CÔNG TY CỔ PHẦN CHỨNG KHOÁN THÀNH PHỐ HỒ CHÍ MINH
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN THÀNH PHỐ HỒ CHÍ MINH
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CÔNG TY CỔ PHẦN
CHỨNG KHOÁN TP.HỒ CHÍ MINH
HO CHI MINH CITY SECURITIES CORPORATION

Số/ *No.*: 106/2026/CV-CBTT

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

TP. Hồ Chí Minh, ngày 18 tháng 11 năm 2026
Ho Chi Minh City, 18 November 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/*To*:
- Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán TP.Hồ Chí Minh/ *Hochiminh Stock Exchange*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

1. Tên tổ chức: **Công ty Cổ phần Chứng khoán TP. Hồ Chí Minh**
*Name of organization: **Ho Chi Minh City Securities Corporation***

- Mã chứng khoán: **HCM**
*Securities code: **HCM***

- Địa chỉ liên lạc: Tầng 2, 3, 5, 6, 7, 11 và 12 Tòa nhà AB, 76A Lê Lai, P. Bến Thành, TP. Hồ Chí Minh
Address: Level 2, 3, 5, 6, 7, 11 & 12, AB Tower, 76A Le Lai Str., Ben Thanh Ward, Ho Chi Minh City

- Điện thoại: (+84 8) 3823 3299 Fax: (+84 8) 3823 3301
Telephone: (+84 8) 3823 3299 *Fax: (+84 8) 3823 3301*

- Email: cbtt@hsc.com.vn Website: hsc.com.vn
Email: cbtt@hsc.com.vn *Website: hsc.com.vn*

2. Nội dung thông tin công bố: **Nghị quyết Hội đồng Quản trị phê duyệt tài liệu lấy ý kiến cổ đông bằng văn bản năm 2026 về việc HSC được thực hiện hoạt động cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán theo mô hình Đối tác bù trừ trung tâm (Central Counterparty Clearing House – "CCP") với tư cách Thành viên bù trừ chung (General Clearing Member – "GCM") của Tổng công ty lưu ký và bù trừ chứng khoán Việt Nam ("VSDC").**
*Contents of disclosure: **The Board Resolution approved the documents of seeking shareholders' written opinions in 2026 regarding to HSC's provision of securities clearing and settlement services under the Central Counterparty Clearing House ("CCP") model, in the capacity of a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC").***

Ngày 18/11/2026, Hội đồng Quản trị Công ty Cổ phần Chứng khoán Thành phố Hồ Chí Minh (HSC) đã thông qua tài liệu lấy ý kiến cổ đông bằng văn bản năm 2026 về việc HSC được thực hiện hoạt động cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán theo mô hình Đối tác bù trừ trung tâm (Central Counterparty Clearing House – "CCP") với tư cách Thành viên bù trừ chung (General Clearing Member – "GCM") của Tổng công ty lưu ký và bù trừ chứng khoán Việt Nam ("VSDC"). (Nội dung chi tiết vui lòng xem tại Nghị quyết số 42/2026/NQ-HĐQT đính kèm).
On 18 November 2026, the Board of Directors of Ho Chi Minh City Securities Corporation (HSC) approved the documents of seeking shareholders' written opinions in 2026 regarding to HSC's provision of securities clearing and settlement services under the Central Counterparty Clearing House ("CCP") model, in the capacity of a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC").(Please see the detailed contents in Resolution No. 42/2026/NQ-HĐQT attached).

Tài liệu gồm:
The Documents included:

- Phiếu lấy ý kiến cổ đông bằng văn bản;
  Written ballot;
- Tờ trình Đại hội đồng cổ đông;
  Proposal submitted to the General Meeting of Shareholders
- Dự thảo Nghị quyết Đại hội đồng cổ đông;
  Draft of Resolution of the General Meeting of Shareholders
- Mẫu Giấy ủy quyền dành cho cổ đông cá nhân và cổ đông tổ chức.
  Proxy letter for individual shareholders and institutional shareholders.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 18/11/2026 tại đường dẫn https://www.hsc.com.vn/vi/cong-bo-thong-tin
   This information was published on the company's website on 18 November 2026, as in the link https://www.hsc.com.vn/en/information-disclosure

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.
We hereby certify that the information provided is true and correct; we bear the full responsible to the law.

Tài liệu đính kèm / *Attached documents:*
- Nghị quyết số 42/2026/NQ-HĐQT ngày 18/11/2026.
  Resolution No. 42/2026/NQ-HĐQT dated 18 Nov 2026.

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE
Người được ủy quyền công bố thông tin
Person authorized to disclose information

CÔNG TY CỔ PHẦN CHỨNG KHOÁN THÀNH PHỐ HỒ CHÍ MINH

Lê Anh Quân
Giám đốc Điều hành Truyền thông
Chief Communications Officer

No. 42/2026/NQ-HĐQT

Ho Chi Minh City, 18 November 2026

RESOLUTION
BOARD OF DIRECTORS
HO CHI MINH CITY SECURITIES CORPORATION

In accordance with License for Establishment and Operation No. 11/GPHĐKD dated 29 April 2003 and Amendment License No. 115/GPĐC-UBCK dated 02 October 2026 issued by the State Securities Commission of Vietnam;

In accordance with HSC's Corporate Charter dated 23 April 2026 approved at the AGM Resolution No. 01/2026/NQ-ĐHĐCĐ of Ho Chi Minh City Securities Corporation;

In accordance with Board Meeting Minutes No. 42/2026/BB-HĐQT dated 18 November 2026 issued by Ho Chi Minh City Securities Corporation.

BOARD OF DIRECTORS

HO CHI MINH CITY SECURITIES CORPORATION

HEREBY RESOLVED

ARTICLE 1

The Board of Directors approved the documents of seeking shareholders' written opinions in 2026 regarding to HSC's provision of securities clearing and settlement services under the Central Counterparty Clearing House ("CCP") model, in the capacity of a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC"). The documents were published on HSC's website on 18 November 2026 via https://www.hsc.com.vn/en/meeting-of-shareholders.

The Documents included:

1. Written ballot;
2. Proposal submitted to the General Meeting of Shareholders;
3. Draft of Resolution of the General Meeting of Shareholders;
4. Proxy letter for individual shareholders and institutional shareholders;

ARTICLE 2

Resolution takes effect from the signature date.

FOR AND ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN THÀNH PHỐ HỒ CHÍ MINH

JOHAN NYVENE

To:
+ BOD members,
+ BOM members,
+ BOD Office for archiving,

MẪU/SAMPLE

PHIẾU LẤY Ý KIẾN CỔ ĐÔNG BẰNG VĂN BẢN 2026
CÔNG TY CỔ PHẦN CHỨNG KHOÁN THÀNH PHỐ HỒ CHÍ MINH
WRITTEN BALLOT 2026
HO CHI MINH CITY SECURITIES CORPORATION

Kính gửi: - **Cổ đông** :
To: ***Shareholder's name***
- **Mã số Cổ đông** :
Shareholder code
- **Địa chỉ** :
Address

I. THÔNG TIN CÔNG TY
COMPANY PROFILE

Tên công ty *Company's name*	:	**CÔNG TY CỔ PHẦN CHỨNG KHOÁN TP. HỒ CHÍ MINH (HSC)** ***HO CHI MINH CITY SECURITIES CORPORATION (HSC)***
Mã chứng khoán *Securities symbol*	:	HCM *HCM*
Địa chỉ trụ sở chính *Head office*	:	Tầng 2, 3, 5, 6, 7, 11 và 12, tòa nhà AB, 76A Lê Lai, P. Bến Thành, Thành phố Hồ Chí Minh *Level 2,3, 5,6,7,11 & 12, AB Tower, 76A Le Lai Street, Ben Thanh Ward, Ho Chi Minh City*
Điện thoại *Tel*	:	(+84 28) 3823 3299 Fax: (+84 28) 3823 3301
Website	:	www.hsc.com.vn

Giấy phép thành lập và hoạt động số 11/GPHĐKD do Ủy ban Chứng khoán Nhà nước cấp ngày 29/04/2003 và Giấy phép điều chỉnh số 115/GPĐC – UBCK do Ủy ban Chứng khoán Nhà nước cấp ngày 02/10/2026.
License for Establishment and Operation No. 11/GPHĐKD dated 29 April 2003 and Amendment License No. 115/GPĐC-UBCK dated 02 October 2026 issued by the State Securities Commission of Vietnam.

II. MỤC ĐÍCH LẤY Ý KIẾN
PURPOSE

Hội đồng Quản trị ("HĐQT") Công ty Cổ phần Chứng khoán Thành phố Hồ Chí Minh ("Công ty/ HSC") kính trình Đại hội đồng cổ đông ("ĐHĐCĐ") thông qua việc HSC được thực hiện hoạt động cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán theo mô hình Đối tác bù trừ trung tâm (Central Counterparty Clearing House – "CCP") với tư cách Thành viên bù trừ chung (General Clearing Member – "GCM") của Tổng công ty lưu ký và bù trừ chứng khoán Việt Nam ("VSDC") theo Tờ trình số 15/2026/TT-HĐQT của HĐQT ngày 18/11/2026.
The Board of Directors of Ho Chi Minh City Securities Corporation ("the Company/HSC") would like to submit to the General Meeting of Shareholders for the approval of HSC's provision of securities clearing and settlement services under the Central Counterparty Clearing House ("CCP") model, in the capacity of a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC") in the Proposal No.15/2026/TT-HĐQT dated 18 November 2026.

Quý Cổ đông vui lòng tham khảo tài liệu liên quan về việc lấy ý kiến cổ đông bằng văn bản tại địa chỉ https://hsc.com.vn/vi/dai-hoi-co-dong.
Please refer to related documents of seeking shareholders' written opinions via https://www.hsc.com.vn/en/meeting-of-shareholders.

III. NỘI DUNG LẤY Ý KIẾN
CONTENT

Thông qua việc HSC được thực hiện hoạt động cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán theo mô hình Đối tác bù trừ trung tâm (Central Counterparty Clearing House – "CCP") với tư cách Thành viên bù trừ chung (General Clearing Member – "GCM") của Tổng công ty lưu ký và bù trừ chứng khoán Việt Nam ("VSDC").
HSC's provision of securities clearing and settlement services under the Central Counterparty Clearing House ("CCP") model, in the capacity of a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC").

- **Cổ đông** :
  Shareholder's name
- **Số ĐKSH** :
  ID Card/ Passport/ Enterprise Registration Certificate No.
- **Số cổ phần sở hữu** :
  Total number of shares owned
- **Số phiếu biểu quyết** :
  Total number of votes

Biểu quyết: *Voting*	☐ Tán thành *For*	☐ Không tán thành *Against*	☐ Không có ý kiến *Abstention*

IV. THỜI HẠN VÀ CÁCH THỨC GỬI PHIẾU LẤY Ý KIẾN
DEADLINE AND METHODS OF RETURNING THE FILLED WRITTEN BALLOTS

1. Thời hạn gửi Phiếu lấy ý kiến về Công ty: **trước 12:00, thứ Năm, ngày 03/12/2026** (theo dấu bưu điện/sổ ký nhận đối với thư gửi qua bưu điện hoặc trực tiếp; theo thời gian nhận email đối với thư điện tử)
   *Deadline for returning the filled written ballot to HSC: **Before 12pm, Thursday, 03 December 2026** (by the postmark/letter receipt log for documents sent by post or delivered in person, and by the email receipt timestamp for documents sent electronically)*
2. Cách thức gửi Phiếu lấy ý kiến:
   Methods for returning the filled written ballot:

- Bản gốc Phiếu lấy ý kiến gửi trực tiếp hoặc qua bưu điện về Trụ sở chính của Công ty.
  The filled written ballot must be mailed directly or by post to HSC's Head Office.
- Bản scan Phiếu lấy ý kiến gửi về địa chỉ thư điện tử (email).
  The scan copy of the filled written ballot must be sent to the email address.

Công ty Cổ phần Chứng khoán Thành phố Hồ Chí Minh
Tầng 5, tòa nhà AB, 76A Lê Lai, Phường Bến Thành, Thành phố Hồ Chí Minh
Tel: (+84 28) 3823 3299 Fax: (+84 28) 3823 3301 Email: agm@hsc.com.vn

Ho Chi Minh City Securities Corporation
Level 5, AB Tower, 76A Le Lai Street, Ben Thanh Ward, Ho Chi Minh City
Tel: (+84 28) 3823 3299 Fax: (+84 28) 3823 3301 Email: agm@hsc.com.vn

Hội đồng Quản trị kính đề nghị Quý Cổ đông hoàn thành các nội dung của Phiếu lấy ý kiến theo đúng hướng dẫn, ký, ghi rõ họ tên và gửi về Công ty trong thời hạn như yêu cầu.

The Board of Directors would like to request you to fill in the written ballot with full information such as the instructions, sign, print full name and return it to the Company within the required period.

NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT LEGAL REPRESENTATIVE	TM. HỘI ĐỒNG QUẢN TRỊ FOR AND ON BEHALF OF BOD
TRỊNH HOÀI GIANG TỔNG GIÁM ĐỐC CHIEF EXECUTIVE OFFICER	JOHAN NYVENE CHỦ TỊCH CHAIRMAN

……., Ngày/ Date ……tháng/ month …… năm/ year 2026

XÁC NHẬN CỦA CỔ ĐÔNG/ *CONFIRMATION OF SHAREHOLDER*

(Ký ghi rõ họ tên, và đóng dấu đối với tổ chức)

(Sign and print full name, and company seal for institution)

Lưu ý về cách thức biểu quyết/ *Notes on the method of voting*

- Cổ đông biểu quyết bằng cách đánh dấu (X) hoặc (√) vào 01 trong 03 ô: "Tán thành", hoặc "Không tán thành", hoặc "Không có ý kiến".
  Please put a cross (X) or a tick (√) in one of three boxes only for each content: "For", or "Against", or "Abstention".
- Trong trường hợp đánh dấu nhầm hoặc muốn thay đổi ý kiến, Cổ đông phải tô đen ô đã đánh dấu, ký tên ngay bên cạnh và chọn ô khác.
  In case of making mistakes or changing opinion, please black out and sign your name next to the selected box and mark another one.

Lưu ý về Phiếu lấy ý kiến/ *Notes on the filled written ballot*

- Trường hợp Cổ đông đồng thời gửi Phiếu lấy ý kiến bằng hình thức gửi thư (trực tiếp/bưu điện) và bằng email, nếu có sự khác biệt giữa các kết quả biểu quyết trên các phiếu thì kết quả tại Phiếu lấy ý kiến bằng hình thức gửi thư sẽ được ưu tiên công nhận.
  In case of returning the filled written ballot to the Company both by post (or delivers it in person) and by email, and there is any discrepancy between the voting results indicated in the respective forms, the voting result recorded in the hard-copy written ballot shall prevail.
- Trường hợp Cổ đông không gửi Phiếu lấy ý kiến về Công ty hoặc gửi Phiếu lấy ý kiến sau thời hạn như yêu cầu thì số phiếu biểu quyết của Cổ đông sẽ không được tính vào kết quả biểu quyết đối với nội dung được lấy ý kiến.
  In case of not returning the filled written ballot to the Company or returning the filled written ballot after the deadline, number of your votes do not cast in voting results of this content.
- Trường hợp Cổ đông ủy quyền cho người khác thực hiện quyền biểu quyết, người được ủy quyền phải gửi kèm theo Phiếu lấy ý kiến đã được trả lời, văn bản ủy quyền bản gốc hoặc bản sao y công chứng/ chứng thực hợp lệ. Phiếu lấy ý kiến không gửi kèm văn bản ủy quyền được coi là phiếu không hợp lệ.
  In case of authorizing to another person to exercise your voting rights, authorized representative must send the filled written ballot, enclosing the original proxy letter or the notarized copy of proxy letter. The filled written ballot not enclosing the proxy letter is considered invalid.
- Trường hợp gửi bản scan Phiếu lấy ý kiến đến địa chỉ mail của Công ty, địa chỉ email mà Cổ đông dùng để gửi là địa chỉ email đã được đăng ký với VSDC (trừ trường hợp Cổ đông ủy quyền cho người khác thực hiện biểu quyết và gửi Phiếu lấy ý kiến về địa chỉ email của Công ty thì người được ủy quyền phải gửi từ địa chỉ email nêu tại phần thông tin của người được ủy quyền trên Giấy ủy quyền).
  In case of sending the scan copy of the filled written ballot to the Company's email address, the email address you use must be the email address registered in VSDC (except in case of authorizing to another person to exercise your voting rights and sending the filled written ballot to the Company's email address, authorized representative must send it from his/ her email address stated in Authorize Party' information of Proxy Letter).

Tel: (+84) 28 3823 3299 www.hsc.com.vn Email: agm@hsc.com.vn

No.: 15/2026/TT- HĐQT

Ho Chi Minh City, 18 November 2026

PROPOSAL
SEEKING SHAREHOLDERS' WRITTEN OPINIONS 2026
HO CHI MINH CITY SECURITIES CORPORATION

Subject: The approval of HSC's provision of securities clearing and settlement services under the Central Counterparty Clearing House ("CCP") model, in the capacity of a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC")

Based on:

- *Law on Enterprises No.59/2020/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on 17 June 2020, as amended and supplemented by Law No. 76/2025/QH15;*
- *Law on Securities No.54/2019/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on 26 November 2019; Law No.56/2024/QH15 issued by the National Assembly of the Socialist Republic of Vietnam on 29 November 2024 on amending some contents of Law on Securities; and relevant implementing regulations and guiding documents;*
- *HSC's Corporate Charter according to AGM Resolution No.01/2022/NQ-ĐHĐCĐ dated 08 August 2022,*

The Board of Directors ("BOD") of Ho Chi Minh City Securities Corporation ("The Compamy"/ "HSC") would like to submit to the General Meeting of Shareholders for the approval of HSC's provision of securities clearing and settlement services under the Central Counterparty Clearing House ("CCP") model, in the capacity of a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC") for your respective approval.

1. Legal basis and necessity of participation in the Central Counterparty Clearing ("CCP") model

Legal Basis

- Article 151 of Decree No. 155/2020/ND-CP stipulates the conditions for the provision of securities transaction clearing and settlement services;
- Clause 43, Article 3 of Decree No. 155/2020/ND-CP provides that a General Clearing Member ("GCM") may perform clearing and settlement of securities transactions for its own account and its clients, and may also provide clearing and settlement services to non-clearing trading members and their clients;
- The Law on Securities 2019 and Decree No. 155/2020/ND-CP stipulate that a securities company seeking to register as a GCM must obtain a Certificate of Eligibility for the Provision of Securities Transaction Clearing and Settlement Services from the State Securities Commission of Vietnam ("SSC") and be approved by the Vietnam Securities Depository and Clearing Corporation ("VSDC") for clearing membership registration.

Necessity of participation in the Central Counterparty Clearing ("CCP") Model

- Under the CCP model, the CCP acts as the central counterparty between the buyer and the seller in securities transactions, undertaking the acceptance and novation of transactions, clearing and determination of settlement obligations, as well as the management of collateral and arising risks, thereby contributing to the safety and stability of settlement activities in the securities market.
- Under this mechanism, a General Clearing Member ("GCM") not only performs clearing and settlement for its own transactions and those of its clients, but is also permitted to provide clearing and settlement services to trading members that do not directly perform clearing functions.

Accordingly, becoming a GCM would enable HSC to expand its business scope, generate revenue from providing services to market members, and enhance its competitiveness, particularly in the institutional client and foreign investor segments. In addition, participation would enable HSC to proactively meet the roadmap for the development and modernization of Vietnam's securities market in accordance with the direction of the competent state regulatory authorities.

- Participation in the CCP model as a GCM also requires HSC to fully satisfy the conditions and standards prescribed by law, particularly with respect to financial capacity, risk management, personnel, information technology systems, and infrastructure supporting clearing and settlement activities.

Based on its assessment of the opportunities, benefits, and relevant requirements, the Board of Directors considers HSC's participation in the provision of securities transaction clearing and settlement services under the CCP model as a GCM to be necessary and consistent with the Company's development strategy.

2. HSC's ability to satisfy the requirements for becoming a General Clearing Member ("GCM")

Details are as follows:

No.	Condition for providing the services	Status at HSC	Review result
1	Being a securities company granted a Securities Depository Business Registration Certificate by the SSC.	HSC was granted Securities Depository Business Registration Certificate No. 15/GPHDLK by the SSC on 2 May 2003.	Satisfied
2	The securities company has charter capital and owner's equity of at least VND 900 billion.	HSC's current charter capital is VND 13,727,862,560,000.	Satisfied
3	The securities company has made full provisions as prescribed; the debt-to-equity ratio in its latest annual financial statements does not exceed five (05) times; and the available capital ratio has reached at least 260% continuously during the 12 months preceding the month in which the application is submitted.	HSC has made full provisions as prescribed. HSC's debt-to-equity ratio in the 2025 financial statements (consolidated and separate) does not exceed 05 times; The available capital ratio has been maintained above 260% continuously during the most recent 12 months to date.	Satisfied
4	The organisation applying to provide the services is not undergoing reorganisation, dissolution or bankruptcy, and is not subject to control, special control or suspension of operations by decision of a competent authority.	HSC is not undergoing any of the processes referred to above.	Satisfied
5	The provision of securities clearing and settlement services has been approved by the General Meeting of Shareholders.	No Resolution of the General Meeting of Shareholders approving this activity has been issued.	Approval in progress

Tel: (+84) 28 3823 3299 www.hsc.com.vn Email: agm@hsc.com.vn

3. Matters submitted to the General Meeting of Shareholders for approval

The Board of Directors respectfully submitted the following matter to the General Meeting of Shareholders for its consideration and approval:

- Ho Chi Minh City Securities Corporation shall be entitled to provide securities transaction clearing and settlement services under the Central Counterparty Clearing ("CCP") model in its capacity as a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC").
- The time of commencement of the services shall be decided by the Board of Directors after the State Securities Commission, the Vietnam Securities Depository and Clearing Corporation and the competent authorities have issued all necessary regulations and guidelines and HSC has satisfied the prescribed conditions.
- To assign and authorise the Chairman of the Board of Directors and/or the Chief Executive Officer to: (i) approve the costs and organise the implementation of the provision of securities clearing and settlement services; (ii) carry out the procedures for and sign the applications, contracts and documents relating to registration, requests for approval, system connectivity and other procedures with the regulators and partners; and (iii) disclose information in accordance with the relevant laws.

The Board of Directors would like to submit it to the General Meeting of Shareholders for your respective approval.

FOR AND BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN THÀNH PHỐ HỒ CHÍ MINH

JOHAN NYVENE

To:
- *As above-mentioned*
- *BOD Office for archiving*

Tel: (+84) 28 3823 3299 www.hsc.com.vn Email: agm@hsc.com.vn

No.: 02/2026/NQ-ĐHĐCĐ

Ho Chi Minh City, 03 December 2026

DRAFT RESOLUTION OF GENERAL MEETING OF SHAREHOLDERS SEEKING SHAREHOLDERS' WRITTEN OPINIONS 2026 HO CHI MINH CITY SECURITIES CORPORATION

Base on:

- *Law on Enterprises No.59/2020/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on 17 June 2020, as amended and supplemented by Law No. 76/2025/QH15;*
- *Law on Securities No.54/2019/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on 26 November 2019; Law No.56/2024/QH15 issued by the National Assembly of the Socialist Republic of Vietnam on 29 November 2024 on amending some contents of Law on Securities; and relevant implementing regulations and guiding documents;*
- *HSC's Corporate Charter according to AGM Resolution No. 01/2026/NQ-ĐHĐCĐ dated 23 April 2026;*
- *The Vote – Counting Minutes No. 02/2026/BB-ĐHĐCĐ dated 03 December 2026 of the General Meeting of Shareholaders of Ho Chi Minh City Securities Corporation,*

RESOLUTION

ARTICLE 1

The General Meeting of Shareholders approved HSC's provision of securities clearing and settlement services under the Central Counterparty Clearing House ("CCP") model, in the capacity of a General Clearing Member ("GCM") of the Vietnam Securities Depository and Clearing Corporation ("VSDC").

The General Meeting of Shareholders authorized the Board of Directors to determine the timing for the commencement of the provision of services once the State Securities Commission of Vietnam, the Vietnam Securities Depository and Clearing Corporation, and other competent authorities have issued all necessary regulations and guidelines, and HSC has satisfied all applicable regulatory requirements.

The General Meeting of Shareholders assigned and authorized the Chairman of the Board of Directors and/or the General Director to: (i) approve the expenses and organize the implementation of the provision of securities transaction clearing and settlement services; (ii) carry out the necessary procedures and execute applications, agreements, contracts and other relevant documents in connection with the registration, application for approval, system connectivity and other procedures with regulatory authorities and partners; and (iii) make information disclosures in accordance with applicable laws and regulations.

➢ Votes in favor accounted for …%.

ARTICLE 2

This Resolution takes effect from the signing date. All shareholders of Ho Chi Minh City Securities Corporation, members of the Board of Directors, and all employees of the Company have responsible for the implementation of this Resolution.

To:
- *HSC Shareholders;*
- *The State Securities Commission (SSC);*
- *Vietnam Stock Exchange (VNX);*
- *Hochiminh Stock Exchange (HOSE);*
- *Hanoi Stock Exchange (HNX);*
- *Vietnam Securities Depository and Clearing Corporation (VSDC);*
- *HSC BOD, and BOM;*
- *BOD Office for archiving*

FOR AND BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN

JOHAN NYVENE

SEEKING SHAREHOLDERS' WRITTEN OPINIONS 2026
HO CHI MINH CITY SECURITIES CORPORATION

PROXY LETTER (Form for Individual Shareholder)

☐ **Hereby authorize another person**

Personal details	Authorizing Party	Authorized Party
Full name:		
Citizen ID/Passport No.		
Date of issue		
Place of issue		
Nationality		
Address		
Mobile phone No.		
Email address		
Total number of shares		

☐ **Hereby authorize the Board of Directors**

Shareholder authorizes one member of the Board of Directors ("BOD") by ticking "√" the box beside the name of the member whose shareholder would like to authorize.

☐	Mr. Johan Nyvene	Chairman	☐	Ms. Duong Do Quyen	BOD Member
☐	Mr. Le Anh Minh	Vice Chairman	☐	Ms. Dang Nguyet Minh	BOD Member
☐	Mr. Andrew Colin Vallis	Independent BOD Member	☐	Ms. Phan Quynh Anh	BOD Member
☐	Ms. Nguyen Thi Hoang Lan	Independent BOD Member	☐	Ms. Hoang Thi Hong Nhung	BOD Member

Content of authorization

On behalf of the authorizing party, the authorize representative has right to vote content of seeking the shareholders' written opinions of Ho Chi Minh City Securities Corporation according to the record date of finalizing the shareholder list as of 11 November 2026.

The authorizing party takes responsibility for his/ her authorization and undertakes to comply with prevailing regulations in accordance with laws and HSC's Corporate Charter. And the authorizing party commits not to sue the Company for his/her authorization.

This Proxy Letter has been effective from the signing date to deadline for returning the filled written ballot on 3 December 2026.

……, date ..... month ..... year 2026

The authorized party	**The authorizing party**
(Signature with full name)	*(Signature with full name)*

SEEKING SHAREHOLDERS' WRITTEN OPINIONS 2026
HO CHI MINH CITY SECURITIES CORPORATION

PROXY LETTER (Form for Institutional Shareholder)

Corporate details	Authorizing Party
Shareholder' name	
Enterprise Registration Certificate No.	
Date of issue	
Place of issue	
Legal representative	
Head office address	
Mobile phone No.	
Email address	
Total number of shares	

☐ **Hereby authorize another person**

Personal details	Authorized Party 1	Authorized Party 2
Full name		
Position		
ID/ Citizen ID /Passport No.		
Date of issue		
Place of issue		
Nationality		
Address		
Mobile phone No.		
Email address		
Number of shares		

☐ **Hereby authorize the Board of Directors**

Shareholder authorizes one member of the Board of Directors ("BOD") by ticking "√" the box beside the name of the member whose shareholder would like to authorize.

☐	Mr. Johan Nyvene	Chairman	☐	Ms. Duong Do Quyen	BOD Member
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☐	Mr. Andrew Colin Vallis	Independent BOD Member	☐	Ms. Phan Quynh Anh	BOD Member
☐	Ms. Nguyen Thi Hoang Lan	Independent BOD Member	☐	Ms. Hoang Thi Hong Nhung	BOD Member

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……, date ..... month ..... year 2026

The authorized party	**The authorizing party**
(Signature with full name)	*(Signature with full name)*

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