CÔNG TY CỔ PHẦN CHỨNG KHOÁN AGRIBANK *AGRIBANK SECURITIES CORPORATION* *Số/No: 1525/2026/CKNN*	**CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM** *SOCIALIST REPUBLIC OF VIETNAM* **Độc lập - Tự do - Hạnh phúc** *Independence - Freedom - Happiness* *Hà Nội, ngày 20 tháng 11 năm 2026* *Hanoi, November 20, 2026*

THÔNG BÁO THAY ĐỔI GIẤY PHÉP THÀNH LẬP VÀ HOẠT ĐỘNG
NOTICE OF CHANGES TO THE ESTABLISHMENT AND OPERATING LICENSE

Kính gửi/To:
- Ủy ban Chứng khoán Nhà nước/ State Securities Commission
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán TP HCM/ *Ho Chi Minh Stock Exchange*

Căn cứ Giấy phép điều chỉnh Giấy phép thành lập và hoạt động kinh doanh chứng khoán số 123/GPĐC – UBCK ngày 19/11/2026, do Ủy Ban Chứng khoán Nhà nước cấp; Quyết định số 717/QĐ-UBCK sửa đổi Quyết định chấp thuận thành lập Chi nhánh Miền Trung; Quyết định số 718/QĐ-UBCK sửa đổi Quyết định chấp thuận thành lập Chi nhánh Miền Nam, chúng tôi trân trọng thông báo việc thay đổi Giấy phép thành lập và hoạt động kinh doanh chứng khoán của Công ty chúng tôi như sau:

Pursuant to the Amended License for Establishment and Securities Business Operations No. 123/GPĐC-UBCK dated November 19, 2026, issued by the State Securities Commission; Decision No. 717/QĐ-UBCK amending the Decision approving the establishment of the Central Region Branch; and Decision No. 718/QĐ-UBCK amending the Decision approving the establishment of the Southern Branch, we hereby respectfully announce the changes to the License for Establishment and Securities Business Operations of our Company as follows:

- Tên tổ chức/Organization name: Công ty Cổ phần Chứng khoán Agribank
  Agribank Securities Corporation - Agriseco
- Mã chứng khoán/ *Securities code*: AGR
- Địa chỉ/ *Address*: Tầng 5, Tòa nhà Green Diamond, số 93 Láng Hạ, Đống Đa, Hà Nội
  5th Floor, Green Diamond Building, 93 Lang Ha, Dong Da, Hanoi
- Điện thoại/*Telephone*: 024 6276 2666 - Fax: 024 6276 5666
- E-mail: agr_congbothongtin@agriseco.com.vn
- Website: https://agriseco.com.vn/

1. Thông tin trước khi thay đổi/*Information before the changes:*

- Vốn điều lệ/ *Charter capital:* 2.283.118.230.000 VND.
- Địa chỉ trụ sở chính/*Head Office Address:*: Tầng 5, Tòa nhà Green Diamond, số 93 Láng Hạ, Phường Láng Hạ, Quận Đống Đa, Thành phố Hà Nội/ *5th Floor, Green Diamond Building, No. 93 Lang Ha Street, Lang Ha Ward, Dong Da District, Hanoi City.*
- Địa chỉ Chi nhánh Miền Nam/ *Address of the Southern Branch:* Tầng 2, số 2, đường Phó Đức Chính, Quận I, TP. HCM./ *2nd Floor, No. 2, Pho Duc Chinh Street, District 1, Ho Chi Minh City*
- Địa chỉ Chi nhánh Miền Trung/ *Address of the Central Region Branch:* Tòa nhà Agribank, số 228 Đường 2/9, Phường Hòa Cường, Quận Hải Châu, Thành phố Đà Nẵng/ *Agribank Building, No. 228, 2/9 Street, Hoa Cuong Ward, Hai Chau District, Da Nang City.*

2. Thông tin sau khi thay đổi/ *Information after the changes:*

- Vốn điều lệ/ *Charter capital:* 2.420.067.930.000 VND.

- Địa chỉ trụ sở chính/*Head Office Address*:: Tầng 5, Tòa nhà Green Diamond, số 93 Láng Hạ, Phường Đống Đa, Thành phố Hà Nội/ *5th Floor, Green Diamond Building, No. 93 Lang Ha Street, Dong Da Ward, Hanoi City*

- Địa chỉ Chi nhánh Miền Nam/ *Address of the Southern Branch:* Tầng 1 và 2, Tòa nhà 2-2A Đường Phó Đức Chính, Phường Bến Thành, Thành phố Hồ Chí Minh./ *1st and 2nd Floors, Building 2-2A, Pho Duc Chinh Street, Ben Thanh Ward, Ho Chi Minh City*

- Địa chỉ Chi nhánh Miền Trung/ *Address of the Central Region Branch:* Tòa nhà Agribank, số 228 Đường 2/9, Phường Hòa Cường, Quận Hải Châu, Thành phố Đà Nẵng/ *Agribank Building, No. 228, 2/9 Street, Hoa Cuong Ward, Da Nang City.*

3. Lý do thay đổi (nếu có)/ *Reasons for the changes (if any):* thay đổi vốn điều lệ và cập nhật địa chỉ Trụ sở chính và các Chi nhánh/ *Changes to the charter capital and updates to the addresses of the Head Office and Branches*

4. Ngày có hiệu lực/ *Effective date:* 19/11/2026/ *November 19, 2026.*

5. Ngày nhận được Giấy phép điều chỉnh Giấy phép thành lập và hoạt động và Quyết định sửa đổi Quyết định chấp thuận thành lập Chi nhánh/ *Date of receipt of the Amended License for Establishment and Securities Business Operations and the Decisions amending the Decisions approving the establishment of the Branches:* 20/11/2026/ *November 20, 2026*

6. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 20/11/2026 tại đường dẫn: http://agriseco.com.vn

This information was disclosed on the website of the company on November 20, 2026 at the link: http://agriseco.com.vn

Tài liệu đính kèm/Attached documents:
- Văn bản liên quan đến nội dung công bố thông tin/Document related to the content of disclosure.

NGƯỜI ĐƯỢC ỦY QUYỀN CÔNG BỐ THÔNG TIN
AUTHORIZED PERSON TO DISCLOSE INFORMATION

CÔNG TY CỔ PHẦN CHỨNG KHOÁN AGRIBANK

Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN AGRIBANK
DN: C=VN, S=HÀ NỘI, L=BaĐình, CN=CÔNG TY CỔ PHẦN CHỨNG KHOÁN AGRIBANK, OID.0.9.2342.19200300.100.1.1=MST:0101150107
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Phạm Việt Hưng

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness

No. 123/GPĐC-UBCK

Hanoi, 19th November 2026

AMENDMENT LICENSE
License for Establishment and Securities Business Operations

THE CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15.

Pursuant to Government Decree No. 155/2020/NĐ-CP dated 31 December 2020 detailing the implementation of certain articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025.

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission.

Pursuant to Establishment and Operation License No. 108/UBCK-GP dated 10 July 2009 issued by the Chairperson of the State Securities Commission to the Vietnam Bank for Agriculture and Rural Development Securities Corporation (now Agribank Securities Corporation).

Having considered the application to change charter capital and the documents submitted by Agribank Securities Corporation requesting an update to its head office address following changes to administrative boundaries.

At the proposal of the Head of the Securities Business Management Department.

DECISION:

Article 1. Establishment and Operation License No. 108/UBCK-GP dated 10 July 2009 issued by the Chairperson of the State Securities Commission to Agribank Securities Corporation is amended as set out below:

Charter capital: VND 2,420,067,930,000 (two trillion four hundred and twenty billion sixty-seven million nine hundred and thirty thousand Vietnamese dong).

Head office address: 5th Floor, Green Diamond Building, 93 Lang Ha Street, Dong Da Ward, Hanoi.

Article 2. This Amendment License takes effect on the date of signing and forms an integral part of Establishment and Operation License No. 108/UBCK-GP dated 10 July 2009 issued by the Chairperson of the State Securities Commission to Agribank Securities Corporation.

Article 3. This Amendment License is made in two (02) originals. One is issued to Agribank Securities Corporation and one is retained by the State Securities Commission./.

SIGNED ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Lê Thị Việt Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness

No. 11493/UBCK-QLKD

Hanoi, 19th November 2026

Re: Documents Relating to Application to Change the Charter Capital of Agribank Securities Corporation and Updates to Administrative Boundary Information for Its Head Office and Branches

To: Agribank Securities Corporation

The State Securities Commission (SSC) has received from Agribank Securities Corporation (the Corporation) its application to change its charter capital, together with a request to update administrative area information for its head office under Request No. 1472/2026/CKNN dated 10 November 2026. The SSC has also received the supporting documents for requests dated 10 November 2026 to update administrative area information for each of the Central and Southern Branches. The SSC responds as follows:

1. On 19 November 2026, the SSC issued Amendment License No. 123/GPĐC-UBCK amending Establishment and Operation License No. 108/UBCK-GP dated 10 July 2009 issued by the Chairperson of the State Securities Commission to the Corporation. The SSC also issued Decision No. 717/QĐ-UBCK amending the decision approving the establishment of the Central Branch and Decision No. 718/QĐ-UBCK amending the decision approving the establishment of the Southern Branch.

2. Organizations and individuals involved in preparing applications, documents and reports relating to securities and securities market activities are legally responsible for the legality, accuracy, truthfulness and completeness of those materials. Organizations and individuals involved in certifying such materials are legally responsible to the extent of their involvement, as provided in Clause 1, Article 11a of the Law on Securities, added by Clause 4, Article 1 of Law No. 56/2024/QH15.

3. The Corporation shall fulfill its disclosure obligations and complete the relevant procedures with the business registration authority in accordance with applicable law.

The SSC hereby notifies the Corporation for its information.

Recipients:
- As addressed above
- SSC Chairperson (for reporting)
- Archived: Records Office and Securities Business Management Department (04b)

BY ORDER OF THE CHAIRPERSON
HEAD OF THE SECURITIES BUSINESS MANAGEMENT DEPARTMENT

(Signed and sealed)

Hồ Thị Hằng

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No. 718/QĐ-UBCK

SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness

Hanoi, 19th November 2026

DECISION
Amendment To The Decision Approving The Establishment Of A Securities Corporation Branch

THE CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15.

Pursuant to Government Decree No. 155/2020/NĐ-CP dated 31 December 2020 detailing the implementation of certain articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025.

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission.

Pursuant to Establishment and Operation License No. 108/UBCK-GP dated 10 July 2009 issued by the Chairperson of the State Securities Commission to the Vietnam Bank for Agriculture and Rural Development Securities Corporation (now Agribank Securities Corporation).

Pursuant to Decision No. 68/QĐ-UBCK dated 19 November 2001, issued by the Chairperson of the State Securities Commission, authorizing Agribank Securities Corporation to establish a branch (now Agribank Securities Corporation - Southern Branch).

Having considered the documents submitted by Agribank Securities Corporation requesting an update to the Southern Branch address following changes to administrative boundaries.

At the proposal of the Head of the Securities Business Management Department.

DECISION

Article 1. Decision No. 68/QĐ-UBCK dated 19 November 2001, issued by the Chairperson of the State Securities Commission, authorizing Agribank Securities Corporation to establish its Southern Branch, is amended as set out below.

Branch address: 1st and 2nd Floors, Building No. 2-2A, Pho Đuc Chinh Street, Ben Thanh Ward, Ho Chi Minh City

Article 2. This Decision takes effect on the date of signing and forms an integral part of Establishment and Operation License No. 108/UBCK-GP dated 10 July 2009 issued by the Chairperson of the State Securities Commission to Agribank Securities Corporation and of Decision No. 68/QĐ-UBCK dated 19 November 2001, issued by the Chairperson of the State Securities Commission, authorizing the Corporation to establish the branch.

Article 3. The Chief of Office, the Head of the Securities Business Management Department, the Chief Executive Officers of the Vietnam Exchange, the Ho Chi Minh Stock Exchange, the Hanoi Stock Exchange and the Viet Nam Securities Depository and Clearing Corporation, together with Agribank Securities Corporation and the relevant parties, shall be responsible for implementing this Decision./.

Recipients:
- As specified in Article 3
- SSC Chairperson (for reporting)
- Archived: Records Office and Securities Business Management Department (10b)

BY ORDER OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Lê Thị Việt Nga

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No. 717/QĐ-UBCK

SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness

Hanoi, 19th November 2026

DECISION
Amendment To The Decision Approving The Establishment Of A Securities Corporation Branch

THE CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15.

Pursuant to Government Decree No. 155/2020/NĐ-CP dated 31 December 2020 detailing the implementation of certain articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025.

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission.

Pursuant to Establishment and Operation License No. 108/UBCK-GP dated 10 July 2009 issued by the Chairperson of the State Securities Commission to the Vietnam Bank for Agriculture and Rural Development Securities Corporation (now Agribank Securities Corporation).

Pursuant to Decision No. 623/QĐ-UBCK dated 10 October 2008, issued by the Chairperson of the State Securities Commission, authorizing Agribank Securities Corporation to establish the Vietnam Bank for Agriculture and Rural Development Securities Limited Liability Company - Da Nang Branch (now Agribank Securities Corporation - Central Branch).

Having considered the documents submitted by Agribank Securities Corporation requesting an update to the Central Branch address following changes to administrative boundaries.

At the proposal of the Head of the Securities Business Management Department.

DECISION:

Article 1. Decision No. 623/QĐ-UBCK dated 10 October 2008, issued by the Chairperson of the State Securities Commission, authorizing Agribank Securities Corporation to establish its Central Branch, is amended as set out below.

Branch address: Agribank Building, 228 2/9 Street, Hoa Cuong Ward, Đa Nang City

Article 2. This Decision takes effect on the date of signing and forms an integral part of Establishment and Operation License No. 108/UBCK-GP dated 10 July 2009 issued by the Chairperson of the State Securities Commission to Agribank Securities Corporation and of Decision No. 623/QĐ-UBCK dated 10 October 2008, issued by the Chairperson of the State Securities Commission, authorizing the Corporation to establish the branch.

Article 3. The Chief of Office, the Head of the Securities Business Management Department, the Chief Executive Officers of the Vietnam Exchange, the Ho Chi Minh Stock Exchange, the Hanoi Stock Exchange and the Viet Nam Securities Depository and Clearing Corporation, together with Agribank Securities Corporation and the relevant parties, shall be responsible for implementing this Decision./.

Recipients:
- As specified in Article 3
- SSC Chairperson (for reporting)
- Archived: Records Office and Securities Business Management Department (10b)

BY ORDER OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Lê Thị Việt Nga