TVS CAPITAL INSIGHT CLIENT INNOVATION

CÔNG TY CỔ PHẦN CHỨNG KHOÁN THIÊN VIỆT

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN THIÊN VIỆT
THIEN VIET SECURITIES JSC

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập- Tự do- Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence- Freedom- Happiness

Số/*No:* 74/2026/CBTT

Hà Nội, ngày 13 tháng 11 năm 2026
Hanoi, November 13, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi / *To*: **Ủy ban Chứng khoán Nhà nước / *The State Securities Commission***
Sở giao dịch Chứng khoán Việt Nam / *Vietnam Stock Exchange*
Sở Giao dịch Chứng khoán Hồ Chí Minh / *Ho Chi Minh Stock Exchange*

1. Tên tổ chức/ *Name of Organization:* Công ty Cổ Phần Chứng Khoán Thiên Việt (TVS)/ *Thien Viet Securities Joint Stock Company (TVS)*
   - Mã chứng khoán/*Securities code*: TVS
   - Địa chỉ trụ sở chính/ *Head office address*: Tầng 15, Tòa nhà Harec, Số 4 Láng Hạ, phường Giảng Võ, TP. Hà Nội/ *15th Floor, Harec Building, 4 Lang Ha, Giang Vo Ward, Hanoi*
   - Điện thoại/ *Telephone*: 024 3248 4820 Fax: 024 3248 4821
   - Email: congbothongtin@tvs.vn

2. Nội dung thông tin công bố/ *Information to be disclosed:*
   Công ty Cổ phần Chứng khoán Thiên Việt công bố thông tin Giấy chứng nhận đủ điều kiện cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán số 683/GCN-UBCK do Ủy ban Chứng khoán Nhà nước cấp ngày 12/11/2026/ *Thien Viet Securities Joint Stock Company discloses the Certificate of Eligibility to provide securities clearing and settlement services No. 683/GCN-UBCK issued by the State Securities Commission on November 12, 2026.*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 13/11/2026 tại đường dẫn https://www.tvs.vn/vi/quan-he-nha-dau-tu/*This information was published on the Company's website on November 13, 2026: https://www.tvs.vn/en/investor-relations*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Đại diện tổ chức
Organization representative
Người ủy quyền CBTT
Person authorized to disclose information

CÔNG TY CỔ PHẦN CHỨNG KHOÁN THIÊN VIỆT

Lê Thị Tuyết Nhung

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No: ***683***/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

Hanoi, November 12, 2026

CERTIFICATE
of eligibility to provide securities clearing and settlement services

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Resolution No. 66.16/2026/NQ-CP dated April 7, 2026 of the Government on reducing and simplifying administrative procedures and regulations related to production and business activities;

Pursuant to Resolution No. 24/2026/NQ-CP dated April 29, 2026 of the Government on reducing, decentralizing and simplifying administrative procedures and business conditions in the fields of national defense, home affairs, finance, construction, foreign affairs, justice and banking;

Pursuant to Decision No. 686/QD-BTC dated February 28, 2025 of the Minister of Finance stipulating the functions, duties, powers and organizational structure of the State Securities Commission;

Pursuant to the License for Securities Business Operation No. 36/UBCK-GPHDKD dated December 25, 2006 issued by the Chairperson of the State Securities Commission to Thien Viet Securities Joint Stock Company;

Pursuant to the application dossier for the Certificate of eligibility to provide securities clearing and settlement services submitted by Thien Viet Securities Joint Stock Company;

At the request of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of eligibility to provide securities clearing and settlement services in the capacity of a General clearing member in accordance with the laws on securities and the securities market to:

THIEN VIET SECURITIES JOINT STOCK COMPANY

Article 2. In the course of its operations, Thien Viet Securities Joint Stock Company shall comply with Vietnamese laws on the performance of securities clearing and settlement, other relevant legal documents, and the Company's Charter.

Article 3. This Certificate takes effect from the date of signing and constitutes an integral part of Establishment and Operation License No. 36/UBCK-GPHĐKD dated December 25, 2006, issued by the Chairperson of the State Securities Commission to Thien Viet Securities Joint Stock Company. Within 12 months from the date of issuance of this Certificate, Thien Viet Securities Joint Stock

Company is responsible for registering as a clearing member in accordance with the law.

Article 4. This Certificate of eligibility to provide securities clearing and settlement services is made in three (03) originals: one (01) original issued to Thien Viet Securities Joint Stock Company; one (01) original sent to the Vietnam Securities Depository and Clearing Corporation; and one (01) original kept at the State Securities Commission.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(signed and sealed)

Le Thi Viet Nga

