



CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No.: 124/2026/TPB.HĐQT

Hà Nội, ngày 16 tháng 10 năm 2026
Hanoi, October 16, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi: - Ủy ban Chứng khoán Nhà nước
- Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh
To: - *State Securities Commission*
- *Hochiminh Stock Exchange*

- Tên tổ chức / *Name of organization*: Ngân hàng Thương mại Cổ phần Tiên Phong (TPBank)
/ *Tien Phong Commercial Joint Stock Bank (TPBank)*
 - Mã chứng khoán / *Stock code*: TPB
 - Địa chỉ / *Address*: Tòa nhà TPBank, Số 57, Phố Lý Thường Kiệt, Phường Cửa Nam, Thành phố Hà Nội / *TPBank Building, No. 57, Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City*
 - Điện thoại liên hệ / *Telephone*: 024. 3768 8998 Fax: 024. 3768 8979
 - Email: cbtt@tpb.com.vn
- Nội dung thông tin công bố / *Contents of disclosure*:

Ngày 16/10/2026, TPBank đã nhận được Giấy chứng nhận đủ điều kiện cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán số 493/GCN-UBCK ngày 16/10/2026 của Ủy ban Chứng khoán Nhà nước cấp cho TPBank với tư cách thành viên bù trừ chung theo quy định của pháp luật chứng khoán và thị trường chứng khoán.

On October 16, 2026, TPBank received the Certificate of eligibility for provision of securities transaction clearing and settlement services No. 493/GCN-UBCK dated October 16, 2026 from the State Securities Commission granting to TPBank as a general clearing member in accordance with the law on securities and securities market.
- Thông tin này đã được công bố trên trang thông tin điện tử của TPBank vào ngày 16/10/2026 tại đường dẫn: <https://tpb.vn/nha-dau-tu/thong-bao-co-dong> / *This information was published on TPBank's website on October 16, 2026, as in the link <https://tpb.vn/eng/nha-dau-tu/thong-bao-co-dong>.*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố / *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*



Nơi nhận / Recipients:

- Như Kính gửi / *As above*;
- SGDCK Việt Nam / *Vietnam Exchange*;
- Lưu: VT / *Archived by VT*.

Tài liệu đính kèm / Attachment:

- Giấy chứng nhận số 493/GCN-UBCK /
Certificate No. 493/GCN-UBCK

Đại diện tổ chức

Organization representative

Người UQ CBTT

Person authorized to disclose information

PHÓ CHỦ TỊCH HĐQT

VICE CHAIRMAN OF THE BOD



Lê Quang Tiến



CERTIFICATE

Eligibility for provision of securities transaction clearing and settlement services

CHAIRWOMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government on elaboration of some Articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Resolution No. 66.16/2026/NQ-CP dated April 7, 2026 of the Government on the reduction and simplification of administrative procedures and regulations on production and business activities;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, tasks, powers, and organizational structure of the State Securities Commission;

Pursuant to the Application dossier for the issuance of the Certificate of eligibility for provision of securities transaction clearing and settlement services of Tien Phong Commercial Joint Stock Bank;

At the proposal of the Head of the Securities Business Management Division.

DECIDES:

Article 1. Grant the Certificate of eligibility for provision of securities transaction clearing and settlement services as a general clearing member in accordance with the law on securities and securities market to:

TIEN PHONG COMMERCIAL JOINT STOCK BANK

Establishment and Operation License: License No. 23/GP-NHNN dated May 25, 2026 issued by the Governor of the State Bank of Vietnam to Tien Phong Commercial Joint Stock Bank.

Article 2. In the process of providing securities transaction clearing and settlement services, Tien Phong Commercial Joint Stock Bank must ensure compliance with the law on securities and securities market, the law on credit institutions, other relevant legal documents, and the Charter of the Bank.

Article 3. This Certificate takes effect from the date of signing. Within 12 months from the date of issuance of this Certificate, Tien Phong Commercial Joint Stock Bank is responsible for registering as a clearing member in accordance with the law.

Article 4. This Certificate of eligibility for provision of securities transaction clearing and settlement services is made in three (3) original copies: one (1) copy issued to Tien Phong Commercial Joint Stock Bank; one (1) copy sent to the Vietnam Securities Depository and Clearing Corporation; and one (1) copy archived at the State Securities Commission./.

**ON BEHALF OF CHAIRWOMAN
VICE CHAIRWOMAN**

(signed and sealed)

Lê Thị Việt Nga

