

Code	
Company name	HOSE
Date	10/28/2026
Subject	The first reminder to Warrant Market Makers - SSI

Content:

Through monitoring the trading activities of the market maker for covered warrants CFPT2632, CMWG2626, CACB2621, CHPG2636, CACB2622, CHPG2635 and CHPG2637, the Hochiminh Stock Exchange (HOSE) noted the following:

On October 1, 2026, October 12, 2026 and October 26, 2026, SSI Securities Corporation (SSI) failed to place market-making limit orders within five 05 minutes upon the occurrence of the market event “only buy or sell orders for covered warrants existed” and “the market price deviation exceeding 5%”, pursuant to Point a and Point c, Clause 1, Article 6 of the Regulations on Market Making Operations and Risk Prevention for Covered Warrant Issuers promulgated with Decision No. 49/QD-HĐTV dated June 19, 2026 of the Chairman of the Members’ Council of the Vietnam Stock Exchange.

The Hochiminh Stock Exchange hereby reminds SSI Securities Corporation to strictly comply with market-making provisions stipulated in the Regulations on Market Making Operations and Risk Prevention for Covered Warrant Issuers promulgated with Decision No. 49/QD-HĐTV dated June 19, 2026 of the Chairman of the Members’ Council of the Vietnam Stock Exchange.