

Số: 16/10/2026/CV-PLP
No: 16/10/2026/CV-PLP

Hà Nội, ngày 16 tháng 10 năm 2026
Hanoi, October 16, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi:

- To:
- Ủy ban Chứng khoán Nhà nước;
 - Sở giao dịch chứng khoán Thành phố Hồ Chí Minh;
 - The State Securities Commission;
 - Hochiminh Stock Exchange.

CÔNG TY CỔ
PHẦN SẢN
XUẤT VÀ
CÔNG NGHỆ
NHỰA PHA LÊ

Digitaly signed by CÔNG TY CỔ PHẦN SẢN
XUẤT VÀ CÔNG NGHỆ NHỰA PHA LÊ
THE SOCIALIST REPUBLIC OF VIETNAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness
Số: 16/10/2026/CV-PLP
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Print Reader Version: 0.1.1

1. Tên tổ chức/Name of organization: Công ty Cổ phần Sản xuất và Công nghệ Nhựa Pha Lê/ Pha Le Plastic Manufacturing and Technology Joint Stock

- Mã chứng khoán/ Stock code: PLP

- Địa chỉ/Address: Lô đất CN4.3 khu công nghiệp MP Đình Vũ, khu kinh tế Đình Vũ - Cát Hải, Phường Đông Hải, TP Hải Phòng, Việt Nam/ Lot CN4.3, MP Dinh Vu Industrial Park, Dinh Vu - Cat Hai Economic Zone, Dong Hai Ward, Hai Phong City, Vietnam.

- Điện thoại liên hệ/ Tel: (+84-24) 3555 1669

- E-mail: ir@phaleminerals.com.vn

2. Nội dung thông tin công bố/Contents of disclosure:

Công ty Cổ phần Sản xuất và Công nghệ Nhựa Pha Lê (Mã chứng khoán: PLP) xin công bố thông tin về việc đưa ra biện pháp và lộ trình khắc phục tình trạng chứng khoán bị kiểm soát.

Pha Le Plastic Manufacturing and Technology Joint Stock Company (Stock code: PLP) hereby announces information regarding the measures and roadmap to remedy the situation of its securities being placed on a controlled list.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 16/10/2026 tại đường dẫn: <https://phaleplastics.com.vn/>.

This information was published on the company's website on 16/10/2026, as in the link: <https://phaleplastics.com.vn/>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

NGƯỜI ĐƯỢC ỦY QUYỀN CBTT
AUTHORIZED PERSON TO DISCLOSE
INFORMATION



Phạm Xuân Trí



**PHA LE PLASTICS MANUFACTURING
AND TECHNOLOGY JSC**

No: 1610/2026/CV-PLP

**V/v: Measures and Roadmap for
Remedying the Controlled Status of the
Company's Shares**

THE SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom – Happiness

Hanoi, October 16, 2026

To: - State Securities Commission of Vietnam;
- Ho Chi Minh City Stock Exchange;

Pha Le Plastics Manufacturing and Technology Joint Stock Company (Stock Code: PLP) respectfully extends its greetings to your esteemed authorities.

The Company has received Decision No. 759/QD-SGDHCM dated October 2, 2026, issued by the Ho Chi Minh City Stock Exchange, regarding the transfer of PLP shares from the warning status to the controlled status, and Official Letter No. 1658/SGDHCM-NY dated October 2, 2026, regarding the implementation of measures and a roadmap to remedy the controlled status of the Company's shares. In relation to the above matters, further to the Company's Official Letter No. 2309/2026/CV-PLP regarding the explanation of measures and a roadmap to remedy the warning status of its shares, the Company hereby reports as follows:

1. Remedial Measures:

The Company is mobilizing our resources and working closely with UHY Auditing and Consulting Company Limited to complete the review of its Semi-annual Financial Statements for 2026. The Company is proactively reviewing, supplementing, and providing records and documents as requested by the audit firm, while directing relevant departments to coordinate in promptly addressing arising issues and expediting the completion of the financial statements.

In addition, the Company will strengthen its planning, monitoring, and control of the progress of information disclosure obligations in accordance with applicable regulations; proactively prepare documentation for the audit and review of financial statements; and enhance coordination among departments to minimize the risk of delays in information disclosure in subsequent reporting periods.

2. Roadmap for Remedying the Controlled Status:

On August 24, 2026, the Company signed an audit and review engagement contract for its 2026 financial statements with UHY Auditing and Consulting Company Limited. The Company and the audit firm are currently working actively together to carry out the final review procedures with a view to completing the review of the Semi-annual Financial Statements for 2026 as soon as possible.

The Company expects to complete the review and disclose its reviewed Semi-annual Financial Statements for 2026 in October 2026. Immediately upon completion, the Company will disclose the information in accordance with applicable regulations.

The Company undertakes to continue working closely with the audit firm and focus on completing the remaining work in accordance with the above roadmap. The Company will also draw lessons from this matter and strengthen its control measures to ensure compliance with information disclosure deadlines in subsequent reporting periods.

Sincerely./.

**PHA LE PLASTICS MANUFACTURING
AND TECHNOLOGY JOINT STOCK COMPANY**

(Signed)

Mai Thanh Phuong