

PHU TAI



SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Quy Nhon City, January 24th, 2019

**REPORT OF CORPORATE GOVERNANCE OF
LISTING COMPANY IN 2018**

To: - *The State Securities Commission*
- *The Stock Exchange in Ho Chi Minh City*

SỞ GIAO DỊCH CHỨNG KHOÁN
TP. HỒ CHÍ MINH

ĐẾN Số: 7071
Ngày: 27/2/19
Chuyển: N.T. 65
Lưu hồ sơ số:

1. Name of listing company: **PHUTAI JOINT STOCK COMPANY**
2. Address of head office: 278 Nguyen Thi Dinh Street, Quy Nhon City, Binh Dinh
3. Telephone: 056.3847668 Fax: 056.3847556
4. Charter capital: 485.994.410.000 VND
5. Securities code: PTB

I. Activities of the General Meeting of Shareholders.

Information on meeting and resolution / decisions of the General Meeting of Shareholder (including the resolutions of the General Meeting of Shareholder adopted in the form of written comment):

No	Resolution/Decision No	Date	Content
1	02/NQ-ĐHĐCĐ	21/4/2018	<ol style="list-style-type: none">1. Approving of activities report of the Board of Directors in 2017 and activities plans in 2018.2. Approving of activities report of the Board of Directors in 3rd term and activities plans in 4th term.3. Approving of Financial Statements in 2017.4. Approving of profit distribution and pay dividend plan in 2017.5. Approving of changing of organizational structure for management and operation6. Approving of changing business lines.7. Approving of Draft of the remuneration plan for the Board of Director in 2018.8.9. Approving of modify and additional the Charter of company.10. Approving of modify and additional the internal regulations.11. Approving of the Supervisory Board's activities reports in 2017.12. Audit letters.13. Election of the Board of Directors in term IV (2018-2022)

II. Board of Directors.

1. Information about the member of the Board of Directors

No	BOD's member	Position	Day becoming member of BOM	Number of attendance	Percentage	Reasons for absence
1	Mr. LE VY	Chairman	21/04/2018	16	100%	
2	Mr. LE VAN THAO	Deputy Chairman	21/04/2018	16	100%	
3	Mr. PHAN QUOC HOAI	Member	21/04/2018	16	100%	
4	Mr. TRAN THANH CUNG	Member	21/04/2018	16	100%	
5	Mr. LE VAN LOC	Member	21/04/2018	16	100%	
6	Mr. NGUYEN SY HOE	Member	21/04/2018	16	100%	
7	Mr. DO XUAN LAP	Independence Member	21/04/2018	16	100%	
8	Mr. TRAN HUU DUC	Independence Member	21/04/2018	16	100%	

2. Supervision by the BOD over the Board of managers

- Perform regular close monitoring, urging and orientation to the Board of Manager to comply with the resolutions of the AGM and the resolutions and decisions of the Board of Directors, internal regulations of the company.
- Monitor and inspect implementation of investment projects have been approved by the Board of Directors.
- Regularly check the execution and observance of law, rules and the Regulations of the company in the business activities.
- Working closely supervise risk management for investment activities, business, accounts receivable, cash flow.

3. Activities of the Internal Audit Board

3.1 Information about the member of the Internal Audit Board

No	Member of Internal Audit Board	Position	Day becoming member of the IAB	Number of attendance	Percentage	Reasons for absence
1	Mr. Bui Thuc Hung	Chief	01/05/2018	2	100%	

2	Mr. Truong Cong Hoang	Member	01/05/2018			
3	Mrs. Dang Thi Tu Oanh	Member	01/05/2018			

3.2 Functions and tasks of the IAB

The Internal Audit Board (IAB) is a division of the Board of Directors, which advises and assists the BOD in monitoring and supervising the company's production and business activities ensuring that all activities are consistent with the Charter and laws. IAB performs its duties and functions in accordance with the Regulation and is responsible to the BOD for its supervisory activities.

4. Resolutions/Decisions of the Board of Directors

No.	Resolution/ Decision No.	Date	Content
1	11/NQ-HĐQT	18/01/2018	The production business result in 2017 and production business in 2018.
2	13/QĐ-HĐQT	18/02/2018	Approved the settlement of Phu Cat wood processing factory.
3	14/QĐ-HĐQT	18/02/2018	Approved the settlement of Dien Tan stone processing factory.
4	21/TB-HĐQT	09/02/2018	Through the record of issuing of shares for shareholders
5	29/TB-HĐQT	02/03/2018	Through the record of issuing of shares for ESOP
6	37/BC-HĐQT	23/03/2018	The Report of result issuing of shares for shareholders.
7	38/CBTT-HĐQT	23/03/2018	The Report on change the number of voting shares in circulation
8	53/NQ-HĐQT	17/04/2018	The production business result of first quarter in 2018 and production business of second quarter in 2018.
9	56/QĐ-HĐQT	20/4/2018	Approved the establishment of Phu Tai Real Estate Co., Ltd.
10	74/QĐ-HĐQT	24/5/2018	Increase charter capital after the completion of the share issuance for existing shareholders and ESOP.
11	78/NQ-HĐQT	01/06/2018	Implementing the dividend payment plan in 2017.
12	87/QĐ-HĐQT	01/05/2018	Approved the establishment of the Internal Audit Board.
13	94/BC-HĐQT	5/07/2018	The Report of result issuing of shares to dividend payment

14	95/CBTT-HĐQT	05/07/2018	The Report on change the number of voting shares in circulation
15	99/QĐ-HĐQT	18/07/2018	The production business result of first 6 month in 2018 and production business of last 6 month in 2018.
16	102/QĐ-HĐQT	20/07/2018	Increase charter capital after the completion of the share issuance to dividend payment.
17	118/QĐ-HĐQT	02/08/2018	Appoint staff: in charge of corporate governance
18	120/QĐ-HĐQT	10/08/2018	Receive capital transfer of Granite stone Thanh Chau Phu Yen Company ltd (100%)
19	128/QĐ-HĐQT	21/08/2018	Approval of investment projects: Phuoc Thanh wood processing factory
20	143/NQ-HĐQT	20/10/2018	The production business result of first 9 month in 2018 and production business of last 3 month in 2018.
21	151/QĐ-HĐQT	03/12/2018	Establishment of branch: Khanh Hoa enterprise
22	154/QĐ-HĐQT	03/12/2018	Establishment of branch: Binh Dinh enterprise
23	157/QĐ-HĐQT	05/12/2018	Receive capital transfer of Van Phu Jsc (98%)

III. Supervisory Board:

IV. Training on corporate governance:

Training courses on governance which members of BOD, members of the SB, CEO, other managers and company secretary was involved:

1. Training on organization of the Annual General Meeting of Shareholders in 2018 and new regulations on Corporate Governance (24/01/2018), Mr. Phan Quoc Hoai - Member of the BOD.

2. Workshop: International Financial Reporting Standards (IFRS) (09/08/2018). Mrs. Nguyen Thi My Loan - Chief Accountant.

3. Workshop: "Provide comments on the draft Securities Law (amended) in the South" (14/11/2018), Mr. Phan Quoc Hoai – Member of BOD.

V. List of affiliated persons of the public company as specified in clause 34, Article 6 of the Securities Law and transactions of affiliated persons of the Company with the Company.

1. List of affiliated persons of the Company

No	Name of organization /individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
1	Le Vy		Chairman of BOD		Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		
	Vo Thi Hoai Chau				228 Hoang Hoa Tham Street, W.12, Tan Binh District, HCMC	21/04/2018		Wife
	Le Van Loc		Member of BOD		Binh Dinh Building, W.15; 11 District, HCMC	21/04/2018		Younger brother
	Nguyen Thi Minh				Binh Dinh Building, W.15; 11 District, HCMC	21/04/2018		Sister in law
2	Le Van Thao		Deputy Chairman of BOD and General Director		Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		
	Le Thi Kim Sang				Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		Wife
	Le Van Luan		Director of 380 Enterprise		Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		Younger brother
	Le Van Tan				Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		Child
	Le Van Toan				Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		Child
3	Tran Thanh Cung		Member of BOD		262A Nguyen Thai Hoc Street, Quy Nhon	21/04/2018		
	Van Thi Vinh				262A Nguyen Thai Hoc Street, Quy Nhon	21/04/2018		Wife
	Tran The Trieu				Nguyen Van Cu Ward, Quy Nhon	21/04/2018		Younger brother
	Tran Thi Thanh Van				262A Nguyen Thai Hoc Street, Quy Nhon	21/04/2018		Daughter

	Tran Thanh Binh			262A Nguyen Thai Hoc Street, Quy Nhon	21/04/2018		Son
4	Phan Quoc Hoai		Member of BOD	Tran Quang Dieu Ward, Quy Nhon	21/04/2018		
	Hoang Thi Khanh Van			Tran Quang Dieu Ward, Quy Nhon	21/04/2018		Wife
	Phan Hoang			Tran Quang Dieu Ward, Quy Nhon	21/04/2018		Child
	Phan Hoang Vu			Tran Quang Dieu Ward, Quy Nhon	21/04/2018		Child
	Phan Sac			Thai Nguyen Province	21/04/2018		Father
	Nguyen Thi Tho			Thai Nguyen Province	21/04/2018		Mother
5	Nguyen Sy Hoe		Member of BOD	Vu Bao Street, Quy Nhon	21/04/2018		
	Bui Thi Kim Yen			Vu Bao Street, Quy Nhon	21/04/2018		Wife
	Nguyen Sy Huy			Vu Bao Street, Quy Nhon	21/04/2018		Child
	Nguyen Thi Kim Oanh			Vu Bao Street, Quy Nhon	21/04/2018		Daughter
6	Le Van Loc		Member of BOD	Binh Dinh Building, W.15, 11 Dicitrict, HCMC	21/04/2018		
	Nguyen Thi Minh			Binh Dinh Building, W.15; 11 Dicitrict, HCMC	21/04/2018		Wife
	Le Vy		Chairman of BOD	Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		Older brother
	Le Minh Triet			Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		Child
	Le Minh Duong			Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	21/04/2018		Child
	Le Van Ngo			Nghe An Province	21/04/2018		Older brother
	Le Van Truong			Nghe An Province	21/04/2018		Older brother

	Le My An			211653693	Le Hong Phong, Quy Nhon city	26/06/2018		Wife
	Truong Cong Hieu				Le Hong Phong, Quy Nhon city	26/06/2018		Child
	Truong Cong Phu				Le Hong Phong, Quy Nhon city	26/06/2018		Child
	Truong Cong Ngon				Nhon Phu, Quy Nhon city	26/06/2018		Father
	Ho Thi Hoa				Nhon Phu, Quy Nhon city	26/06/2018		Mother
12	Dang Thi Tu Oanh		Member of IAB	211592226	42 Dang Thuy Tram, Quy Nhon	26/06/2018		
	Phan Thanh Hieu				42 Dang Thuy Tram, Quy Nhon	26/06/2018		Husband
	Phan Trong Nghia				42 Dang Thuy Tram, Quy Nhon	26/06/2018		Child

2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal persons and related person of internal person.

STT	Name of organization/ individual	Relationship	No. *, date of issue, place of issue	Address	Time of transactions
	Salary of BOM/BOD				
1	BOM	internal person			01/01/2018- 31/12/2018
2	BOD/ IAB	internal person			01/01/2018- 31/12/2018

3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power.

4. Transactions between the company and other objects.

7	Do Xuan Lap		Independence member of BOD		Quy Nhon City, Binh Dinh	21/04/2018	
	Tran Thi Hien				Quy Nhon City, Binh Dinh	21/04/2018	Wife
	Do Thi Thu Ha				HCM city	21/04/2018	Child
	Do Thi Thu Thao				Quy Nhon City, Binh Dinh	21/04/2018	Child
	Do Quang Minh				Quy Nhon City, Binh Dinh	21/04/2018	Child
	Do Minh Quang				Quy Nhon City, Binh Dinh	21/04/2018	Child
8	Tran Huu Duc		Independence member of BOD		Quy Nhon City, Binh Dinh	21/04/2018	
	Nguyen Thi Duc Hanh				Quy Nhon City, Binh Dinh	21/04/2018	Wife
	Tran Thi My Linh				Quy Nhon City, Binh Dinh	21/04/2018	Child
	Tran Nguyen Vu				Quy Nhon City, Binh Dinh	21/04/2018	Child
	Tran Thi Phuong				Quy Nhon City, Binh Dinh	21/04/2018	Child
	Tran Thi My Dung				Quy Nhon City, Binh Dinh	21/04/2018	Child
	Tran Quoc Khanh				Quy Nhon City, Binh Dinh	21/04/2018	Child
9	Nguyen Thi My Loan		Chief Accountant		556 Nguyen Thai Hoc Street, Quy Nhon	20/10/2016	
	Cao Hung Son				556 Nguyen Thai Hoc Street, Quy Nhon	20/10/2016	Husband
	Cao Thanh Lam				556 Nguyen Thai Hoc Street, Quy Nhon	20/10/2016	Child
	Cao Thanh Nam				556 Nguyen Thai Hoc Street, Quy Nhon	20/10/2016	Child
	Nguyen Viet Minh Phung				43 Ham Tu Street, Quy Nhon	20/10/2016	Younger brother
10	Bui Thuc Hung		Chief IAB	215190175	252 Nguyen Thi Dinh, Quy Nhon city	26/06/2018	
	Nguyen Thi Lan			215083652	252 Nguyen Thi Dinh, Quy Nhon city	26/06/2018	Wife
	Bui Thi Thanh Ngan				252 Nguyen Thi Dinh, Quy Nhon city	26/06/2018	Child
	Bui Thuc Anh Hao				252 Nguyen Thi Dinh, Quy Nhon city	26/06/2018	Child
	Bui Thuc Bao Duy				252 Nguyen Thi Dinh, Quy Nhon city	26/06/2018	Child
11	Truong Cong Hoang		Member of IAB	211476609	Dong Da, Quy Nhon city	26/06/2018	

4.1. Transactions between the company and the company that members of Board of Management, members of the Supervisory Board, Director (CEO) has been a founding member or members of Board of Management, Director (CEO) in three (03) years (calculated at the time of reporting).

STT	Name of organization/ individual	Relationship	No. *, date of issue, place of issue	Time of transactions
I	Equity Investments			
1	Phu Tai Real Estate Co.,Ltd	subsidiary	4101512322 02/05/2018	01/01/2018-31/12/2018
2	Thanh Chau Phu Yen Granite Co., Ltd	subsidiary	4401046834 07/08/2018	01/01/2018-31/12/2018
II	Revenue from sale of goods			
1	Phu Yen Construction Materials JSC	subsidiary	4400344683 12/11/2013	01/01/2018-31/12/2018
2	Tuan Dat Minerals Co.,Ltd	subsidiary	4100566692 25/02/2016	01/01/2018-31/12/2018
3	Vina G7 JSC	subsidiary	3600846488 04/02/2016	01/01/2018-31/12/2018
4	Universal Stone JSC	subsidiary	313377519 31/07/2015	01/01/2018-31/12/2018
5	Phu Tai Dong Nai Co., Ltd	subsidiary	3603453950 29/03/2017	01/01/2018-31/12/2018
6	Granit Manufacturing Co., Ltd	subsidiary	301417492 20/08/2015	01/01/2018-31/12/2018
III	Purchase of raw materials and goods			
1	Phu Yen Construction Materials JSC	subsidiary	4400344683 12/11/2013	01/01/2018-31/12/2018
2	Tuan Dat Minerals Co.,Ltd	subsidiary	4100566692 25/02/2016	01/01/2018-31/12/2018
3	Vina G7 JSC	subsidiary	3600846488 04/02/2016	01/01/2018-31/12/2018
4	Phu Tai Dong Nai Co., Ltd	subsidiary	3603453950	01/01/2018-31/12/2018

STT	Name of organization/ individual	Relationship	No. *, date of issue, place of issue	Time of transactions
			29/03/2017	
5	Toyota Binh Dinh Co., Ltd	subsidiary	4101478022 23/12/2016	01/01/2018-31/12/2018
6	Granit Manufacturing Co., Ltd	subsidiary	301417492 20/08/2015	01/01/2018-31/12/2018
IV	Sale of fixed assets			
1	Phu Yen Construction Materials JSC	subsidiary	4400344683 12/11/2013	01/01/2018-31/12/2018
2	Vina G7 JSC	subsidiary	3600846488 04/02/2016	01/01/2018-31/12/2018
V				
1	Universal Stone JSC	subsidiary	313377519 31/07/2015	01/01/2018-31/12/2018
VI	Purchase of car repair services			
1	Toyota Binh Dinh Co., Ltd	subsidiary	4101478022 23/12/2016	01/01/2018-31/12/2018
VII	Purchase of fixed assets			
1	Toyota Binh Dinh Co., Ltd	subsidiary	4101478022 23/12/2016	01/01/2018-31/12/2018
2	Toyota Da Nang Co., Ltd	subsidiary	401808330 30/12/2016	01/01/2018-31/12/2018
3	Granit Manufacturing Co., Ltd	subsidiary	301417492 20/08/2015	01/01/2018-31/12/2018
VIII	Dividends/Profits			
1	Toyota Binh Dinh Co., Ltd	subsidiary	4101478022 23/12/2016	01/01/2018-31/12/2018
2	Toyota Da Nang Co., Ltd	subsidiary	401808330 30/12/2016	01/01/2018-31/12/2018

STT	Name of organization/ individual	Relationship	No. *, date of issue, place of issue	Time of transactions
3	Phu Tai Dong Nai Co., Ltd	subsidiary	3603453950 29/03/2017	01/01/2018-31/12/2018
4	Tuan Dat Minerals Co.,Ltd	subsidiary	4100566692 25/02/2016	01/01/2018-31/12/2018
5	Phu Yen Construction Materials JSC	subsidiary	4400344683 12/11/2013	01/01/2018-31/12/2018
IX	Trade receivables			
1	Phu Yen Construction Materials JSC	subsidiary	4400344683 12/11/2013	01/01/2018-31/12/2018
2	Vina G7 JSC	subsidiary	3600846488 04/02/2016	01/01/2018-31/12/2018
3	Universal Stone JSC	subsidiary	313377519 31/07/2015	01/01/2018-31/12/2018
4	Tuan Dat Mineral Co., Ltd		4100566692 25/02/2016	01/01/2018-31/12/2018
5	Phu Tai Dong Nai Co., Ltd		3603453950 29/03/2017	01/01/2018-31/12/2018
6	Granit Manufacturing Co., Ltd		301417492 20/08/2015	01/01/2018-31/12/2018
X	Trade payables			
1	Tuan Dat Mineral Co., Ltd	subsidiary	4100566692 25/02/2016	01/01/2018-31/12/2018
2	Phu Yen Construction Materials JSC	subsidiary	4400344683 12/11/2013	01/01/2018-31/12/2018
3	Universal Stone JSC	subsidiary	313377519 31/07/2015	01/01/2018-31/12/2018
4	Phu Tai Dong Nai Co., Ltd	subsidiary	3603453950 29/03/2017	01/01/2018-31/12/2018
5	Granit Manufacturing Co., Ltd	subsidiary	301417492 20/08/2015	01/01/2018-31/12/2018

STT	Name of organization/ individual	Relationship	No. *, date of issue, place of issue	Time of transactions
6	Toyota Binh Dinh Co., Ltd	subsidiary	4101478022 23/12/2016	01/01/2018-31/12/2018
XI	Short-term loan receivable			
1	Tuan Dat Mineral Co., Ltd	subsidiary	4100566692 25/02/2016	01/01/2018-31/12/2018
2	Universal Stone JSC	subsidiary	313377519 31/07/2015	01/01/2018-31/12/2018
3	Toyota Da Nang Co., Ltd	subsidiary	401808330 30/12/2016	01/01/2018-31/12/2018
4	Phu Tai Dong Nai Co., Ltd	subsidiary	3603453950 29/03/2017	01/01/2018-31/12/2018
5	Granit Manufacturing Co., Ltd	subsidiary	301417492 20/08/2015	01/01/2018-31/12/2018
6	Vina G7 JSC	subsidiary	3600846488 04/02/2016	01/01/2018-31/12/2018
XII	Interest expenses			
1	Tuan Dat Mineral Co., Ltd	subsidiary	4100566692 25/02/2016	01/01/2018-31/12/2018
2	Vina G7 JSC	subsidiary	3600846488 04/02/2016	01/01/2018-31/12/2018
3	Universal Stone JSC	subsidiary	313377519 31/07/2015	01/01/2018-31/12/2018
4	Toyota Da Nang Co., Ltd	subsidiary	401808330 30/12/2016	01/01/2018-31/12/2018
5	Phu Tai Dong Nai Co., Ltd	subsidiary	3603453950 29/03/2017	01/01/2018-31/12/2018
6	Granit Manufacturing Co., Ltd	subsidiary	301417492 20/08/2015	01/01/2018-31/12/2018

4.2. Transactions between the company and the company that related person of members of Board of Management, members of the Supervisory Board, Director (CEO) as a member of Board of Management, Director (CEO).

4.3. Other transactions of the company (if any) may be beneficial material or immaterial for members of Board of Management, members of the Supervisory Board, Director (CEO).

VI. Transactions of internal persons and related person of internal person.

1. List of internal persons and their affiliated persons

No	Name of Organization/ Individual	Position at the company	ID No.	Date of issue ID No.	Place of issue ID No.	Address	Relationship with internal person	Number of shares
1	<u>Director of Board</u>							
1.1	Le Vy	Chairman of BOD	215259306	16/10/2008	Binh Dinh	Nguyen Van Cu Ward, Quy Nhon, Binh Dinh		5.762.485
	Vo Thi Hoai Chau		025103900	03/06/2009	HCMC	228 Hoang Hoa Tham Street, W.12, Tan Binh District, HCMC	Wife	1.224.788
	Le Van Loc	Member of BOD,	0245452361	16/01/2010	HCMC	Binh Dinh Building, W.15; 11 District, HCMC	Younger brother	2.798.602
	Le Van Anh					228 Hoang Hoa Tham Street, W.12, Tan Binh District, HCMC	Child	63.450
	Le Thuc Trinh					228 Hoang Hoa Tham Street, W.12, Tan Binh District, HCMC	Child	
	Le Van Ngo		186551465	09/4/2009	Nghe An	Nghe An Province	Older brother	
	Le Van Truong		181138459	02/4/2004	Nghe An	Nghe An Province	Younger brother	
1.2	Tran Thanh Cung	Member of BOD	215182830	08/03/2007	Binh Dinh	262A Nguyen Thai Hoc Street, Quy Nhon		1.965.625
	Van Thi Vinh		210466848	29/03/2005	Binh Dinh	262A Nguyen Thai Hoc Street, Quy Nhon	Wife	188.889
	Tran Thi Thanh Van		215070118	07/9/2004	Binh Dinh	262A Nguyen Thai Hoc Street, Quy Nhon	Daughter	
	Tran Thanh Binh		215182893	13/3/2007	Binh Dinh	262A Nguyen Thai Hoc Street, Quy Nhon	Son	

No	Name of Organization/ Individual	Position at the company	ID No.	Date of issue ID No.	Place of issue ID No.	Address	Relationship with internal person	Number of shares
	Tran The Trieu					Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	Younger brother	5.274
1.3	Phan Quoc Hoai	Member of BOD	215091444	28/06/2005	Binh Dinh	Tran Quang Dieu Ward, Quy Nhon		880.272
	Hoang Thi Khanh Van		211490973	20/12/2006	Binh Dinh	Tran Quang Dieu Ward, Quy Nhon	Wife	
	Phan Hoang					Tran Quang Dieu Ward, Quy Nhon	Child	
	Phan Hoang Vu					Tran Quang Dieu Ward, Quy Nhon	Child	
	Phan Sac		091592510	04/01/2007	Thai Nguyen	Thai Nguyen Province	Father	
	Nguyễn Thi Tho		091592509	04/01/2007	Thai Nguyen	Thai Nguyen Province	Mother	
1.4	Le Van Thao	Deputy Chairman of BOD, General Director	211349920	29/03/2005	Binh Dinh	Nguyen Van Cu Ward, Quy Nhon, Binh Dinh		3.557.333
	Le Van Luan		215110228	14/07/2005	Binh Dinh	Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	Younger brother	95.212
	Le Thi Kim Sang		211750189	10/10/2000	Binh Dinh	Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	Wife	502.203
	Le Van Tan					Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	Child	
	Le Van Toan					Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	Child	
1.5	Nguyen Sy Hoe	Member of BOD,	215079778	23/02/2013	Binh Dinh	Vu Bao Street, Quy Nhon		2.499.698
	Bui Thi Kim Yen		211586799	22/03/1994	Binh Dinh	Vu Bao Street, Quy Nhon	Wife	28.602
	Nguyen Sy Huy					Vu Bao Street, Quy Nhon	Child	

No	Name of Organization/ Individual	Position at the company	ID No.	Date of issue ID No.	Place of issue ID No.	Address	Relationship with internal person	Number of shares
	Nguyen Thi Thuy Oanh					Vu Bao Street, Quy Nhon	Child	
1.6	Le Van Loc	Member of BOD	024545361	16/01/2010	HCMC	Binh Dinh Building, W.15; 11 District, HCMC		2.798.602
	Nguyen Thi Minh		025150477	08/07/09	HCMC	Binh Dinh Building, W.15; 11 District, HCMC	Wife	27.891
	Le Vy	Chairman of BOD	215259306	16/10/2008	Binh Dinh	Nguyen Van Cu Ward, Quy Nhon, Binh Dinh	Older brother	5.762.458
	Le Minh Triet						Child	
	Le Minh Duong						Child	
	Le Van Ngo		186551465	09/4/2009	Nghe An		Older brother	
	Le Van Truong		181138459	02/4/2004	Nghe An		Older brother	
1.7	Do Xuan Lap	Independence member of BOD			Binh Dinh	Quy Nhon City, Binh Dinh		225.140
	Tran Thi Hien				Binh Dinh	Quy Nhon City, Binh Dinh	Wife	
	Do Thi Thu Ha				Binh Dinh	HCM city	Child	
	Do Thi Thu Thao				Binh Dinh	Quy Nhon City, Binh Dinh	Child	
	Do Quang Minh				Binh Dinh	Quy Nhon City, Binh Dinh	Child	
	Do Minh Quang				Binh Dinh	Quy Nhon City, Binh Dinh	Child	
1.8	Tran Huu Duc	Independence member of BOD			Binh Dinh	Quy Nhon City, Binh Dinh		69.291

No	Name of Organization/ Individual	Position at the company	ID No.	Date of issue ID No.	Place of issue ID No.	Address	Relationship with internal person	Number of shares
	Nguyen Thi Duc Hanh				Binh Dinh	Quy Nhon City, Binh Dinh	Wife	
	Tran Thi My Linh				Binh Dinh	Quy Nhon City, Binh Dinh	Child	
	Tran Nguyen Vu				Binh Dinh	Quy Nhon City, Binh Dinh	Child	
	Tran Thi Phuong				Binh Dinh	Quy Nhon City, Binh Dinh	Child	
	Tran Thi My Dung				Binh Dinh	Quy Nhon City, Binh Dinh	Child	
	Tran Quoc Khanh				Binh Dinh	Quy Nhon City, Binh Dinh	Child	
2	<u>Chief Accountant</u>							
2.1	Nguyen Thi My Loan	Chief Accountant	211622778	24/05/2016	Binh Dinh	556 Nguyen Thai Hoc Street, Quy Nhon		21.118
	Cao Hung Son		211559000	08/03/2002	Binh Dinh	556 Nguyen Thai Hoc Street, Quy Nhon	Husband	
	Cao Thanh Lâm					556 Nguyen Thai Hoc Street, Quy Nhon	Child	
	Cao Thanh Nam					556 Nguyen Thai Hoc Street, Quy Nhon	Child	
	Nguyen Viet Minh Phung					43 Ham Tu Street, Quy Nhon	Younger brother	4.242
3	<u>Name of person to disclose information</u>							
3.1.	Name of person to disclose information							
	Le Van Thao	General Director	Same in 1.4	Same in 1.4	Same in 1.4	Same in 1.4		

No	Name of Organization/ Individual	Position at the company	ID No.	Date of issue ID No.	Place of issue ID No.	Address	Relationship with internal person	Number of shares
3.2	Name of person authorized to disclose information							
	Phan Quoc Hoai	Deputy General Director	Same in 1.3.	Same in 1.3.	Same in 1.3.	Same in 1.3.		
4	<u>Internal Audit Board</u>							
4.1	Bui Thuc Hung	Chief IAB	215190175		Binh Dinh	252 Nguyen Thi Dinh, Quy Nhon city		204.292
	Nguyen Thi Lan		215083652		Binh Dinh	252 Nguyen Thi Dinh, Quy Nhon city	Wife	1.231
	Bui Thi Thanh Ngan					252 Nguyen Thi Dinh, Quy Nhon city	Child	
	Bui Thuc Anh Hao					252 Nguyen Thi Dinh, Quy Nhon city	Child	
	Bui Thuc Bao Duy					252 Nguyen Thi Dinh, Quy Nhon city	Child	
4.2	Truong Cong Hoang	Memner of IAB	211476609		Binh Dinh	Dong Da, Quy Nhon city		9.609
	Le My An		211653693		Binh Dinh	Le Hong Phong, Quy Nhon city	Wife	
	Truong Cong Hieu					Le Hong Phong, Quy Nhon city	Child	
	Truong Cong Phu					Le Hong Phong, Quy Nhon city	Child	
	Truong Cong Ngon					Nhon Phu, Quy Nhon city	Father	
	Ho Thi Hoa					Nhon Phu, Quy Nhon city	Mother	
4.3	Dang Thi Tu Oanh	Member of IAB	211592226		Binh Dinh	42 Dang Thuy Tram, Quy Nhon		8.295

No	Name of Organization/ Individual	Position at the company	ID No.	Date of issue ID No.	Place of issue ID No.	Address	Relationship with internal person	Number of shares
	Phan Thanh Hieu					42 Dang Thuy Tram, Quy Nhon	Husband	
	Phan Trong Nghia					42 Dang Thuy Tram, Quy Nhon	Child	

2. Transactions of internal persons and affiliated persons on shares of the listed company

No.	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increase, decrease (purchase, sale, switch, reward...)
			Number of shares	Percentage	Number of shares	Percentage	
1	Mr. Le Vy		2.902.367	11,2%	5.762.485	11,86%	Purchase, 20% shareholder, 5% ESOP and paid dividend in 2017
2	Mr. Le Van Loc		1.419.530	5,48 %	2.798.602	5,76%	Purchase, 20% shareholder, 5% ESOP and paid dividend in 2017
3	Mr. Le Van Anh	Child	42.300		63.450		Purchase and paid dividend in 2017
4	Mrs. Nguyen Thi Minh	Wife	113.112	0,43%	27.891		Sales, 20% shareholder, 5% ESOP and paid dividend in 2017
5	Mr. Do Xuan Lap		0		225.140	0.46%	Purchase
6	Mr. Le Van Thao		1.929.214	7.44%	3.557.333	7.32%	Purchase, 20% shareholder, 5% ESOP and paid dividend in 2017

**FOR THE BOARD OF DIRECTORS
MEMBER**

(Signed and stamped)

Mr. PHAN QUOC HOAI