CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT
DN: C=VN, S=HÀ NỘI, L=Quận Hai Bà Trưng, CN=CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT
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CÔNG TY CỔ PHẦN
CHỨNG KHOÁN VNDIRECT
VNDIRECT SECURITIES CORPORATION

Số/*No.*: 1185 /2026/CBTT

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hà Nội, ngày 10 tháng 11 năm 2026
Hanoi, November 10, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG

EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi: **Ủy ban Chứng khoán Nhà nước**
Sở Giao dịch Chứng khoán Việt Nam
Sở Giao dịch Chứng khoán Hà Nội
Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh

To: ***State Securities Commission of Vietnam***
Vietnam Exchange
Hanoi Stock Exchange
Hochiminh Stock Exchange

1. Tên tổ chức/*Name of organization*: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT/ *VNDIRECT SECURITIES CORPORATION*

- Mã chứng khoán/*Stock code*: VND
- Địa chỉ trụ sở chính/*Address*: Số 1 Nguyễn Thượng Hiền, Phường Hai Bà Trưng, Thành phố Hà Nội/ *No. 1 Nguyen Thuong Hien Street, Hai Ba Trung Ward, Hanoi*
- Điện thoại/*Tel*: 024.39724568 Fax: 024.39724600
- Email: cbtt@vndirect.com.vn

2. **Nội dung thông tin công bố/*Contents of disclosure* (*):**

Ngày 10/11/2026, Công ty Cổ phần Chứng khoán VNDIRECT ("Công ty") nhận được Quyết định số 825/QĐ-SGDHCM ngày 09/11/2026 của Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh về việc chấp thuận niêm yết 29 chứng quyền có bảo đảm sau:

On November 10, 2026, VNDIRECT Securities Corporation (the "Company") received Decision No. 825/QD-SGDHCM dated November 09, 2026, issued by the Hochiminh Stock Exchange, approving the listing of the following 29 covered warrants:

1. Chứng quyền.ACB.VNDS.M.CA.T.8T.2026.2
   Chung quyen.ACB.VNDS.M.CA.T.8T.2026.2
2. Chứng quyền.ACB.VNDS.M.CA.T.10T.2026.2
   Chung quyen.ACB.VNDS.M.CA.T.10T.2026.2
3. Chứng quyền.FPT.VNDS.M.CA.T.7T.2026.2
   Chung quyen.FPT.VNDS.M.CA.T.7T.2026.2

4. Chứng quyền.FPT.VNDS.M.CA.T.9T.2026.2
   Chung quyen.FPT.VNDS.M.CA.T.9T.2026.2
5. Chứng quyền.HDB.VNDS.M.CA.T.6T.2026.2
   Chung quyen.HDB.VNDS.M.CA.T.6T.2026.2
6. Chứng quyền.HDB.VNDS.M.CA.T.9T.2026.2
   Chung quyen.HDB.VNDS.M.CA.T.9T.2026.2
7. Chứng quyền.HPG.VNDS.M.CA.T.7T.2026.2
   Chung quyen.HPG.VNDS.M.CA.T.7T.2026.2
8. Chứng quyền.HPG.VNDS.M.CA.T.10T.2026.2
   Chung quyen.HPG.VNDS.M.CA.T.10T.2026.2
9. Chứng quyền.MBB.VNDS.M.CA.T.10T.2026.2
   Chung quyen.MBB.VNDS.M.CA.T.10T.2026.2
10. Chứng quyền.MSN.VNDS.M.CA.T.8T.2026.2
    Chung quyen.MSN.VNDS.M.CA.T.8T.2026.2
11. Chứng quyền.MSN.VNDS.M.CA.T.10T.2026.2
    Chung quyen.MSN.VNDS.M.CA.T.10T.2026.2
12. Chứng quyền.MWG.VNDS.M.CA.T.7T.2026.2
    Chung quyen.MWG.VNDS.M.CA.T.7T.2026.2
13. Chứng quyền.MWG.VNDS.M.CA.T.10T.2026.2
    Chung quyen.MWG.VNDS.M.CA.T.10T.2026.2
14. Chứng quyền.SHB.VNDS.M.CA.T.8T.2026.2
    Chung quyen.SHB.VNDS.M.CA.T.8T.2026.2
15. Chứng quyền.SHB.VNDS.M.CA.T.10T.2026.2
    Chung quyen.SHB.VNDS.M.CA.T.10T.2026.2
16. Chứng quyền.STB.VNDS.M.CA.T.9T.2026.2
    Chung quyen.STB.VNDS.M.CA.T.9T.2026.2
17. Chứng quyền.TCB.VNDS.M.CA.T.8T.2026.2
    Chung quyen.TCB.VNDS.M.CA.T.8T.2026.2
18. Chứng quyền.TCB.VNDS.M.CA.T.10T.2026.2
    Chung quyen.TCB.VNDS.M.CA.T.10T.2026.2
19. Chứng quyền.VNM.VNDS.M.CA.T.7T.2026.2
    Chung quyen.VNM.VNDS.M.CA.T.7T.2026.2
20. Chứng quyền.VNM.VNDS.M.CA.T.9T.2026.2
    Chung quyen.VNM.VNDS.M.CA.T.9T.2026.2
21. Chứng quyền.VIB.VNDS.M.CA.T.5T.2026.2
    Chung quyen.VIB.VNDS.M.CA.T.5T.2026.2
22. Chứng quyền.VIB.VNDS.M.CA.T.7T.2026.2
    Chung quyen.VIB.VNDS.M.CA.T.7T.2026.2
23. Chứng quyền.VIB.VNDS.M.CA.T.9T.2026.2
    Chung quyen.VIB.VNDS.M.CA.T.9T.2026.2
24. Chứng quyền.VIB.VNDS.M.CA.T.11T.2026.2
    Chung quyen.VIB.VNDS.M.CA.T.11T.2026.2

25. Chứng quyền.VPB.VNDS.M.CA.T.5T.2026.2
Chung quyen.VPB.VNDS.M.CA.T.5T.2026.2
26. Chứng quyền.VPB.VNDS.M.CA.T.8T.2026.2
Chung quyen.VPB.VNDS.M.CA.T.8T.2026.2
27. Chứng quyền.VPB.VNDS.M.CA.T.9T.2026.2
Chung quyen.VPB.VNDS.M.CA.T.9T.2026.2
28. Chứng quyền.VPB.VNDS.M.CA.T.10T.2026.2
Chung quyen.VPB.VNDS.M.CA.T.10T.2026.2
29. Chứng quyền.VPB.VNDS.M.CA.T.11T.2026.2
Chung quyen.VPB.VNDS.M.CA.T.11T.2026.2

(Chi tiết theo tài liệu đính kèm/*Details according to the attached document*)

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 10/11/2026 tại đường dẫn: https://www.vndirect.com.vn/danh_muc_quan_he_co_dong/cong-bo-thong-tin/

This information was published on the company's website on November 10, 2026 as in the link https://www.vndirect.com.vn/danh_muc_quan_he_co_dong/cong-bo-thong-tin/

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/*Attached document:*

- Quyết định về việc chấp thuận niêm yết chứng quyền có bảo đảm./Decision on Approval for Listing of Covered Warrants.

Đại diện tổ chức
Organization representative
Người đại diện theo pháp luật
Legal representative
Giám đốc Quản trị
Chief Governance Officer

CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT

Điêu Ngọc Tuấn

HOCHIMINH STOCK EXCHANGE

No.: 825/QD-SGDHCM

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Ho Chi Minh City, November 9, 2026

DECISION

On the Approval for Listing of Covered Warrants

GENERAL DIRECTOR OF THE HOCHIMINH STOCK EXCHANGE

Pursuant to the Securities Law dated November 26, 2019;

Pursuant to the Law Amending and Supplementing a Number of Articles of the Securities Law, the Accounting Law, the Independent Audit Law, the State Budget Law, the Law on Management and Use of Public Assets, the Tax Administration Law, the Personal Income Tax Law, the National Reserves Law, and the Law on Handling of Administrative Violations, dated November 29, 2024;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Securities Law;

Pursuant to Decree No. 245/2025/ND-CP dated September 11, 2025 of the Government amending and supplementing a number of articles of Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Securities Law;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Ministry of Finance guiding covered warrants;

Pursuant to Decision No. 07/QD-HDTV dated July 9, 2021 of the Members' Council of the Vietnam Exchange promulgating the Charter on the organization and operation of the Hochiminh Stock Exchange;

Pursuant to Decision No. 22/QD-HDTV dated March 16, 2026 of the Members' Council of the Vietnam Exchange promulgating the Regulation on Listing and Trading of Listed Securities;

Pursuant to the listing registration dossier for covered warrants of VNDIRECT Securities Corporation;

At the proposal of the Director of the Listing Management Department.

DECIDES:

Article 1. To approve the listing registration of covered warrants issued by VNDIRECT Securities Corporation with the following contents:

1. Warrant type: **Call warrant**

2. Exercise style: **A warrant whose holder may only exercise the right on the maturity date**

3. Warrant settlement method: **In cash**

4. List of covered warrants approved for listing registration:

No.	Warrant Name	Underlying stock code	Term (months)	Maturity date	Conversion ratio	Exercise price (VND)	Number of listed warrants
1	Chung quyen. ACB.VNDS.M.CA.T.8T.2026.2	ACB	8	Jun 28, 2027	4:1	19,300	10,000,000
2	Chung quyen. ACB.VNDS.M.CA.T.10T.2026.2	ACB	10	Aug 26, 2027	4:1	20,200	8,000,000
3	Chung quyen. FPT.VNDS.M.CA.T.7T.2026.2	FPT	7	May 26, 2027	10:1	61,900	10,000,000
4	Chung quyen. FPT.VNDS.M.CA.T.9T.2026.2	FPT	9	Jul 26, 2027	10:1	55,600	8,000,000
5	Chung quyen. HDB.VNDS.M.CA.T.6T.2026.2	HDB	6	Apr 26, 2027	4:1	24,400	10,000,000
6	Chung quyen. HDB.VNDS.M.CA.T.9T.2026.2	HDB	9	Jul 26, 2027	4:1	21,200	10,000,000
7	Chung quyen. HPG.VNDS.M.CA.T.7T.2026.2	HPG	7	May 26, 2027	3:1	20,600	8,000,000
8	Chung quyen. HPG.VNDS.M.CA.T.10T.2026.2	HPG	10	Aug 26, 2027	3:1	20,000	8,000,000
9	Chung quyen. MBB.VNDS.M.CA.T.10T.2026.2	MBB	10	Aug 26, 2027	3:1	21,600	10,000,000
10	Chung quyen. MSN.VNDS.M.CA.T.8T.2026.2	MSN	8	Jun 28, 2027	10:1	71,900	10,000,000
11	Chung quyen. MSN.VNDS.M.CA.T.10T.2026.2	MSN	10	Aug 26, 2027	10:1	73,200	10,000,000
12	Chung quyen. MWG.VNDS.M.CA.T.7T.2026.2	MWG	7	May 26, 2027	10:1	73,600	10,000,000
13	Chung quyen. MWG.VNDS.M.CA.T.10T.2026.2	MWG	10	Aug 26, 2027	10:1	68,700	8,000,000
14	Chung quyen. SHB.VNDS.M.CA.T.8T.2026.2	SHB	8	Jun 28, 2027	2:1	11,000	10,000,000
15	Chung quyen. SHB.VNDS.M.CA.T.10T.2026.2	SHB	10	Aug 26, 2027	2:1	11,500	10,000,000
16	Chung quyen. STB.VNDS.M.CA.T.9T.2026.2	STB	9	Jul 26, 2027	10:1	61,700	10,000,000
17	Chung quyen. TCB.VNDS.M.CA.T.8T.2026.2	TCB	8	Jun 28, 2027	2.6687:1	21,750	8,000,000
18	Chung quyen. TCB.VNDS.M.CA.T.10T.2026.2	TCB	10	Aug 26, 2027	2.6687:1	19,881	8,000,000
19	Chung quyen. VNM.VNDS.M.CA.T.7T.2026.2	VNM	7	May 26, 2027	8:1	57,500	10,000,000
20	Chung quyen. VNM.VNDS.M.CA.T.9T.2026.2	VNM	9	Jul 26, 2027	8:1	55,500	8,000,000
21	Chung quyen. VIB.VNDS.M.CA.T.5T.2026.2	VIB	5	Mar 26, 2027	2:1	13,400	5,000,000
22	Chung quyen. VIB.VNDS.M.CA.T.7T.2026.2	VIB	7	May 26, 2027	2:1	14,800	5,000,000
23	Chung quyen. VIB.VNDS.M.CA.T.9T.2026.2	VIB	9	Jul 26, 2027	2:1	13,100	5,000,000
24	Chung quyen. VIB.VNDS.M.CA.T.11T.2026.2	VIB	11	Sep 27, 2027	2:1	12,500	5,000,000
25	Chung quyen. VPB.VNDS.M.CA.T.5T.2026.2	VPB	5	Mar 26, 2027	4:1	23,700	5,000,000

No.	Warrant Name	Underlying stock code	Term (months)	Maturity date	Conversion ratio	Exercise price (VND)	Number of listed warrants
26	Chung quyen. VPB.VNDS.M.CA.T.8T.2026.2	VPB	8	Jun 28, 2027	4:1	22,900	6,000,000
27	Chung quyen. VPB.VNDS.M.CA.T.9T.2026.2	VPB	9	Jul 26, 2027	4:1	25,000	5,000,000
28	Chung quyen. VPB.VNDS.M.CA.T.10T.2026.2	VPB	10	Aug 26, 2027	4:1	26,400	8,000,000
29	Chung quyen. VPB.VNDS.M.CA.T.11T.2026.2	VPB	11	Sep 27, 2027	4:1	25,300	5,000,000

Article 2. VNDIRECT Securities Corporation must comply with the law of Vietnam, regulations on securities and the securities market, and the contents of this Decision.

Article 3. This Decision takes effect from the date of signing.

Article 4. VNDIRECT Securities Corporation, the Director of the Listing Management Department, the Director of the Trading Systems Department, the Director of the Trading Supervision Department, and the Director of the Market Information Department are responsible for the implementation of this Decision.

Recipients:
- *As per Article 4;*
- *SSC;*
- *VNX;*
- *VSDC;*
- *File: VT, NY (09).*

GENERAL DIRECTOR

Signed and Sealed

Nguyen Thi Viet Ha