



CÔNG TY CỔ PHẦN
CHỨNG KHOÁN BIDV
BIDV SECURITIES JSC

Số/No.: **1010**/CBTT-BSC

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
THE SOCIALIST REPUBLIC OF VIET NAM

Độc lập – Tự do – Hạnh phúc
Independence - Freedom - Happiness

Hà Nội, ngày 12 tháng 10 năm 2026
Hanoi, October 12, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi:

- Ủy ban Chứng khoán Nhà nước;
- Sở Giao dịch Chứng khoán Việt Nam;
- Sở Giao dịch Chứng khoán TP HCM;
- Sở Giao dịch Chứng khoán Hà Nội.

To:

- *State Securities Commission of Viet Nam;*
- *Vietnam Exchange;*
- *Ho Chi Minh Stock Exchange;*
- *Hanoi Stock Exchange.*

1. Tên tổ chức/Name of organization: Công ty Cổ phần Chứng khoán BIDV/
BIDV Securities Joint Stock Company

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: BSI
- Địa chỉ/Address: Tầng 8, Tầng 9 LPB Tower, số 210 Trần Quang Khải, phường
Hoàn Kiếm, Thành phố Hà Nội/ 8th Floor, 9th Floor, LPB Tower, No. 210 Tran Quang
Khai, Hoan Kiem Ward, Hanoi City.
- Điện thoại/Tel: 024.39352722 Fax: 024.33816699 Email: ir@bsc.com.vn

2. Nội dung thông tin công bố/Contents of disclosure:

Quyết định của Hội đồng quản trị về việc phê duyệt bộ tài liệu lấy ý kiến cổ
đồng bằng văn bản.

*Decision of the Board of Directors on approving the document package for
obtaining shareholders' written opinions*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào
ngày 12/10/2026 tại đường dẫn: <https://www.bsc.com.vn/quan-he-co-dong>.

*This information was published on the company's website on October 12, 2026,
as in the link: <https://www.bsc.com.vn/quan-he-co-dong>.*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/*We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Tài liệu đính kèm/ Attached documents:

- Văn bản liên quan đến nội dung công bố thông tin/ *Documents related to the content of disclosure.*

NGƯỜI ĐƯỢC ỦY QUYỀN CBTT
PERSON AUTHORIZED TO DISCLOSE
INFORMATION



XUÂN QUANG TOÀN



DECISION

Re: Approval of documents for obtaining shareholders' written opinions

BOARD OF DIRECTORS OF BIDV SECURITIES JSC

Pursuant to Enterprise Law No. 59/2020/QH14 dated June 17, 2020, and amending and supplementing documents;

Pursuant to Law on Securities No. 54/2019/QH14 dated November 26, 2019, and amending and supplementing documents;

Pursuant to Charter of BIDV Securities Joint Stock Company;

Pursuant to BOD Resolution No. 588/NQ-BSC dated September 29, 2026 re: Approval of the plan to organize collecting shareholders written opinions;

Pursuant to Proposal No. 123/TTr-VP.HDQT dated October 06, 2026 re: Approval of documents and proposal to establish the Vote Counting Committee for obtaining shareholders' written opinions;

Pursuant to Minutes of summary opinions No. 103/BBTHYK-HDQT dated October 12, 2026 of the Board of Directors of BIDV Securities Joint Stock Company.

HEREBY DECIDES:

Article 1. Approval of documents for obtaining shareholders' written opinions, as attached.

Article 2. Assign the Board of Directors Office to disclose information regarding the documents and to serve as the focal point for implementing tasks related to soliciting shareholders' opinions in writing.

Article 3. This Decision takes effect from the date of signing.

Article 4. The members of the Board of Directors, Board of Supervisors, Board of Management, Board of Directors Office and related units, individuals are responsible for the implementation of this Decision./.

Recipients:

- As Article 4;
- Archives at BSC, BOD Office.

O/B BOARD OF DIRECTORS

CHAIRMAN



Ngo Van Dung

PROPOSAL

Re: Approval of the provision of securities transaction clearing and settlement services under the central counterparty (CCP) mechanism

To: The General Meeting of Shareholders of BSC

Pursuant to the Law on Enterprises No. 59/2020/QH14 dated 17/06/2020 of the National Assembly of the Socialist Republic of Vietnam and its amendments and supplements;

Pursuant to the Law on Securities No. 54/2019/QH14 dated 26/11/2019, as amended and supplemented by Law No. 56/2024/QH15 dated 29/11/2024;

Pursuant to Decree 155/2020/ND-CP dated 31/12/2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11/09/2025;

Pursuant to Resolution 66.16/2026/NQ-CP dated 07/04/2026 of the Government stipulating the reduction and simplification of administrative procedures and regulations related to production and business activities;

Pursuant to Official Letter No. 5896/UBCK-QLKD dated 25/6/2026 of the State Securities Commission (SSC) regarding the provision of securities transaction clearing and settlement services upon implementation of the central counterparty (CCP) mechanism;

Pursuant to the Charter of BIDV Securities Joint Stock Company (BSC).

The Board of Directors of BIDV Securities Joint Stock Company (BSC) respectfully submits to the General Meeting of Shareholders for consideration and approval the following contents:

1. Reason for proposing the provision of securities transaction clearing and settlement services under the central counterparty (CCP) mechanism

According to information published by the SSC on 25/06/2026, the underlying securities market is expected to transition to the clearing and settlement model under the central counterparty (CCP) mechanism from the beginning of Q1/2027.



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Accordingly, the Vietnam Securities Depository and Clearing Corporation (VSDC) is the sole CCP of the underlying securities market; through the novation mechanism, VSDC becomes the central counterparty in securities transactions, thereby reducing settlement volume and costs, optimizing cash flow, and enhancing market efficiency and safety.

To perform clearing and settlement of securities transactions for customers under the CCP mechanism, a securities company must be granted a VSDC clearing member certificate (the VSDC is expected to grant clearing member certificates during Q3–Q4/2026).

BSC meeting the conditions and registering to become a clearing member of VSDC is a necessary requirement to maintain the ability to provide full securities services to customers and to ensure competitiveness in the market.

2. Proposal to the General Meeting of Shareholders

Pursuant to the provisions of Clause 4, Article 151 of Decree 155/2020/ND-CP, as amended by Section XVIII, Part A, Section II, Appendix I.9 of Resolution 66.16/NQ-CP, BSC is required to obtain approval from the General Meeting of Shareholders for the provision of securities clearing and settlement services.

Based on the above report contents, the Board of Directors of BSC respectfully submits to the General Meeting of Shareholders:

2.1. Approval of the provision of securities clearing and settlement services under the central counterparty (CCP) mechanism.

2.2. Authorization to the Board of Directors:

- To organize the implementation and decide on matters related to applying for a license to provide securities clearing and settlement services and a license to become a clearing member at the SSC, VSDC and competent state authorities, including but not limited to signing and finalizing documents and materials related to the application for a license to provide services and a license to become a clearing member submitted to the SSC, VSDC and competent state authorities;

- Based on BSC's operational situation, to decide on the selection and change of the type of clearing member (direct clearing member or general clearing member) in accordance with legal regulations;

- To decide on the contribution levels and asset contributions to the Payment Support Fund, the Clearing Fund, the Professional Risk Fund and to perform other

financial obligations in accordance with legal regulations and VSDC's regulations;

- To organize the implementation, decide on and perform other works related to the provision of securities clearing and settlement services at BSC;

- Within the scope of authorization, the Board of Directors may re-authorize the Chairman of the Board of Directors and/or the General Director of BSC to perform the above works.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval! 

Recipients:

- As above;
- BOS;
- Filed at: BSC.

ON BEHALF OF THE BOD

CHAIRMAN 



Ngo Van Dung



DRAFT

No. /NQ-DHDCD

Ha Noi, October , 2026

RESOLUTION
**THE GENERAL MEETING OF SHAREHOLDERS
OF BIDV SECURITIES JOINT STOCK COMPANY**

**GENERAL MEETING OF SHAREHOLDERS
OF BIDV SECURITIES JOINT STOCK COMPANY**

Pursuant to the Law on Enterprises No. 59/2020/QH14 dated 17/06/2020 of the National Assembly of the Socialist Republic of Vietnam and its amended and supplemented documents;

Pursuant to the Law on Securities No. 54/2019/QH14 dated 26/11/2020 of the National Assembly of the Socialist Republic of Vietnam and its amended and supplemented documents;

Pursuant to the Charter of BIDV Securities Joint Stock Company (BSC);

Pursuant to Resolution No. 588/NQ-BSC dated 29/09/2026 of the Board of Directors (BOD) of BSC approving the plan to obtain shareholders' written opinions;

Pursuant to the Minutes of Vote Counting Results for obtaining shareholders' written opinions No. /BB-DHDCD dated /10/2026 of BIDV Securities Joint Stock Company,

HEREBY RESOLVES:

Article 1. Approval of the provision of securities clearing and settlement services under the central counterparty (CCP) mechanism as set out in the Proposal to the General Meeting of Shareholders No. 1009/TTr-HDQT dated October 12, 2026.

Article 2. Authorization to the Board of Directors:

2.1. To organize the implementation and decide on matters related to applying for a license to provide securities clearing and settlement services and a license to become a clearing member with the State Securities Commission, the Vietnam Securities Depository and Clearing Corporation and competent state authorities, including but not limited to signing and finalizing dossiers and documents related to applying for a license to provide services and a license to become a clearing member

to be submitted to the State Securities Commission, the Vietnam Securities Depository and Clearing Corporation and competent state authorities;

2.2. Based on BSC's operational situation, to decide on the selection and change of the type of clearing member (direct clearing member or general clearing member) in accordance with legal regulations;

2.3. To decide on the contribution levels and asset contributions to the Payment Support Fund, the Clearing Fund, the Operational Risk Fund and to perform other financial obligations in accordance with legal regulations and those of VSDC;

2.4. To organize the implementation, decide on and perform other works related to the provision of securities clearing and settlement services at BSC;

2.5. Within the scope of authorization, the Board of Directors may re-authorize the Chairman of the BOD and/or the General Director of BSC to perform the above works.

Article 3. Implementation provisions

1. This Resolution is made in 02 (two) originals, comprising 02 (two) pages, and has been unanimously approved by the General Meeting of Shareholders of BIDV Securities Joint Stock Company in the form of obtaining shareholders' written opinions.

2. This Resolution shall take effect from October , 2026.

3. The Board of Directors, the Board of Supervisors and the Board of Management shall be responsible for implementing this Resolution and organizing its implementation in accordance with the provisions of law and the Charter of BIDV Securities Joint Stock Company.

**O/B OF THE GENERAL MEETING OF
SHAREHOLDERS
CHAIRMAN OF THE BOD**

Ngo Van Dung

**BIDV SECURITIES JOINT STOCK COMPANY (BSC)**

Address: 8F, 9F, LPB Tower, No. 210 Tran Quang Khai, Hoan Kiem Ward, Ha Noi City.

Telephone: (+84-24) 3935 2722; Fax: (+84-24) 3381 6699

Enterprise code: 0101003060

DRAFT**WRITTEN BALLOT FOR SHAREHOLDER OPINION****I. Shareholder information:**

- Shareholder type: ☐ Individual ☐ Organization
- Full name/Organization name:
- Address/Head office address:
- Nationality (for individual shareholders):
- ID card/Citizen Identity Card/Passport/other legal personal identification document (for individual shareholders):
- Enterprise code/Establishment Decision/Business Registration Certificate/Equivalent document (for institutional shareholders):
- Legal representative (for institutional shareholders):, nationality:, ID card/Citizen Identity Card/Passport number (or other legal personal identification document)
- Authorized representative (if any): under power of attorney No....., nationality:, ID card/Citizen Identity Card/Passport number (or other legal personal identification document)
- Number of shares owned (or represented) as at the record date 09/10/2026:
- Number of votes corresponding to the number of shares owned (or represented)^(*):

II. Purpose of soliciting opinions:

Approval of the provision of securities clearing and settlement services under the central counterparty (CCP) mechanism.

III. Matters to be approved and Voting options (Shareholders shall vote by marking “✓” or “X” in 01 of 03 options)

NO	Matter to be approved	Approve	Dis-approve	No opinion
1	Approval of the provision of securities clearing and settlement services under the central counterparty (CCP) mechanism (as content of Proposal to the GMS No. 1009/TTr-HDQT dated October 12, 2026 published on the BSC website).	☐	☐	☐

^(*) Note: Each share corresponds to one vote.

III. Deadline and method for submitting the completed Ballot:

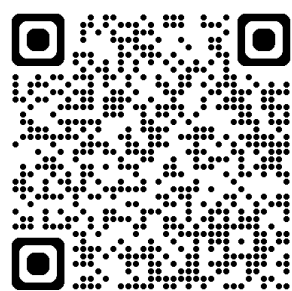
1. Deadline for submitting the completed Ballot: no later than **26/10/2026 (Monday)** (based on postmark or the date the opinion is sent by email).

2. Method of submitting the completed Ballot:

Shareholders shall submit the completed ballot in one ***of the following two*** forms:

(i) Shareholders send this ballot in a sealed envelope to the following address: Office of the Board of Directors – BIDV Securities Joint Stock Company (BSC), 8th Floor, LPB Tower, No. 210 Tran Quang Khai, Hoan Kiem Ward, Ha Noi City.

(ii) Scan this ballot and send it by email to: ir@bsc.com.vn



(Shareholders scan the QR code or visit the website www.bsc.com.vn under "Investor Relations" – "General Meeting of Shareholders" – "Meeting Documents" to read and download the documents)

Documents serving the collection of opinions include:

- (i) Proposal to the GMS No. 1009/TTr-HDQT dated October 12, 2026 re: Approval of the provision of securities transaction clearing and settlement services under the central counterparty (CCP) mechanism;
- (ii) Draft Resolution of the General Meeting of Shareholders.

Notes:

- A Ballot is invalid if it: (1) is not in BSC's prescribed form or does not bear BSC's confirmation seal; (2) marks more than one option for a matter (the vote on that matter is invalid); (3) lacks the shareholder's signature (for an individual) or the legal representative's signature and, where applicable, the organization's seal (for an organization); (4) is received in an opened envelope; (5) is received by BSC after the deadline; (6) is altered or bears additional markings; or (7) where a proxy votes, is not accompanied by a valid power of attorney (original or a notarized/certified copy).
- If no option is marked for a matter but the Ballot is signed and, where applicable, sealed, the shareholder shall be deemed to have No opinion.
- A Ballot not returned to BSC shall be deemed not to have participated in the vote.

Confirmation of shareholder

(Individual shareholder: Signature and full name;
Organizational shareholder: Signature, full name and
seal of the legal representative)

**ON BEHALF OF BOD
CHAIRMAN OF THE BOD**

Ngo Van Dung