CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT ***VNDIRECT SECURITIES CORPORATION***	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** **Độc lập - Tự do - Hạnh phúc** ***THE SOCIALIST REPUBLIC OF VIETNAM*** ***Independence - Freedom - Happiness***
Số/*No.*: 1150 /2026/CBTT	*Hà Nội, ngày 29 tháng 10 năm 2026* *Hanoi, October 29, 2026*

CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT
DN: C=VN, L=Quận Hai Bà Trưng, O=CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT, OID.0.9.2342.19200300.100.1.1=MST:0102065360
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CÔNG BỐ THÔNG TIN BẤT THƯỜNG

EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi: **Ủy ban Chứng khoán Nhà nước**
Sở Giao dịch Chứng khoán Việt Nam
Sở Giao dịch Chứng khoán Hà Nội
Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh

To: ***State Securities Commission of Vietnam***
Vietnam Exchange
Hanoi Stock Exchange
Ho Chi Minh Stock Exchange

1. Tên tổ chức/*Name of organization*: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT/ *VNDIRECT SECURITIES CORPORATION*

- Mã chứng khoán/*Stock code*: VND
- Địa chỉ trụ sở chính/*Address*: Số 1 Nguyễn Thượng Hiền, Phường Hai Bà Trưng, Thành phố Hà Nội/ *No. 1 Nguyen Thuong Hien Street, Hai Ba Trung Ward, Hanoi*
- Điện thoại/*Tel*: 024.39724568 Fax: 024.39724600
- Email: cbtt@vndirect.com.vn

2. **Nội dung thông tin công bố/*Contents of disclosure* (*):**

Ngày 29/10/2026, Công ty Cổ phần Chứng khoán VNDIRECT ("Công ty") nhận được Quyết định số 660/QĐ-UBCK ngày 28/10/2026 của Ủy ban Chứng khoán Nhà nước về việc sửa đổi Quyết định chấp thuận thành lập chi nhánh công ty chứng khoán. Theo đó, Công ty công bố thông tin về việc thay đổi Giám đốc Chi nhánh Thanh Xuân thành ông Nguyễn Văn Đồng kể từ ngày 28/10/2026 theo chấp thuận của Ủy ban Chứng khoán Nhà nước.

(Nội dung chi tiết tại tài liệu đính kèm).

On October 29, 2026, VNDIRECT Securities Corporation (the "Company") received Decision No. 660/QD-UBCK dated October 28, 2026 of the State Securities Commission of Vietnam regarding the amendment to the Decision approving the establishment of the securities company. Accordingly, the Company hereby discloses the change of the Director of Thanh Xuan Branch to Mr. Nguyen Van Dong with effect from October 28, 2026, as approved by the State Securities Commission of Vietnam.

(Details according to the attached document).

3. Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 29/10/2026 tại đường dẫn: https://www.vndirect.com.vn/danh_muc_quan_he_co_dong/cong-bo-thong-tin/

This information was published on the Company's website on October 29, 2026 as in the link https://www.vndirect.com.vn/danh_muc_quan_he_co_dong/cong-bo-thong-tin/

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/*Attached document:*

- Quyết định số 660/QĐ-UBCK ngày 28/10/2026 của Ủy ban Chứng khoán Nhà nước./ *The Decision No. 660/QD-UBCK dated October 28, 2026 of the State Securities Commission of Vietnam.*

Đại diện tổ chức

Organization representative

Người đại diện theo pháp luật

Legal representative

Giám đốc Quản trị

Chief Governance Officer

M.S.D.N: 0102065366 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN VNDIRECT
THÀNH PHỐ HÀ NỘI

Điêu Ngọc Tuấn

MINISTRY OF FINANCE STATE SECURITIES COMMISSION OF VIETNAM	THE SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom – Happiness
No.: 660/QD-UBCK	*Hanoi, October 28, 2026*

DECISION

On the Amendment to the Decision Approving the Establishment of a Securities Company Branch

CHAIRPERSON OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No. 686/QD-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;

Pursuant to Resolution No. 66.16/2026/NQ-CP dated April 7, 2026 of the Government on the reduction and simplification of administrative procedures and regulations related to production and business activities;

Pursuant to License for Securities Business Operation No. 22/UBCK-GPHDKD dated November 16, 2006 issued by the Chairperson of the State Securities Commission of Vietnam to VNDIRECT Securities Corporation;

Pursuant to Decision No. 460/QD-UBCK dated July 13, 2022 of the Chairperson of the State Securities Commission of Vietnam approving the establishment of VNDIRECT Securities Corporation – Dao Duy Anh Branch (now VNDIRECT Securities Corporation – Thanh Xuan Branch);

Pursuant to the application dossier submitted by VNDIRECT Securities Corporation for the change of the Director of Thanh Xuan Branch;

At the proposal of the Head of the Department of Securities Business Regulation.

DECIDES:

Article 1. To amend Decision No. 460/QD-UBCK dated July 13, 2022 of the Chairperson of the State Securities Commission of Vietnam approving the establishment of VNDIRECT Securities Corporation – Thanh Xuan Branch, with the following details:

- Full name of the Branch Director: NGUYEN VAN DONG
- Date of birth:
- Nationality: Vietnamese
- Personal Identification Number:

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of License for Securities Business Operation No. 22/UBCK-GPHDKD dated November 16, 2006 issued by the Chairperson of the State Securities Commission of Vietnam to VNDIRECT Securities Corporation and Decision No.

460/QD-UBCK dated July 13, 2022 of the Chairperson of the State Securities Commission of Vietnam approving the establishment of VNDIRECT Securities Corporation – Thanh Xuan Branch.

Article 3. The Chief of Office, the Head of the Department of Securities Business Regulation, the General Director of Vietnam Exchange, the General Director of Ho Chi Minh Stock Exchange, the General Director of Hanoi Stock Exchange, the General Director of Viet Nam Securities Depository and Clearing Corporation, VNDIRECT Securities Corporation and relevant parties shall be responsible for the implementation of this Decision.

Recipients:
- As specified in Article 3;
- Chairperson of the State Securities Commission of Vietnam (for reporting);
- Archived: Office, Department of Securities Business Regulation (10 copies).

FOR THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and Sealed)

LE THI VIET NGA