

Code	
Company name	HOSE
Date	10/14/2026
Subject	Reminder to Warrant Market Makers

Content:

Through monitoring the trading activities of the market maker for covered warrants CHPG2605, CHPG2633, CVRE2608, CFPT2629 and CVIB2610, the Hochiminh Stock Exchange (HOSE) noted the following:

On October 2, 2026, October 5, 2026 and October 7, 2026, LPS Securities Joint Stock Company failed to place market-making limit orders within five 05 minutes upon the occurrence of the market event “the market price deviation exceeding 5%”, pursuant to Point c, Clause 1, Article 6 of the Regulations on Market Making Operations and Risk Prevention for Covered Warrant Issuers promulgated with Decision No. 49/QĐ-HĐTV dated June 19, 2026 of the Chairman of the Members’ Council of the Vietnam Stock Exchange.

The Hochiminh Stock Exchange hereby reminds LPS Securities Joint Stock Company to strictly comply with market-making provisions stipulated in the Regulations on Market Making Operations and Risk Prevention for Covered Warrant Issuers promulgated with Decision No. 49/QĐ-HĐTV dated June 19, 2026 of the Chairman of the Members’ Council of the Vietnam Stock Exchange.