

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN TP. HỒ CHÍ MINH
HO CHI MINH CITY SECURITIES
CORPORATION

Số/ No.: 86/2026/CV-CBTT

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

TP. Hồ Chí Minh, ngày 23 tháng 09 năm 2026
Ho Chi Minh City, 23 September 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán TP. Hồ Chí Minh/ *Hochiminh Stock Exchange*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

1. Tên tổ chức: Công ty Cổ phần Chứng khoán TP. Hồ Chí Minh
Name of organization: Ho Chi Minh City Securities Corporation

- Mã chứng khoán: HCM
Securities code: HCM

- Địa chỉ liên lạc: Tầng 2, 3, 5, 6, 7, 11 và 12 Tòa nhà AB, 76A Lê Lai, P. Bến Thành, TP. Hồ Chí Minh
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2. Nội dung thông tin công bố: Nghị quyết Hội đồng Quản trị thông qua bộ Hồ sơ đăng ký chào bán cổ phiếu riêng lẻ.

Contents of disclosure: The Board Resolution approved the Dossier for registration of the private placement of shares.

Ngày 22/09/2026, Hội đồng Quản trị Công ty Cổ phần Chứng khoán Thành phố Hồ Chí Minh (HSC) đã thông qua bộ Hồ sơ đăng ký chào bán cổ phiếu riêng lẻ. (Nội dung chi tiết vui lòng xem tại Nghị quyết số 37/2026/NQ-HĐQT đính kèm).

On 22 September 2026, the Board of Directors of Ho Chi Minh City Securities Corporation (HSC) approved the Dossier for registration of the private placement of shares. (Please refer to attached Resolution No. 37/2026/NQ-HĐQT for details).

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 23/09/2026 tại đường dẫn <https://www.hsc.com.vn/vi/cong-bo-thong-tin>

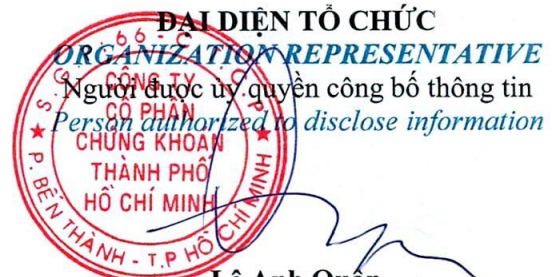
This information was published on the company's website on 23 September 2026, as in the link <https://www.hsc.com.vn/en/information-disclosure>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct; we bear the full responsible to the law.

Tài liệu đính kèm/Attached documents:

- Nghị quyết số 37/2026/NQ-HĐQT ngày 22/09/2026
Resolution No. 37/2026/NQ-HĐQT dated 22 Sep 2026



Lê Anh Quân
Giám đốc Điều hành Truyền thông
Chief Communications Officer

RESOLUTION
BOARD OF DIRECTORS
HO CHI MINH CITY SECURITIES CORPORATION

In accordance with License for Establishment and Operation No. 11/GPHĐKD dated 29 April 2003 and Amendment License No. 119/GPĐC-UBCK dated 30 October 2025 issued by the State Securities Commission of Vietnam;

In accordance with HSC's Corporate Charter dated 23 April 2026 approved at the AGM Resolution No. 01/2026/NQ-ĐHĐCĐ of Ho Chi Minh City Securities Corporation,

In accordance with Resolution No. 01/2026/NQ-ĐHĐCĐ dated 23 April 2026 issued by the Annual General Meeting of Shareholders 2026 of Ho Chi Minh City Securities Corporation;

In accordance with Board Meeting Minutes No. 37/2026/BB-HĐQT dated 22 September 2026 issued by Ho Chi Minh City Securities Corporation.

BOARD OF DIRECTORS
HO CHI MINH CITY SECURITIES CORPORATION
HEREBY RESOLVED

ARTICLE 1

The Board of Directors approved the dossier for registration of the private placement of shares to be submitted to the State Securities Commission of Vietnam in accordance with Article 43 of Decree No. 155/2020/NĐ-CP guiding the implementation of the Law on Securities and Clause 20, Article 1 of Decree No. 245/2025/NĐ-CP amending and supplementing a number of provisions of Decree No. 155/2020/NĐ-CP.

The dossiers are as follows:

- Written registration of private placement of shares ;
- Enterprise Registration Certificate No. 0302910950, with the 10th amendment dated 1 December 2025 issued by the Department of Finance;
- License for Establishment and Operation No. 11/GPHĐKD dated 29 April 2003, and Adjusted License No. 119/GPĐC-UBCK dated 30 October 2025 issued by the State Securities Committee;
- The Company's Charter;
- Resolution and Minutes of the 2026 Annual General Meeting of Shareholders No. 01/2026/NQ-ĐHĐCĐ dated 23 April 2026 ("Resolution No. 01/2026/NQ-ĐHĐCĐ") approving the private placement plan for shares and the plan for the use of proceeds from the private placement;
- Proposal of the private placement plan for shares and the plan for the use of proceeds from the private placement;
- Resolution of the Board of Directors No. 36/2026/NQ-HĐQT dated 22 September 2026 approving the implementation of the private placement plan for shares approved by the 2026 Annual General Meeting of Shareholders under Resolution No. 01/2026/NQ-ĐHĐCĐ;
- The Bank's written confirmation of the opening of the escrow account to receive money to buy shares of the private placement;
- Document on the separation of voting ballots for the approval of the General Meeting of Shareholders' resolution by persons having related interests in the private placement of shares;



- Document on the separation of voting ballots for the approval of the Board of Directors' resolution by persons having related interests in the private placement of shares;
- Other documents as prescribed by law and/or at the request of competent state agencies (if any).

ARTICLE 2

The Board of Directors authorized and delegated to the Chairman of the Board of Directors and/or the Chief Executive Officer the authority to take all necessary actions and carry out all necessary procedures, and to approve, issue, amend, supplement or otherwise modify any documents and materials necessary for the implementation of the private placement plan in accordance with Section III, Article 15 of Resolution No. 01/2026/NQ-ĐHĐCĐ, as may be required by competent State authorities and as appropriate to the actual circumstances, provided that such actions are in compliance with applicable laws and regulations.

ARTICLE 3

This resolution takes effect from the signature date.

FOR AND ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN



JOHAN NYVENE

To:

- + BOD members,
- + BOM members,
- + BOD Office for archiving,

