

Code	SMC
Company name	SMC Trading Investment Joint Stock Company
Date	04/17/2025
Subject	Handling violations for SMC shares

Content:

On April 16, 2025, the Hochiminh Stock Exchange issued Announcement to handle violations for SMC shares of SMC Trading Investment Joint Stock Company as follows:

Content:

1. The share of SMC put into warning status as of April 23, 2025 according to Decision No. 232/QĐ-SGDHCM dated April 16, 2025 by the Hochiminh Stock Exchange due to the listed organization delayed the submission of the 2024 audited financial statements by more than 15 days compared to the specified time. This is the case of securities being warned under Point g, Clause 1, Article 37 of the Rules of listing and trading of listed securities promulgated with Decision No.17/QĐ-HDTV dated 03/31/2022 by the Members' Council of the Vietnam Stock Exchange.
2. The share of SMC continues to put into warning status according to Decision No. 154/QĐ-SGDHCM dated April 03, 2024 by the Hochiminh Stock Exchange due to the undistributed after-tax profit in 2023 was negative number according to the audited consolidated financial statements in 2023. This is the case of securities being warned under Point b, Clause 1, Article 37 of the Rules of listing and trading of listed securities promulgated with Decision No.17/QĐ-HDTV dated 03/31/2022 by the Members' Council of the Vietnam Stock Exchange
3. The share of SMC continues to put into supervision status according to Decision No. 155/QĐ-SGDHCM dated April 03, 2024 by the Hochiminh Stock Exchange due to the parent company's shareholders after-tax profit was negative number according to the audited consolidated financial statements in 2023 and 2024. This is the case of securities being supervised under Point b, Clause 1, Article 38 of the Rules of listing and trading of listed securities promulgated with Decision No.17/QĐ-HDTV dated 03/31/2022 by the Members' Council of the Vietnam Stock Exchange.