Code
Company name HOSE
Date 10/30/2026
Subject The second reminder to Warrant Market Makers - LPS

Content:

Through monitoring the trading activities of the market maker for covered warrants CMSN2601, CMSN2624, CMSN2625 and CVIC2610, the Hochiminh Stock Exchange (HOSE) noted the following:

On October 28, 2026, LPS Securities Joint Stock Company failed to place market-making limit orders within five 05 minutes upon the occurrence of the market event "the market price deviation exceeding 5%", pursuant to Point c, Clause 1, Article 6 of the Regulations on Market Making Operations and Risk Prevention for Covered Warrant Issuers promulgated with Decision No. 49/QD-HĐTV dated June 19, 2026 of the Chairman of the Members' Council of the Vietnam Stock Exchange.

The Hochiminh Stock Exchange hereby reminds LPS Securities Joint Stock Company to strictly comply with market-making provisions stipulated in the Regulations on Market Making Operations and Risk Prevention for Covered Warrant Issuers promulgated with Decision No. 49/QD-HĐTV dated June 19, 2026 of the Chairman of the Members' Council of the Vietnam Stock Exchange.