CÔNG TY CỔ PHẦN **CHỨNG KHOÁN VNDIRECT** ***VNDIRECT SECURITIES CORPORATION***	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** **Độc lập - Tự do - Hạnh phúc** ***THE SOCIALIST REPUBLIC OF VIETNAM*** ***Independence - Freedom - Happiness***
Số/No:1164 /2026/CBTT	*Hà Nội, ngày 04 tháng 11 năm 2026* *Hanoi, November 04, 2026*

CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT
DN: C=VN, S=HÀ NỘI, L=Quận Hai Bà Trưng, CN=CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT, OID.0.9.2342.19200300.100.1.1=MST:0102065364
Reason: I am the author of this document
Location: your signing location here
Date: 2026.11.04 12:10:13+07'00'
Foxit PDF Reader Version: 11.1.0

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi: Ủy ban Chứng khoán Nhà nước
Sở Giao dịch Chứng khoán Việt Nam
Sở Giao dịch Chứng khoán Hà Nội
Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh

To:* *State Securities Commission of Vietnam
Vietnam Exchange
Hanoi Stock Exchange
Hochiminh Stock Exchange

1. Tên tổ chức/*Name of organization:* CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT/ *VNDIRECT SECURITIES CORPORATION*

- Mã chứng khoán/*Stock code:* VND
- Địa chỉ trụ sở chính/*Address*: Số 1 Nguyễn Thượng Hiền, Phường Hai Bà Trưng, Thành phố Hà Nội/ *No. 1 Nguyen Thuong Hien street, Hai Ba Trung ward, Hanoi*
- Điện thoại/*Tel*: 024.39724568 Fax: 024.39724600
- Email: cbtt@vndirect.com.vn

2. **Nội dung thông tin công bố/*Contents of disclosure* (*):**

Công ty Cổ phần Chứng khoán VNDIRECT ("Công ty") công bố Nghị quyết số 1162/2026/NQ-HĐQT ngày 03/11/2026 về việc tổ chức họp Đại hội đồng cổ đông bất thường năm 2026. Theo đó, ngày đăng ký cuối cùng để thực hiện quyền tham dự họp là ngày 25/11/2026. (Chi tiết trong tài liệu đính kèm).

VNDIRECT Securities Corporation (the "Company") hereby discloses Resolution No. 1162/2026/NQ-HDQT dated November 03, 2026 on convening the Extraordinary General Meeting of Shareholders 2026. Accordingly, the record date for exercising the right to attend the meeting is November 25, 2026. (Details according to the attached documents).

3. Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 04/11/2026 tại đường dẫn: https://www.vndirect.com.vn/danh_muc_quan_he_co_dong/cong-bo-thong-

tin/

This information was published on the Company's website on November 04, 2026 as in the link https://www.vndirect.com.vn/danh_muc_quan_he_co_dong/cong-bo-thong-tin/

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/*Attached documents:*

- Nghị quyết số 1162/2026/NQ-HĐQT ngày 03/11/2026 của Hội đồng quản trị./*The Resolution No. 1162/2026/NQ-HDQT dated November 03, 2026 of the Board of Directors.*
- Thông báo số 1163/2026/CV-VNDIRECT ngày 03/11/2026./*The Notification No. 1163/2026/CV-VNDIRECT dated November 03, 2026.*

Đại diện tổ chức
Organization representative
Người đại diện theo pháp luật
Legal representative
Giám đốc Quản trị
Chief Governance Officer

CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT

Điêu Ngọc Tuấn

VNDIRECT SECURITIES CORPORATION	THE SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom – Happiness
No.: 1162/2026/NQ-HDQT	*Hanoi, November 03, 2026*

RESOLUTION

On the convening of the Extraordinary General Meeting of Shareholders of the Company

THE BOARD OF DIRECTORS
VNDIRECT SECURITIES CORPORATION

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amending, supplementing and guiding documents;*
- *The Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing and guiding documents;*
- *The Charter on Organisation and Operation of VNDIRECT Securities Corporation;*
- *The Minutes of the meeting of the Board of Directors of VNDIRECT Securities Corporation (the "Company") dated November 03, 2026.*

RESOLVES:

Article 1. To approve the convening of the Extraordinary General Meeting of Shareholders (the "GMS") of VNDIRECT Securities Corporation as follows:

- Record date for the exercise of the right to attend the GMS of the Company: November 25, 2026.
- Exercise ratio: 1:1 (01 share – 01 voting right).
- Time: To be announced later by the Company.
- Venue: To be announced later by the Company.
- Meeting agenda: Matters falling within the authority of the General Meeting of Shareholders, which will be announced later by the Company.

Article 2. To assign and authorise the Chairwoman of the Board of Directors (the "Chairwoman of the BOD") to decide on and organise the implementation of all necessary tasks related to the convening of the Company's GMS, including: deciding on the form of the meeting; deciding on the specific time and venue; developing the agenda and contents of the meeting; preparing the meeting documents; drafting the GMS Resolution based on the proposed contents; sending the notice of meeting to each shareholder entitled to attend the meeting in accordance with the law and the Company's Charter; and performing other work in service of the GMS. Where necessary, the Chairwoman of the BOD may decide on and adjust matters related to the convening of the GMS.

Article 3. This Resolution takes effect from the date of signing. Members of the Board of

Directors, the Executive Board, and the relevant divisions, departments and units of the Company shall be responsible for implementing this Resolution./.

Recipients:
- *As stated in Article 3;*
- *Filed at the Company.*

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRWOMAN OF THE BOARD OF DIRECTORS

(Signed and Sealed)

PHAM MINH HUONG

VNDIRECT SECURITIES CORPORATION

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 1163/2026/CV-VNDIRECT

Hanoi, November 03, 2026

Re: Finalisation of the list of shareholders entitled to attend the Extraordinary General Meeting of Shareholders

NOTIFICATION

(On the record date for the exercise of the right to attend the General Meeting of Shareholders)

To: Vietnam Securities Depository and Clearing Corporation

Name of Securities Registrant: VNDIRECT Securities Corporation

Trading name: VNDIRECT SECURITIES CORPORATION

Head office: No. 1 Nguyen Thuong Hien Street, Hai Ba Trung Ward, Hanoi

Telephone: 024 39724568 Fax: 024 39724600

We hereby notify the Vietnam Securities Depository and Clearing Corporation (VSDC) of the record date for compiling the list of holders of the following securities:

Securities name: Shares of VNDIRECT Securities Corporation
Securities code: VND
Type of securities: Common shares
Par value: VND 10,000
Trading venue: HOSE
Record date: November 25, 2026

1. Reason and purpose:

To exercise the right to attend the Company's Extraordinary General Meeting of Shareholders.

2. Details:

- Exercise ratio:
  For common shares: 1 share – 1 voting right
- Time: To be announced later by the Company
- Venue: To be announced later by the Company
- Meeting agenda: To be announced later by the Company

We kindly request VSDC to compile and provide the Company with the list of securities holders as of the above-mentioned record date via VSDC's electronic communication portal.

Recipients:

- As above;

- The Stock Exchange;

- Filed at the Company.

VNDIRECT SECURITIES CORPORATION
LEGAL REPRESENTATIVE
CHIEF EXECUTIVE OFFICER

(Signed and Sealed)

NGUYEN VU LONG

****) Attached documents***

- A copy of Resolution of the Board of Directors No. 1162/2026/NQ-HDQT dated November 03, 2026, approving the convening of the Company's Extraordinary General Meeting of Shareholders;

- A copy of the document evidencing the disclosure of information on the record date for the exercise of rights by existing shareholders.