

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 23/09/2026 tại đường dẫn <https://www.hsc.com.vn/cong-bo-thong-tin>
This information was published on the company's website on 23 September 2026, as in the link <https://www.hsc.com.vn/en/information-disclosure>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct; we bear the full responsible to the law.

Tài liệu đính kèm / *Attached documents*

- Công văn số 9392/UBCK-QLKD
Document No. 9392/UBCK-QLKD

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE

Người được ủy quyền công bố thông tin
Person authorized to disclose information



Lê Anh Quân

Giám đốc Điều hành Truyền thông
Chief Communications Officer





**MINISTRY OF FINANCE
STATE SECURITIES COMMISSION**

**SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness**

No. 9392/UBCK-QLKD

Hanoi, 22 September 2026

**Re: Report on results of share issuance under
the Employee Stock Ownership Plan of
Ho Chi Minh City Securities Corporation**

To: Ho Chi Minh City Securities Corporation

The State Securities Commission (“SSC”) received the Report on results of share issuance under the Employee Stock Ownership Plan (“ESOP”) of Ho Chi Minh City Securities Corporation (“the Company”) under Document No. 316/2026/CV-HSC dated 17 September 2026. The SSC has the following comments:

1. Organizations and individuals participating in the preparation of dossiers and report documents relating to securities activities and the securities market shall be responsible before the law for the legality, accuracy, truthfulness, and completeness of such dossiers and reports. Organizations and individuals that certify the dossiers and reports shall be legally responsible within the scope of matters related to such dossiers and reports in accordance with Clause 1, Article 11a of the Law on Securities, amended and supplemented by Law No. 56/2024/QH15.

2. According to the Report No. 316/2026/CV-HSC dated 17 September 2026 and the Board of Directors’ Resolution No. 34/2026/NQ-HĐQT dated 17 September 2026, the Company has distributed 22,000,000 shares.

3. The SSC requested the Company to coordinate with the Hochiminh Stock Exchange and the Vietnam Securities Depository and Clearing Corporation to carry out procedures for registration, depository and additional listing of shares as prescribed.

The SSC hereby informs the Company./.

Recipients:

- As above;
- SSC Chairman (for reporting);
- VNX, HOSE, VSDC;
- Archived: Administration, Securities Business Management Department (07 copies).

**FOR CHAIRPERSON
HEAD OF SECURITIES BUSSINESS
MANAGEMENT DEPARTMENT**

(Signed and stamped)

Ho Thi Hang