

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN TP.HỒ CHÍ MINH
HO CHI MINH CITY SECURITIES
CORPORATION

Số/ No.: 95/2026/CV-CBTT

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

TP. Hồ Chí Minh, ngày 13 tháng 10 năm 2026
Ho Chi Minh City, 13 October 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/To: – Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
– Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*
– Sở Giao dịch Chứng khoán TP.Hồ Chí Minh/ *Hochiminh Stock Exchange*
– Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

1. Tên tổ chức: Công ty Cổ phần Chứng khoán TP. Hồ Chí Minh
Name of organization: Ho Chi Minh City Securities Corporation

- Mã chứng khoán: HCM
Securities code: HCM

- Địa chỉ liên lạc: Tầng 2, 3, 5, 6, 7, 11 và 12 Tòa nhà AB, 76A Lê Lai, P. Bến Thành, TP. Hồ Chí Minh
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2. Nội dung thông tin công bố: Nghị quyết Hội đồng Quản trị thông qua việc cập nhật và bổ sung tài liệu thuộc Hồ sơ chào bán cổ phiếu riêng lẻ của Công ty.
Contents of disclosure: The Board Resolution approved the updates and supplements to documents of the Company's private placement dossier.

Ngày 13/10/2026, Hội đồng Quản trị Công ty Cổ phần Chứng khoán Thành phố Hồ Chí Minh (HSC) đã thông qua việc cập nhật và bổ sung tài liệu thuộc Hồ sơ chào bán cổ phiếu riêng lẻ của Công ty đã được thông qua theo Nghị quyết số 37/2026/NQ-HĐQT ngày 22/09/2026 tại Công bố thông tin số 86/2026/CV-CBTT. (Nội dung chi tiết vui lòng xem tại Nghị quyết số 39/2026/NQ-HĐQT đính kèm.)

On 13 October 2026 2026, the Board of Directors of Ho Chi Minh City Securities Corporation (HSC) approved the updates and supplements to documents of the Company's private placement dossier, which were previously approved under Resolution No. 37/2026/NQ-HĐQT dated 22 September 2026 in Disclosure No. 86/2026/CV-CBTT. (Please see the detailed contents in Resolution No. 39/2026/NQ-HĐQT attached.)

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 13/10/2026 tại đường dẫn <https://www.hsc.com.vn/vi/cong-bo-thong-tin>
This information was published on the company's website on 13 October 2026, as in the link <https://www.hsc.com.vn/en/information-disclosure>



We hereby certify that the information provided is true and correct; we bear the full responsible to the law.

- Nghị quyết số 39/2026/NQ-HĐQT ngày 13/10/2026.
Resolution No. 39/2026/NQ-HĐQT dated 13 Oct 2026.

Người được ủy quyền công bố thông tin
Person authorized to disclose information



Giám đốc Điều hành Truyền thông
Chief Communications Officer



**RESOLUTION
BOARD OF DIRECTORS
HO CHI MINH CITY SECURITIES CORPORATION**

In accordance with License for Establishment and Operation No. 11/GPHĐKD dated 29 April 2003 and Amendment License No. 115/GPĐC-UBCK dated 02 October 2026 issued by the State Securities Commission of Vietnam;

In accordance with HSC's Corporate Charter dated 23 April 2026 approved at the AGM Resolution No, 01/2026/NO-ĐHĐCĐ of Ho Chi Minh City Securities Corporation.

In accordance with Resolution No. 01/2026/NQ-ĐHĐCĐ dated 23 April 2026 issued by the Annual General Meeting of Shareholders 2026 of Ho Chi Minh City Securities Corporation;

In accordance with Resolution No. 37/2026/BB-HĐQT dated 22 October 2026 issued by Ho Chi Minh City Securities Corporation;

In accordance with Board Meeting Minutes No. 39/2026/BB-HĐQT dated 13 October 2026 issued by Ho Chi Minh City Securities Corporation.

**BOARD OF DIRECTORS
HO CHI MINH CITY SECURITIES CORPORATION
HEREBY RESOLVED**

ARTICLE 1

The Board of Directors approved the updates and supplements to documents of the Company's private placement dossier, which were previously approved by the Board of Directors under Resolution No. 37/2026/NO-HĐQT dated 22 September 2026, as follows:

1. Written registration of private placement of shares (*updated document*);
2. Enterprise Registration Certificate No. 0302910950, with the 11th amendment dated 09 October 2026 issued by the Department of Finance (*supplementary document*);
3. License for Establishment and Operation No. 11/GPHĐKD dated 29 April 2003, and Adjusted License No. 115/GPĐC-UBCK dated 02 October 2026 issued by the State Securities Commission of Vietnam (*supplementary document*);
4. The Company's Charter (*updated document*);
5. Document on the separation of voting ballots for the approval of the General Meeting of Shareholders' resolution by persons having related interests in the private placement of shares (*updated document*);
6. Document on the separation of voting ballots for the approval of the Board of Directors' resolution by persons having related interests in the private placement of shares (*updated document*).

All other contents approved by the Board of Directors under Resolution No. 37/2026/NQ-HĐQT dated 22 September 2026 shall remain unchanged.

ARTICLE 2

The Board of Directors authorized and delegated to the Chairman of the Board of Directors and/or the Chief Executive Officer to update and supplement the documents specified in Article 1 of this Resolution and to submit the supplementary dossier to the State Securities Commission of Vietnam in accordance



with Article 43 of Decree No. 155/2020/ND-CP guiding the implementation of the Law on Securities and Clause 20, Article 1 of Decree No. 245/2025/ND-CP amending and supplementing a number of articles of Decree No. 155/2020/ND-CP.

ARTICLE 3

This resolution takes effect from the signature date.

**FOR AND ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN**



JOHAN NYVENE

To:

- + BOD members,
- + BOM members,
- + BOD Office for archiving,

