED PARTIES								
			Name of Individuals/companies	Relationship	Address	Ownership Certificate	Ownership Certificate - Date of issue	Ownership Certificate - Place of Issue	# of shares at year end (shareholder list at 31/12/2019)	% of ownership at year end	Notes
			Lê Thị Bạch Đằng	Mother	-	-	-	-	-	-	
			Đỗ Thị Thủy Hằng	Wife	-	-	-	-	-	-	
			Nguyễn Đỗ Ngọc Minh	Daughter	-	-	-	-	-	-	
			Nguyễn Đỗ An Nhiên	Daughter	-	-	-	-	-	-	
			Nguyễn Đỗ Văn Khánh	Daughter	-	-	-	-	-	-	
			Nguyễn Thị Bạch Phượng	Sister	-	-	-	-	-	-	
			Nguyễn Quang Dũng	Brother	-	-	-	-	-	-	
			Nguyễn Quang Hậu	Brother	-	-	-	-	-	-	
19	Mr. Nguyễn Thanh Sơn	Deputy CEO - Development	-	-	-	-	-	-	-	-	
			Nguyễn Mười (Passed away)	Father	-	-	-	-	-	-	
			Nguyễn Thị Tơ	Mother	-	-	-	-	-	-	
			Nguyễn Thanh Long	Brother	-	-	-	-	-	-	
			Trần Thị Thanh Vân	Sister	-	-	-	-	-	-	
			Bùi Thị Thanh Hương	Wife	-	-	-	-	-	-	
			Nguyễn Thanh Vy	Daughter	-	-	-	-	-	-	
			Nguyễn Minh Thư	Daughter	-	-	-	-	-	-	