

**CÔNG TY CỔ PHẦN  
THỦY ĐIỆN MIỀN TRUNG**  
**CENTRAL HYDROPOWER  
JOINT STOCK COMPANY**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**  
**Độc lập - Tự do - Hạnh phúc**  
**THE SOCIALIST REPUBLIC OF VIETNAM**  
***Independence - Freedom - Happiness***

Số/No.: **1458**/EVNCHP-TKCT

Đà Nẵng, ngày **21** tháng 8 năm 2019  
Da Nang, **21** August 2019

**CÔNG BỐ THÔNG TIN  
TRÊN CÔNG THÔNG TIN ĐIỆN TỬ  
CỦA ỦY BAN CHỨNG KHOÁN NHÀ  
NƯỚC VÀ SGDCK TP.HCM**

**DISCLOSURE OF INFORMATION ON  
THE STATE SECURITIES  
COMMISSION'S PORTAL AND  
HOCHIMINH STOCK EXCHANGE'S  
PORTAL**

Kính gửi/ To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*  
- Sở Giao dịch chứng khoán TP.HCM/ *Hochiminh Stock Exchange*

- Tên tổ chức /*Organization name*: Công ty Cổ phần Thủy điện miền Trung/ *Central Hydropower Joint Stock Company*.
- Mã chứng khoán/ *Securities Symbol*: CHP
- Địa chỉ trụ sở chính/ *Address*: Lô 57B, đường số 6, Khu công nghiệp Đà Nẵng, quận Sơn Trà, thành phố Đà Nẵng/ *Lot 57B, street No.6, Danang Industrial Zone, Son Tra district, Danang city*.
- Điện thoại/ *Telephone* : 0236 3959110/ + 84 236 3959110
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- Người thực hiện công bố thông tin/ *Submitted by*: Ông Trương Công Giới/ *Mr. Truong Cong Gioi*

Chức vụ/ *Position*: Tổng Giám đốc / *General Director*

Loại thông tin công bố: ☒ định kỳ ☐ bất thường ☐ 24h ☐ theo yêu cầu

*Information disclosure type*: ☒ *Periodic* ☐ *Irregular* ☐ *24 hours* ☐ *On demand*

**Nội dung thông tin công bố (\*)/ Content of Information disclosure (\*):**

Báo cáo tình hình quản trị công ty của Công ty Cổ phần Thủy điện miền Trung (6 tháng)-Bảng tiếng Anh/ *Report on corporate governance of Central Hydropower Joint Stock Company (6 months)-English version*.

Thông tin này đã được công bố trên trang thông tin điện tử của công ty/quỹ vào ngày 20/08/2019 tại đường dẫn: <http://www.chp.vn/CoDong.html>

*This information was disclosed on Company/Fund's Portal on date 20 August 2019. Available at: http://www.chp.vn/CoDong.html*

Tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./

*I declare that all information provided in this paper is true and accurate; I shall be legally responsible for any misrepresentation.*

*Tài liệu đính kèm: Báo cáo tình hình quản trị công ty 6 tháng –Bằng tiếng Anh.*

*Attachment: Report on corporate governance(6 months)- English version.*

*Tài liệu liên quan đến việc CBTT /*

*Documents related to the disclosure*

**Đại diện tổ chức** ml

**Organization representative**

Người đại diện theo pháp luật/Người UQ CBTT

Legal representative/Party authorized to disclose information

**TỔNG GIÁM ĐỐC**



*Trương Công Giới*

Ref.: /EVNCHP-HDQT

Da Nang, August , 2019

**REPORT ON CORPORATE GOVERNANCE OF LISTED COMPANY  
(6 months)**

To: - The State Securities Commission  
- Ho Chi Minh Stock Exchange

- Name of listed company: Central Hydropower Joint Stock Company
- Address of head office: Lot 57B, street No. 6, Da Nang Industrial Zone, Son Tra district, Da Nang city.
- Telephone: +84 236 3959 110 Fax: +84 236 3935 960 Email: bttwebchp@gmail.com
- Charter capital: VND 1,385,985,050,000
- Securities code: CHP

**I. Activities of the General Meeting of Shareholders:**

Information on meetings and resolutions / decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders adopted in the form of written comments):

The 2019 Annual General Meeting of Shareholders of Central Hydropower Joint Stock Company on April 25, 2019 adopted the following resolution.

No.	Resolution/Decision No.	Date	Content
1	01/2019/NQ-CHP-HĐCĐ	April 25, 2019	<p><b>It was resolved:</b></p> <p><b>Article 1.</b> To approve the report on the 2018 production and business results and 2019 plan of the Company.</p> <p><i>a. 2018 Production, business and investment results</i></p> <p>To approve the accomplishment of the 2018 Company missions.</p> <p><i>b. 2019 Production, business and investment plan</i></p> <p>* <b>Target 1:</b> To accomplish revenue plan of VND 767.182 billion.</p> <p>* <b>Target 2:</b> To accomplish after-tax profit plan of VND 247.473 billion.</p> <p>* <b>Target 3:</b> To accomplish Commercial Operation Day of Cu Jut Solar PV Power Plant by June 30, 2019.</p> <p><b>Article 2.</b> To approve the 2018 financial</p>



No.	Resolution/Decision No.	Date	Content
			<p>statements of the Company audited by AAC Auditing and Accounting Co., Ltd.</p> <p><b>Article 3.</b> To approve the report on activities of the Board of Directors in 2018.</p> <p><b>Article 4.</b> To approve the report of the Supervisory Board on inspection and supervision over the Company's activities in 2018.</p> <p><b>Article 5.</b> To approve the distribution plan of 2018 profit and expected dividends of 2019 as the followings</p> <p><i>a. The distribution plan of 2018 profit</i></p> <ul style="list-style-type: none"> <li>- Welfare and reward fund: VND 4,794,615,823</li> <li>- Cash dividend payout ratio in 2018 is 15%: VND 207,897,757,500</li> <li>- Undistributed profits: VND 63,146,096,348</li> </ul> <p>The period for paying dividends must not exceed 6 months as per required in clause 4, article 132 of Vietnam Enterprise Law.</p> <p><b>Undistributed profits:</b> Based on the 2019 production and business results, Board of Directors is authorized to decide whether to pay extra 2018 dividends or to submit a plan to raise charter capital to ensure counterpart fund for Cu Jut Solar PV Power Plant project.</p> <p><i>b. Expected dividend payment in 2019:</i></p> <ul style="list-style-type: none"> <li>- Planned revenue in 2019:</li> <li>- Planned cost in 2019:</li> <li>- Before-corporate tax profit in 2019:</li> <li>- Current corporate tax cost:</li> <li>- After-corporate tax profit in 2019.</li> <li>- <b>Expected dividend payout ratio in 2019: 18%</b></li> </ul> <p><b>Advance payment of 2019 dividends:</b> Board of Directors is authorized to decide ratio, time, method of advance payment and to carry out relevant procedures of advance payment of 2019 dividends based on production and business results of the company and the State</p>

No.	Resolution/Decision No.	Date	Content
			<p>regulations.</p> <p><b>Article 6.</b> To approve the amendments to the Company's Charter in accordance with Law on Enterprise 68/2014/QH13.</p> <p>Amendments:</p> <p>+ Clause 1 – Article 4: Operational Objectives of the Company.</p> <p>+ Clause 1 – Article 38: Members of Supervisory Boards.</p> <p>+ Clause 2 – Article 26: Constitution and terms of Members of Board of Directors.</p> <p><b>Article 7.</b> To approve the selection of Audit firms to carryout 2019 Financial Statement audit.</p> <p>a. List of independent audit firms for selection:</p> <p>+ AAC Auditing and Accounting Co., Ltd</p> <p>+ RSM Vietnam Auditing and Consulting Ltd</p> <p>b. Annual General Meeting authorized the BOD to select one of the two above-mentioned audit firms, which has most appropriate capacity and price, to carry out 2019 Financial Statement audit.</p> <p>c. In case the Company cannot reach agreement with the aforesaid audit firm, the General Meeting of Shareholders shall authorize the BOD to, based on the requirements and interests of the company, select another audit firm publicized by the State Securities Commission of Vietnam.</p> <p><b>Article 8.</b> To approve the remuneration of the BOD, the salary and remuneration of the Supervisory Board in 2019 with the rate of 1% of the profit after corporate income tax.</p> <p><b>Article 09.</b> To approve the list of elected member of the Supervisory Board for the third term (2015 - 2020) as follows:</p> <p>Mr. Le Van Dien has a total number of 99.673.687 votes, making up 99.92%.</p> <p><b>Article 10.</b> Implementation of the Resolution.</p>

## II. Board of Directors (6 months report):

### 1. Information about members of the Board of Directors:



No.	BOD's member	Position	Day becoming/no longer a member of the Board	Number of attendance of the Board's meeting	Attendance percentage	Reasons for absence
1	Mr. Pham Ngoc Le	Chairman	Reappointed on April 23, 2015			Resignation on May 16, 2018
2	Mr. Ho Quoc Viet	Chairman	Appointed on May 16, 2018	3/3	100%	
3	Mr. Pham Sy Hung	Member	Appointed on August 1, 2016			Resignation on May 16, 2018
4	Mr. Truong Cong Gioi	Member	Reappointed on April 23, 2015	3/3	100%	
5	Mr. Nguyen Quang Quyen	Member	Appointed on April 20, 2017	3/3	100%	
6	Mr. Nguyen Luong Minh	Member	Appointed on May 16, 2018	3/3	100%	
7	Mr. Huynh Van Hung	Member	Appointed on May 16, 2018	3/3	100%	
8	Mr. Nguyen Huu Tam	Independent member	Appointed on April 23, 2015	3/3	100%	
9	Mr. Nguyen Huu Phuong	Independent member	Appointed on April 23, 2015	3/3	100%	

## 2. Supervision by the Board of Directors over the Management Board:

Pursuant to the Company's charter and the Regulation on Corporate Governance, the Board of Directors has inspected and monitored activities of the Management Board in implementing the resolutions of the General Meeting of Shareholders and the Board of Directors in the first half of 2019 as follows.

Through the Board's meetings and means of communication, the Board of Directors has regularly inspected and monitored the activities of the Management Board in implementing the first half of 2019 goals and business plan approved by the Annual General Meeting of Shareholders.

The Board of Directors has regularly directed and discussed with the Management Board to find solutions to reach production and business goals of the first half of 2019.

## 3. Activities of subcommittees of the Board of Directors:

Currently, there are three subcommittees under the Board of Directors. In the first half of 2019, the subcommittees operated in accordance with their responsibilities and obligations as stipulated in the Company's Charter and Corporate Governance Regulations as follows.

*\* Development Policy Subcommittee:*

- Review and verification of short-term and long-term plans for production and business development of the company;
- Review, research and evaluation of factors and trends affecting the business activities of the company;
- Defining annual goals and tasks based on strategic goals approved by the General Meeting of Shareholders;
- Verification of investment and construction plan of the company.

*\* Finance and Risk Management Subcommittee:*

- Research on short-term and long-term financial strategies of the company.
- Verification of budget and long-term plans of the company;
- Verification and proposal on dividend rate.
- Monitoring the integrity of financial statements of the company, competence and independence of independent auditors.

*\* Human Resources and Compensation Subcommittee:*

- Review of scale, structure, organization and personnel that are suitable for the scale of operation and development strategies of the company;
- Verification of regime and policy on salary of the company.

4. Resolutions/decisions of the Board of Directors (6 months report):

No .	Resolution/ Decision No.	Date	Content
1	01/2019/NQ-CHP-HDQT	February 27, 2019	+ Minutes of regular meeting in the 1 <sup>st</sup> quarter of 2019 + To approve the Plan to establish Cu Jut Solar PV Power plant in accordance with Company's Proposal no. 328/TTr-EVNCHP dated February 19, 2019. + To adopt the agenda for 2019 Annual General Meeting of Shareholders.
2	02/2019/NQ-CHP-HDQT	March 29, 2019	+ Minutes of meeting on amendments of Company's Charter
3	03/2018/NQ-CHP-HDQT	April 24, 2019	+ Minutes of regular meeting in the 2 <sup>nd</sup> quarter of 2019 + To adopt the program for 2019 Annual General Meeting of Shareholders.

**III. Supervisory Board (6 months report):**

**1. Information about members of Supervisory Board:**



No.	Members of Supervisory Board	Position	Date becoming /no longer a member of Supervisory Board	Number of attendance of meetings	Attendance percentage	Reason for absence
1	Mr. Trinh Quang Viet	Chief supervisor	Appointed on March 31, 2016	1	100%	
2	Mr. Nguyen Van Danh	Member	Appointed on March 31, 2016	1	100%	
3	Mr. Pham Viet Thien	Member	Appointed on March 31, 2016	1	100%	
4	Mr. Le Van Dien	Member	Appointed on April 25, 2019			

## **2. Meetings of Supervisory Board in the first half of 2019:**

### **2.1. Meetings of Supervisory Board:**

In the first half of 2019, the Supervisory Board held 01 meeting with participation of all members. Contents of the meetings include reviewing and evaluating the implementation of the resolutions of 2018 General Meetings of Shareholders and verifying the data in 2018 Financial Statement. Besides, in addition to the official meetings, the Supervisory Board members also regularly inform and exchange information with each other so that the members can supervise over the Company's operations.

### **2.2. Participation in meetings of Board of Directors:**

In the first half of 2019, the Supervisory Board was invited to attend all the regular meetings and a number of extraordinary meetings of the Board to grasp the guidelines of the Board of Directors, to ensure that the Board's policies were issued in accordance with regulations, in accordance with the resolutions of the General Meeting of Shareholders, through which benefits and rights of the shareholders and the investors are always guaranteed.

### **2.3. Participation in meetings of Management Board:**

Every week, the Chief Supervisor takes part in regular meetings at the Company to grasp management solutions, participate in discussion and recommend related issues related to the implementation of management of the Management Board.

## **3. Supervision by Supervisory Board over Board of Directors, Management Board and Shareholders:**

In the first half of 2019, the Supervisory Board supervised over the activities of the Board of Directors and Management Board in the performance of their duties and powers in accordance with the Company's Charter and internal regulations of the Company as follows.

- Supervising the implementation of the resolutions approved at the 2018 Annual General Meetings of Shareholders.
- Supervising the implementation of production and business activities and the company's governance in the first half of 2019.
- Supervising the investment activities of Cu Jut Solar PV Power project, DakNong.
- Verifying 2018 Financial Statement.
- Supervising the Company's disclosure of information on completeness, accuracy and timeliness as prescribed for the information to be disclosed.



- Supervisory Board has reported on comments and evaluation of management and event operation of BOD and Management Board in the 2019 Annual General Meetings of Shareholders.

#### **4. Coordination between Supervisory Board and Board of Directors, Management Board and other managers:**

- The coordination between Supervisory Board and Board of Directors, Management Board and other managers adheres to the principle: to comply with regulations of laws, regulations of the Company and for the common interest of the Company and shareholders.

- Throughout its operation period, Supervisory Board was frequently provided with sufficient information and documents relevant to governance, operation and decision making of Board of Directors and Management Boarding.

- Board of Directors and Management Board facilitated Supervisory Board's accomplishment of its regulated duties. Each meeting of Board of Directors and other Company meets is attended by Supervisory Board. The proposals of Supervisory Board were subject to Board of Directors and Management Boards consideration to direct relevant bodies to study and implement.

- The members of Supervisory Board fulfilled their duties in a cautious manner to obstruct the operation of Board of Directors and Management Boards

#### **5. Other activities of Supervisory Board (if any): None**

#### **IV. Training on corporate governance:**

Training courses on corporate governance which members of Board of Directors, the Supervisory Board, the Director (General Director), other managers and company secretary were involved in accordance with regulations on corporate governance:

Members of the Board of Directors, members of the Supervisory Board, the General Director, other managers and Company secretary attended corporate governance training courses in previous years.

#### **V. List of affiliated persons of listed company as specified in clause 34, Article 6 of the Securities Law (6 months report) and transactions of affiliated persons of the company with the company):**

##### **1. List of affiliated persons of the company:**

N o.	Name of organization /individual	Securities trading account (if any)	Position at company (if any)	NSH* number date of issue, place of issue	Address of head office/ Contact address	Starting time of being an affiliated person (dd/mm/yyyy)	Time of no longer an affiliated person (dd/mm/yyyy)	Reason
<b>I</b>	<b>Board of Directors</b>							
1	Ho Quoc Viet		Chairman			23/4/2015		
2	Truong Cong Gioi		Member, General Director			23/4/2015		
3	Nguyen Quang Quyen		Member			20/4/2017		
4	Nguyen Luong Minh		Member			16/5/2018		
5	Huynh Van Hung		Member			16/5/2018		
6	Nguyen Huu Phuong		Independent member			23/4/2015		
7	Nguyen Huu Tam		Independent			23/4/2015		

N o.	Name of organization /individual	Securities trading account (if any)	Position at company (if any)	NSH* number date of issue, place of issue	Address of head office/ Contact address	Starting time of being an affiliated person (dd/mm /yyyy)	Time of no longer an affiliated person (dd/mm /yyyy)	Reason
			t member					
<b>II Supervisory Board</b>								
1	Trinh Quang Viet		Chief supervisor			31/3/2016		
2	Nguyen Van Danh		Member			31/3/2016		
3	Pham Viet Thien		Member			31/3/2016		
4	Le Van Dien		Member			25/04/2019		
<b>III Management Board</b>								
1	Truong Cong Gioi		Board's member, General Director			23/4/2015		
2	Lam Uyen		Deputy General Director			01/12/2014		
3	Le Quy Anh Tuan		Deputy General Director			01/6/2013		
<b>IV Chief accountant</b>								
1	Huynh Mai		Chief accountant			01/12/2014		
<b>V Major shareholders</b>								
1	Southern Power Corporation			0300942001 07/04/2010 Department of Planning and Investment of HCM city	72 Hai Ba Trung street, Dist. 1, Ho Chi Minh city	30/11/2004		
2	Central Power Corporation			0400101394 07/12/2015 Department of Planning and Investment of Da Nang city	78A Duy Tan street, Hoa Thuan Dong ward, Hai Chau Dist., Da Nang city	30/11/2004		
3	Refrigeration Electrical Engineering Corporation			0300741143 29/12/1993 Department of Planning and Investment of HCM city	364 Cong Hoa street, ward 13, Tan Binh Dist., Ho Chi Minh city	08/12/2016		

2. Transactions between the company and the affiliated persons or between the company and leading shareholders, internal persons and related persons of internal persons: No transaction.

3. Transactions between internal person of listed company, related persons of internal persons and company's subsidiaries, companies controlled over by the listed company: No transaction.

4. Transactions between the company and other objects:

4.1. Transactions between the company and companies that members of the Board of



Directors, members of the Supervisory Board, the Director (General Director) have been founding members or members of Board of Directors, Director (General Director) in three (03) recent years (calculated at the time of reporting): No transaction.

4.2. Transactions between the company and companies that related persons of members of the Board of Directors, members of the Supervisory Board, the Director (General Director) are members of Board of Directors, Director (General Director): No transaction.

4.3. Other transactions of the company (if any) that may bring material or nonmaterial benefits to members of the Board of Directors, members of the Supervisory Board, the Director (General Director): No transaction.

## VI. Transactions of internal persons and related persons of internal person (6 months report):

### 1. List of internal persons and their related persons:

F. List of internal persons and their related persons.								
No.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
I	Ho Quoc Viet		Chairman			23,876,886	17.227%	Representative for contributed capital of Southern Power Corporation
						201,300	0.145%	Personal ownership
	Related person of Mr. Ho Quoc Viet							
1	Ho Van Mien					0	0%	Father
2	Vo Thi Huu					0	0%	Mother
3	Ho Dang Khanh Ngan					0	0%	Daughter
4	Ho Quoc Dang Hung					0	0%	Son
5	Ho Phong Son					0	0%	Younger brother
6	Ho Thi Tuyen					0	0%	Younger sister
	Related entity of Mr. Ho Quoc Viet							Chức vụ
	Southern Power Corporation					31,835,848	22.97%	Head of Investment Management Dept.
II	Huynh Van Hung		Member			7,958,962	5.742%	Representative for contributed capital of Southern Power Corporation

No.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
						0	0%	Personal ownership
	Related persons of Mr. Huynh Van Hung							
1	Nguyen Thi Thu Mai					0	0	Wife
2	Huynh Thanh Trung					0	0	Son
3	Huynh Quoc Dung					0	0	Son
	Related entities of Mr. Huynh Van Hung							
1	Southern Power Corporation					31,835,848	22.97%	Deputy Head of Finance - Accounting Dept.
2	Vinasino Electrical Equipment Joint Stock Company							Member of the Board
III	Truong Cong Gioi		Member - General Director			20,789,776	15%	Representative for contributed capital of Central Power Corporation
						62,139	0.044%	Personal ownership
	Related persons of Mr. Truong Cong Gioi							
1	Dang Thi Cuc					132,825	0.095%	Wife
2	Truong Cong Nhan					0	0%	Son
3	Truong Cong Dao					0	0%	Son
4	Truong Cong Doi					0	0%	Younger brother
5	Truong Cong Lap					0	0%	Younger brother
	Related entity of Mr. Truong Cong Gioi							
6	Central Power Corporation					31,835,847	22.97%	Representative for contributed capital
IV	Nguyen Luong Minh		Member			11,046,071	7.969%	Representative for contributed capital of Central Power Corporation



No.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
						32,340	0.023%	Personal ownership
1	Nguyen Luong My					0	0	Father
2	Tran Thi Dieu Khue					0	0	Mother
3	Nguyen Thi My Chau					0	0	Sister
4	Nguyen Thi My Tran					0	0	Sister
5	Nguyen Thi My Phuong					0	0	Sister
6	Nguyen Luong Giam					0	0	Brother
7	Nguyen Thi Lan Giao					0	0	Wife
8	Nguyen Thi Hanh Nguyen					0	0	Daughter
9	Nguyen Thi Hanh Doan					0	0	Daughter
	Related entity of Mr. Nguyen Luong Minh							
1	PC3 Investment Joint Stock Company							Board Member - General Director
V	Nguyen Quang Quyen		Member			31,438,493	22.68%	Representative for contributed capital of Refrigeration Electrical Engineering Corporation
						0	0%	Personal ownership
	Related persons of Mr. Nguyen Quang Quyen							
1	Pham Thi Hai Binh					0	0%	Wife
2	Nguyen Quang					0	0%	Son
3	Pham Quoc Binh					0	0%	Son
	Related entities of Mr. Nguyen Quang Quyen							
4	Refrigeration Electrical Engineering Corporation					31,438,493	22.68%	Investment Director
5	Thac Ba Hydropower Joint Stock Company				Thac Ba town, Yen Binh district, Yen Bai province	0	0%	Member of the Board
6	Thac Mo Hydropower Joint Stock Company				Long Thuy ward, Long Phuoc city, Binh Phuoc province	0	0%	Member of the Board

No.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7	Ba Ha River Hydropower Joint Stock Company				02C Hung Tran Dao street, Tuy Hoa city, Phu Yen province	0	0%	Member of the Board
8	Pha Lai Thermal Power Joint Stock Company				Pha Lai ward, Chi Linh city, Hai Duong province	0	0%	Member of the Board
VI	Nguyen Huu Phuong		Independent member			125,950	0.09%	
	<i>Related persons of Mr. Nguyen Huu Phuong</i>							
1	Nguyen Hoang Vu					0	0%	Son
2	Nguyen Thuy Hoang Dung					0	0%	Daughter
3	Nguyen Thi Ngoc Ha					0	0%	Daughter
5	Nguyen Huu Phuc					0	0%	Son
6	Nguyen Thi My					0	0%	Younger sister
VII	Nguyen Huu Tam		Independent member			103,950	0.075%	
	<i>Related persons of Mr. Nguyen Huu Tam</i>							
1	Tran Thi Chau					103,950	0.075%	Wife
2	Nguyen Thi Tran Thao					17,325	0.012%	Daughter
3	Nguyen Huu Hieu					0	0%	Son
VIII	Trinh Quang Viet		Chief supervisor			11,550	0.008%	
	<i>Related persons of Mr. Trinh Quang Viet</i>							
1	Le Thi Tam					0	0%	Mother
2	Dang Thi Diem My					0	0%	Wife
3	Trinh Quang Khang					0	0%	Son
4	Trinh Quang Nhat					0	0%	Son
5	Trinh Quang Vu					0	0%	Elder brother
6	Trinh Thi Thuy Van					0	0%	Elder sister
IX	Nguyen Van Danh		Member			6,424	0.004%	
	<i>Related persons of Mr. Nguyen Van Danh</i>							
1	Nguyen Buong					0	0%	Father
2	Ly Thi Bach					0	0%	Mother



No.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3	Nguyen Thi Trang					0	0%	Wife
4	Nguyen Van Tam					0	0%	Elder brother
5	Nguyen Thi Thanh					0	0%	Elder sister
6	Nguyen Van Len					0	0%	Younger brother
<i>Related entity of Mr. Nguyen Van Danh</i>								
7	Southern Power Corporation. Ltd					31,835,847	22.97%	
X	Pham Viet Thien		Member			0	0%	
1	Pham Viet Vu					0	0%	Father
2	Tran Thi Luan					0	0%	Mother
3	Tran Thi Thu Suong					0	0%	Wife
4	Pham Ngoc Diep					0	0%	Daughter
5	Pham Viet Thanh Tri					0	0%	Son
6	Pham Ngoc Khanh Chi					0	0%	Daughter
7	Pham Viet Vuong					0	0%	Younger brother
<i>Related entity of Mr. Pham Viet Thien</i>								
7	Central Power Corporation					31,835,847	22.97%	
XI	Lam Uyen		Deputy General Director			75,075	0.054%	
<i>Related persons of Mr. Lam Uyen</i>								
1	Nguyen Ba Quynh Anh					0	0%	Wife
2	Lam Vi Quan					0	0%	Daughter
3	Lam Nhat Huy					0	0%	Son
4	Lam Tuya					0	0%	Elder brother
5	Lam Thi Uong					0	0%	Younger sister
6	Lam Uong					0	0%	Younger brother
XII	Le Quy Anh Tuan		Deputy General Director			40,425	0.029%	
<i>Related persons of Mr. Le Quy Anh Tuan</i>								
1	Le Quy Hoa					0	0%	Father
2	Hoang Thi Thu					28,688	0.02%	Wife
3	Le Hoang Minh Thu					0	0%	Daughter

No.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4	Le Quy Hoang Thuc					0	0%	Son
5	Le Thi Thanh Thuy					0	0%	Elder sister
6	Le Thi Song Huong					0	0%	Younger sister
7	Le Quy Anh Hung					0	0%	Younger brother
<b>XIII</b>	<b>Huynh Mai</b>		<b>Chief accountant</b>			<b>46,200</b>	<b>0.033%</b>	
<i>Related persons of Mr. Huynh Mai</i>								
1	Huynh Thi Kim Uyen					60,922	0.044%	Wife
2	Huynh Bao Thoa					0	0%	Daughter
3	Huynh Tuan Khai					0	0%	Son
4	Huynh Binh					0	0%	Younger brother
<b>XIV</b>	<b>Le van Dien</b>		<b>Member</b>			<b>0</b>	<b>0%</b>	
<i>Related persons of Mr. Le Van Dien</i>								
1	Le Van Thiet					0	0%	Father
2	Pham Thi Y					0	0%	Mother
3	Le Thi Dung					0	0%	Younger sister
4	Le Thi Cuc					0	0%	Younger sister
<i>Related entity of Mr. Le Van Dien</i>								
5	Refrigeration Electrical Engineering Corporation					31,438,493	22.68%	Head of Investment Analysis Department

**Note:** The above-mentioned securities data is based on the list of shareholders on March 25, 2019 and the reports on transaction of internal persons and affiliated persons.

2. Transactions of internal persons and affiliated persons on shares of the listed company:  
None

**VII. Other significant issues:** None.

**CHAIRMAN OF THE BOARD**  
(Signed and sealed)

**Ho Quoc Viet**