

AGRIBANK SECURITIES CORPORATION

-----000-----

No: 121/2025/BC-CKNN

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, January 24th, 2025

REPORT ON CORPORATE GOVERNANCE

(Year 2024)

To: - The State Securities Commission;
- The Vietnam Stock Exchange;
- The Hochiminh Stock Exchange;
- National Financial Supervisory Commission.

- Name of company: Agribank Securities Corporation (Agriseco)
- Address of headoffice: 5th Floor – Green Diamond Building, 93 Lang Ha Street, Lang Ha Ward, Dong Da District, Ha Noi City, Viet Nam
- Telephone: 024 62 762 666 - Fax: 024 62 765 666
- Charter capital: VND 2,153,913,090,000
- Stock symbol: AGR
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and General Director
- The implementation of internal audit: Implemented

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders:

No.	Resolution No.	Date	Content
1	Resolution of the 2024 Annual General Meeting of Shareholders	22/04/2024	<ul style="list-style-type: none">- Approve the Reports including: Report on governance and performance of the Board of Directors and each member of the Board of Directors in 2023; Report on the activities of the Board of Supervisors in 2023; Audited financial statements of the Company in 2023 and Appraisal report of the Audited financial statements of 2023 of the Board of Supervisors;- Approve the 2023 Profit Distribution Plan;- Approve the 2024 Business Plan;

No.	Resolution No.	Date	Content
			<ul style="list-style-type: none"> - Approve the Board of Directors' remuneration and the salary, remuneration and operating budget of the Board of Supervisors for 2024; - Approve the list of auditing firms to audit the 2024 Financial Statements; - Approve the number and list of members of the Board of Directors of Agribank Securities Corporation for the 2024-2029 term; - Approve the number and list of members of the Board of Supervisors of Agribank Securities Corporation for the 2024-2029 term.

II. The Board of Directors

1. Information about the members of the Board of Directors (BOD)

In 2024, the Board of Directors (BOD) convened 08 in-person meetings and conducted 31 times of written consultations.

No.	Member of the Board of Directors	Position	The date becoming member of the Board of Directors	The date ceasing to be member of the Board of Directors
1	Mr. Phan Van Tuan	Chairman of the BOD	22/6/2019	-
2	Mr. Le Son Tung	Member of the BOD	28/4/2023	-
3	Mr. Mai Khac Chinh	Member of the BOD	22/6/2019	22/4/2024
4	Mr. Le Quang Su	Independent member of the BOD	22/6/2019	22/4/2024
5	Mrs. Pham Thi Hong Thu	Non-executive members of the BOD	22/6/2019	22/4/2024
6	Mr. Nguyen Duc Thuan	Member of the BOD	22/4/2024	-
7	Mrs. Nguyen Thi Hong Hanh	Non-executive member of the BOD	22/4/2024	-
8	Mr. Doan Ngoc Hoan	Independent member of the BOD	22/4/2024	-

2. Meetings of the Board of Directors:

No.	Member of the Board of Directors	Number of meetings attended by Board of Directors (in-person meeting)	Attendance rate (%)	Number of Feedback Responses (Collected via written consultation)	Percentage (%)	Reasons for absence
1	Mr. Phan Van Tuan	08	100	31	100	-
2	Mr. Le Son Tung	08	100	31	100	-

No.	Member of the Board of Directors	Number of meetings attended by Board of Directors (in-person meeting)	Attendance rate (%)	Number of Feedback Responses (Collected via written consultation)	Percentage (%)	Reasons for absence
3	Mr. Mai Khac Chinh	03	100	12	100	Not a member of the BOD from 22/4/2024
4	Mr. Le Quang Su	03	100	12	100	Not a member of the BOD from 22/4/2024
5	Mrs. Pham Thi Hong Thu	03	100	12	100	Not a member of the BOD from 22/4/2024
6	Mr. Nguyen Duc Thuan	05	100	19	100	Member of the BOD from 22/4/2024
7	Mrs. Nguyen Thi Hong Hanh	05	100	19	100	Member of the BOD from 22/4/2024
8	Mr. Doan Ngoc Hoan	05	100	19	100	Member of the BOD from 22/4/2024

3. Supervising General Directors by Board of Directors

- The Board of Directors fully supervised the Board of Management in implementing the Resolutions/Decisions of the General Meeting of Shareholders and the Board of Directors. The Board of Supervisors (BOS) supported the Board of Directors in supervising the Board of Management.
- The General Director implemented the information and reporting regime to the Board of Directors periodically and suddenly according to Decision No. 19/2016/QD-HDQT-VP dated 16/12/2016.

4. Activities of the Board of Directors' Subcommittees

- Agriseco did not establish a Subcommittee, the Board of Directors appoints an independent member of the Board of Directors to be in charge of salary and bonus issues.
- The Board of Directors established the Board of Directors Office and the Internal Audit and Risk Management Department to fully perform the tasks to support the Board of Directors' activities in accordance with the law and the Company's internal regulations.

5. Resolutions/Decisions of the Board of Directors

5.1 Resolutions of the Board of Directors

No.	Resolution No.	Date	Content	Approval Rate
1	396/NQ-HĐQT	15/01/2024	Resolution on the approval of the provision for the period of 31/12/2023	100%
2	397/NQ-HĐQT	16/01/2024	Resolution on the approval of the salary fund in 2023	100%
3	398/NQ-HĐQT	23/01/2024	Resolution on amending, supplementing and promulgating new Labor Regulations	100%
4	399/NQ-HĐQT	26/01/2024	Resolution on promulgating the Consulting Process for Corporate Bond Offering Documents	100%
5	400/NQ-HĐQT	26/01/2024	Resolution on amending, supplementing and promulgating new regulations on anti-money laundering	100%
6	401/NQ-HĐQT	01/02/2024	Resolution on the contents of the first Board of Directors meeting in 2024 - Proposal to the Board of Directors dated 25/1/2024 by the General Director on the temporary assignment of the 2024 Business and Financial Plan - Proposal to the Board of Directors dated 29/1/2024 by the General Director on the purchase and replacement of network equipment and servers in the information technology system at Agribank Securities Corporation - Proposal to the Board of Directors dated 31/1/2024 by the General Director on the request for approval of the 2023 Salary Loan Plan of the Branches	100%
7	402/NQ-HĐQT	20/02/2024	Resolution on Approving the plan to organize the 2024 Annual General Meeting of Shareholders (AGM) and Approving the finalization of the list of shareholders entitled to attend the 2024 Annual General Meeting of Shareholders	100%
8	403/NQ-HĐQT	01/03/2024	Resolution on updating the Charter according to the new Head Office address	100%
9	404/NQ-HĐQT	01/03/2024	Resolution on the contents of the second Board of Directors meeting in 2024 - Draft Report on the assessment of the 2023 performance results of the Board of Directors and each member of the Board of Directors; - Draft 2023 Operational Report of the Board of Supervisors; - Report on 2023 performance evaluation of the Board of Management, members of the Board of Management, and Branch Directors; - Report summarizing activities in 2023, directions and tasks in 2024; - Proposal to the Board of Directors dated 29/1/2024 by the General Director on purchasing new and replacing network equipment and servers; - Proposal to the Board of Directors dated 28/2/2024 by the General Director on the plan for investment, purchase of fixed assets and upgrading of fixed assets in 2024;	100%
10	405/NQ-HĐQT	05/03/2024	Resolution on promulgating regulations on customer development cooperation with partners	100%
11	406/NQ-HĐQT	02/04/2024	Resolution on policy and personnel expected to appoint the position of Chief Accountant	100%
12	407/NQ-HĐQT	09/04/2024	Resolution on the contents of the third Board of Directors meeting in 2024 - The Board of Directors approved the voting contents at the 2024 Annual General Meeting of Shareholders; - The Board of Directors approved the Labor Plan, Salary Fund, and remuneration of managers and employees in 2024	100%
13	408/NQ-HĐQT	11/04/2024	Resolution on Manager's Reward	100%

No.	Resolution No.	Date	Content	Approval Rate
14	409/NQ-HĐQT	17/04/2024	Resolution on reappointment of Deputy General Director of the Company	100%
15	410/NQ-HĐQT	17/04/2024	Resolution on appointing personnel to hold the position of Chief Accountant of the Company	100%
16	411/NQ-HĐQT	19/04/2024	Resolution on approving the number of members of the Board of Directors and Supervisory Board for the 2024-2029 term and the list of candidates for members of the Board of Directors and Supervisory Board for the 2024-2029 term to be submitted at the 2024 Annual General Meeting of Shareholders	100%
17	412/NQ-HĐQT	22/04/2024	Resolution on election of Chairman of the Board of Directors for the 2024 - 2029 term	100%
18	413/NQ-HĐQT	22/04/2024	Resolution on implementing the Resolution of the 2024 Annual General Meeting of Shareholders	100%
19	414/NQ-HĐQT	22/04/2024	Resolution on IT Security System Warranty	100%
20	415/NQ-HĐQT	02/05/2024	Resolution on exemption of interest arising from late execution of judgment against Mr. Ta Kim Hung	100%
21	416/NQ-HĐQT	31/05/2024	Resolution on reappointment of personnel holding the position of Director of Central Branch	100%
22	417/NQ-HĐQT	31/05/2024	Resolution on Approval of the policy of signing a consulting contract to implement the sale of treasury stocks between Agriseco and Abic	100%
23	418/NQ-HĐQT	07/06/2024	Resolution on signing the Contract for issuance consultancy, listing consultancy, issuance agent, registration agent, depository and Contract for providing management services for non-depository bondholders of bonds issued by the Agribank to the public in 2024 between Agribank and Agriseco	100%
24	419/NQ-HĐQT	11/06/2024	Resolution on approving the selection of an independent auditing unit to review/audit the semi-annual/annual financial statements and financial safety ratio reports for 2024	100%
25	420/NQ-HĐQT	12/06/2024	Resolution on Approval of the policy of closing the Northern Branch and Nguyen Van Troi Transaction Office - Southern Branch	100%
26	421/NQ-HĐQT	20/06/2024	Resolution on funding support for the construction of an experiential playground and teaching equipment for Thanh Linh Kindergarten, Thanh Chuong District, Nghe An Province	100%
27	422/NQ-HĐQT	28/06/2024	Resolution on the contents of the sixth Board of Directors meeting in 2024 - Proposal to the Board of Directors dated 20/6/2024 by the General Director on the improvement of the company's organizational model and the plan for arranging, assigning and appointing personnel according to the new organizational model; - Proposal to the Board of Directors dated 25/6/2024 by the General Director on the completion of the positions of Head of Personnel Organizing and General Administration Department, Chief of the Board of Directors' Office, Person in charge of corporate governance and Company Secretary; - Proposal to the Board of Directors dated 25/6/2024 of the General Director on promulgating Regulations on salary policy and income for investment consulting staff; - Proposal to the Board of Directors dated 21/06/2024 of the General Director on approving the provision level for the Reward Fund and Employee Welfare Fund in 2023; - Proposal to the Board of Directors dated 25/06/2024 by the General Director on approving the marketing and communication plan in the form of renting LED screen advertising at the airport in 2024.	100%
28	423/NQ-HĐQT	12/07/2024	Resolution on the approval of the provision for the period of 30/06/2024	100%

No.	Resolution No.	Date	Content	Approval Rate
29	424/NQ-HĐQT	17/07/2024	Resolution on promulgating Regulations on the purchase and sale of Government Bonds, Government-guaranteed Bonds, and Local Government Bonds	100%
30	425/NQ-HĐQT	19/07/2024	Resolution on approving the value of a basic salary coefficient in 2024	100%
31	426/NQ-HĐQT	08/01/2024	Resolution on reappointment of personnel to hold the position of Deputy General Director – Mrs. Nguyen Ngoc Lan	100%
32	427/NQ-HĐQT	29/08/2024	Resolution on purchasing new copyrighted anti-virus software, office software and computer operating systems	100%
33	428/NQ-HĐQT	17/09/2024	Resolution on implementing dividend payment in 2023	100%
34	429/NQ-HĐQT	08/10/2024	Resolution on amending, supplementing and promulgating new Regulations on management and use of Welfare Fund at Agribank Securities JSC	100%
35	430/NQ-HĐQT	08/10/2024	Resolution on maintenance and warranty of securities management and trading system	100%
36	431/NQ-HĐQT	22/10/2024	Resolution on amending and supplementing the Regulations on management and use of the Reward Fund at Agribank Securities JSC	100%
37	432/NQ-HĐQT	30/10/2024	Resolution on buying gifts on the occasion of New Year 2025	100%
38	433/NQ-HĐQT	29/11/2024	Resolution on rewarding managers	100%
39	434/NQ-HĐQT	03/12/2024	Resolution on amending, supplementing and promulgating the Grassroots Democracy Regulations at the workplace of Agribank Securities JSC	100%
40	435/NQ-HĐQT	16/12/2024	Resolution on the abolition of Decision No. 156/QĐ-HĐQT dated October 8, 2020 of the Board of Directors on promulgating the Regulation on financial allocation in Agribank Securities Company	100%
41	436/NQ-HĐQT	17/12/2024	Resolution on promulgating regulations on securities brokerage business	100%

5.2 Decision of the Board of Directors

No.	Decision No.	Date	Content
1	233/QĐ-CT.HĐQT	15/01/2024	Decision on reappointing Ms. Doan Thi Ngoc Lan to the position of Deputy Director of Central Branch
2	234/QĐ-HĐQT	23/01/2024	Decision on promulgating Labor Regulations at Agriseco
3	235/QĐ-HĐQT	26/01/2024	Decision on promulgating Agriseco's Anti-Money Laundering Regulations
4	236/QĐ-HĐQT	26/01/2024	Decision on promulgating the process of Consulting on Corporate Bond Offering Documents
5	237/QĐ-CT.HĐQT	29/02/2024	Decision on the establishment of the Organizing Committee of the 2024 Annual General Meeting of Shareholders
6	238/QĐ-HĐQT	05/03/2024	Decision on Issuing Regulations on Customer Development Cooperation with Partners at Agriseco
7	239/QĐ-CT.HĐQT	27/03/2024	Decision on the appointment of personnel to the position of Deputy Head of the Analysis - Research Department (Mr. Nguyen Thai Quoc)
8	240/QĐ-CT.HĐQT	28/03/2024	The decision on salary grade change for management employees is under the authority of the Chairman of the Board of Directors (Mr. Pham Viet Hung)
9	241/QĐ-CT.HĐQT	22/04/2024	Decision on appointing Mr. Khong Van Tuyen to the position of Head of Corporate Financial Advisory
10	242/QĐ-HĐQT	22/04/2024	Decision on appointment of Chief Accountant (Ms. Tang Thi Trinh)
11	243/QĐ-HĐQT	22/04/2024	Decision on reappointment to the position of Deputy General Director (Mr. Bui Duc Thang)

No.	Decision No.	Date	Content
12	244/QĐ-HĐQT	22/04/2024	Decision on election of Chairman of the Board of Directors for the 2024-2029 term
13	245/QĐ-CT.HĐQT	23/04/2024	Decision on assignment of tasks to Board of Directors members
14	246/QĐ-CT.HĐQT	10/05/2024	Decision on the employee transfer (Mr. Dinh Ngoc Dat)
15	247/QĐ-HĐQT	31/05/2024	Decision on reappointment of Director of Central Branch (Mr. Nguyen Trung Kien)
16	248/QĐ-HĐQT	12/06/2024	Decision on closing Northern Branch
17	249/QĐ-HĐQT	12/06/2024	Decision on closing Nguyen Van Troi Transaction Office
18	250/QĐ-HĐQT	28/06/2024	Decision on dissolution of the Brokerage and Investment Advisory Department under Agriseco Headquarters
19	251/QĐ-HĐQT	28/06/2024	Decision on the establishment of Institutional Client Investment Advisory Department under the Headquarters
20	252/QĐ-HĐQT	28/06/2024	Decision on organization and operation of the Institutional Client Investment Advisory Department under Agriseco Headquarters
21	253/QĐ-HĐQT	28/06/2024	Decision on the establishment of Individual Customer Investment Advisory Department No.1 under the Headquarters
22	254/QĐ-HĐQT	28/06/2024	Decision on the establishment of Individual Customer Investment Advisory Department No.2 under the Headquarters
23	255/QĐ-HĐQT	28/06/2024	Decision on organization and operation of the Individual Client Investment Advisory Department under the Headquarters
24	256/QĐ-HĐQT	28/06/2024	Decision to rename the Administrative and Human Resources Department to the Personnel Organizing and General Administration Department and General Administration Department at the Headquarters
25	257/QĐ-HĐQT	28/06/2024	Decision on organization and operation of the Personnel Organizing and General Administration Department
26	258/QĐ-HĐQT	28/06/2024	Decision on organization and operation of the Internal Control and Risk Management Department
27	259/QĐ-HĐQT	28/06/2024	Decision on organization and operation of the Proprietary Trading and Underwriting Department
28	260/QĐ-HĐQT	28/06/2024	Decision on organization and operation of the Financial Accounting Department
29	261/QĐ-CT.HĐQT	28/06/2024	Decision on transferring Mrs. Dang Thi Thao to become a member of the Internal Audit and Risk Management Department
30	262/QĐ-HĐQT	28/06/2024	Decision on appointing personnel to the position of Head of Personnel Organizing and General Administration Department (Mr. Thai Anh Son)
31	263/QĐ-HĐQT	28/06/2024	Decision on appointing personnel to hold the position of Chief of Office of the Board of Directors (Mr. Pham Viet Hung)
32	264/QĐ-HĐQT	28/06/2024	Decision on appointing personnel to the position of Company Secretary (Mr. Dinh Ngoc Dat)
33	265/QĐ-CT.HĐQT	28/06/2024	Decision to assign the task of managing the operations of Institutional Client Investment Advisory Department (Mrs. Doan Thi Vy Anh)
34	266/QĐ-CT.HĐQT	28/06/2024	Decision to assign the task of managing the operations of the Individual Customer Investment Advisory Department No.1 (Mr. Phan Manh Cuong)
35	267/QĐ-CT.HĐQT	28/06/2024	Decision to assign the task of managing the operations of the Individual Customer Investment Advisory Department No.2 (Mrs. Tran Thi Hue)
36	268/QĐ-HĐQT	28/06/2024	Decision on renaming the Brokerage-Service Department under Branches to the Investment Advisory Department

No.	Decision No.	Date	Content
37	269/QĐ-HĐQT	28/06/2024	Decision on promulgating the Regulation on organization and operation of Branches and Transaction Offices under Agribank Securities Corporation
38	269A/QĐ-HĐQT	01/07/2024	Decision on promulgating regulations on salary and income policies for investment consulting staff
39	270/QĐ-HĐQT	17/07/2024	Decision on promulgating Regulations on the Trading of Government Bonds, Government-Guaranteed Bonds, and Local Government Bonds
40	271/QĐ-HĐQT	01/08/2024	Decision on reappointment to the position of Deputy General Director of the Company (Ms. Nguyen Ngoc Lan)
41	272/QĐ-HĐQT	08/10/2024	Decision on promulgating the Regulations on management and use of Welfare Fund
42	273/QĐ-HĐQT	22/10/2024	Decision on promulgating the Regulation on management and use of the Reward Fund
43	274/QĐ-CT.HĐQT	28/10/2024	Decision on reappointment to the position of Deputy Director of Southern Branch (Ms. Ho My The)
44	275/QĐ-HĐQT	03/12/2024	Decision on promulgating the Grassroots Democracy Regulations at the workplace of Agribank Securities JSC
45	276/QĐ-HĐQT	17/12/2024	Decision on promulgating regulations on securities brokerage business
46	277/QĐ-CT.HĐQT	31/12/2024	Decision on dismissal of Mr. Nguyen Ngoc Tuan from the position of Head of TD&BLPH Department
47	278/QĐ-CT.HĐQT	31/12/2024	Decision on assigning the task of being in charge of the Department of Physical Training and Physical Education to Mr. Hoang Toan Quan

III. Board of Supervisors (BOS)

1. Information about members of the Board of Supervisors


No.	Members of the Board of Supervisors	Position	The date becoming member of the Board of Supervisors	The date ceasing to be member of the Board of Supervisors	Qualification
1	Mrs. Le Huong Giang	Head of the Board of Supervisors	22/06/2019	-	Master of Economics
2	Mrs. Nguyen Thi Hong Nhung	Member of the BOS	20/11/2014	22/04/2024	Bachelor of Economics
3	Mrs. Vu Thi Hanh	Member of the BOS	11/06/2018	22/04/2024	Master of Economics
4	Mrs. Nguyen Thi Hong Duong	Member of the BOS	22/04/2024		Master
5	Mr. Do Duc Tu	Member of the BOS	22/04/2024		Bachelor of Economics

2. Meetings of the Board of Supervisors

No.	Member of the Board of Supervisors	Number of meetings attended by the Board of Supervisors (in-person meeting)	Attendance rate (%)	Voting rate (%)	Number of times to obtain written consultation	Response rate (%)	Voting rate (%)	Reasons for absence
1	Mrs. Le Huong Giang	19/19	100	100	0			
2	Mrs. Nguyen Thi Hong Nhung	06/19	100	100	0			Not a member of the Board of Supervisors from 22/4/2024
3	Mrs. Vu Thi Hanh	06/19	100	100	0			Not a member of the Board of Supervisors from 22/4/2024
4	Mrs. Nguyen Thi Hong Duong	13/19	100	100	0			Member of the Board of Supervisors from 22/4/2024
5	Mr. Do Duc Tu	13/19	100	100	0			Member of the Board of Supervisors from 22/4/2024

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

The BOS fully performed its supervisory role as stipulated in the Company Charter, including:

- Monitoring operations and financial performance: Monitoring of governance and management functions, risk management and evaluating the internal control system, as well as ensuring compliance with laws, legal regulations, and the regulations of the State Securities Commission.
- Report on the activities of the Board of Supervisors in 2023 presented to the General Meeting of Shareholders.
- + Monitoring business operations and financial status
- + Monitoring the performance of the Board of Directors
- + Monitoring the performance of the Board of Management
- Audit of 2023 Financial Report; Audit of 2024 Semi-annual Financial Report. 

4. The coordination among the Board of Supervisors, the Board of Directors, the Board of Management and other managers:

- To resolve issues requiring the Board of Directors' opinion, the General Director had a proposal and the Chairman of the Board of Directors organized a direct meeting/ased for the Board of Directors' opinion in writing. The organization of the meeting/asking for opinion was carried out in accordance with regulations.
- Meeting documents, dossiers requesting opinions from the BOD, and Resolutions/Decisions issued by the BOD all be sent to the BOS in the same format, content as for members of the BOD.
- The assessment reports and compliance monitoring reports of the Supervisory Board were sent to the Board of Directors and the General Director.
- The BOS coordinated with the Board of Directors to supervise the General Director in implementing the Resolutions of the General Meeting of Shareholders, the Resolutions and Decisions of the Board of Directors, and evaluates the implementation progress.
- Upon request of the BOS, the General Director provided information for the Supervisory Board to perform its duties.
- The Board of Directors and the General Director coordinated with the Board of Supervisors to implement the 2023 operation plan and conduct an appraisal of the 2023 financial reports and 2024 Semi-annual Financial Report.
- The Board of Supervisors was invited and fully attended all meetings of the Board of Directors according to the provisions of the Charter and internal regulations of the Company.

5. Other activities of the Board of Supervisors (if any):

- Submit to the General Meeting of Shareholders for approving the List of independent auditing organization.
- Inspect the Head Office and 03 branches at the request of major shareholder Agribank (2 times in 2024).

IV. Board of Management

No.	Members of Board of Management	Position	Date of birth	Qualification	Date of appointment /dismissal of members of the Board of Management
1	Mr. Le Son Tung	The General Director	11/03/1983	Telecommunications Engineer	01/03/2023
2	Mr. Bui Duc Thang	Deputy General Director	20/12/1973	Bachelor of Mathematical Economics	28/08/2020
3	Mrs. Nguyen Ngoc Lan	Deputy General Director	18/02/1979	Bachelor of Laws	30/08/2019

V. Chief Accountant

Full name	Date of birth	Professional qualifications	Date of appointment/dismissal
Mrs. Tang Thi Trinh	26/08/1987	Master of Economics	22/04/2024

VI. Training courses on corporate governance

Members of the Board of Directors, members of the Board of Supervisors, members of the Board of Board of Management, other managers completed the Corporate Governance course which was organized by the Center for Scientific Research and Securities Training - State Securities Commission.

VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company

1. The list of affiliated persons of the Company

No	Name of Organization /Individual	Securities trading account	Position at the company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
1	Vietnam Bank for Agriculture and Rural Development			0100686174	2/12/2022	Hanoi Department of Planning and Investment	No. 2 Lang Ha Street, Thanh Cong Ward, Ba Dinh District, Ha Noi City				Parent Bank Controlling Shareholder
2	Phan Van Tuan		Chairman of the BOD								Internal person
3	Le Son Tung		Member of the BOD, General Director								Internal person
4	Mai Khac Chinh		Member of the BOD						22/4/2024	Dismissal	Internal person

No	Name of Organization /Individual	Securities trading account	Position at the company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
5	Le Quang Su		Member of the BOD						22/4/2024	Dismissal	Internal person
6	Pham Thi Hong Thu		Member of the BOD						22/4/2024	Dismissal	Internal person
7	Nguyen Duc Thuan		Member of the BOD					22/4/2024		Appointment	Internal person
8	Nguyen Thi Hong Hanh		Member of the BOD					22/4/2024		Appointment	Internal person
9	Doan Ngoc Hoan		Member of the BOD					22/4/2024		Appointment	Internal person
10	Le Huong Giang		Head of the BOS								Internal person

No	Name of Organization /Individual	Securities trading account	Position at the company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
11	Nguyen Thi Hong Nhung		Member of the BOS						22/4/2024	Dismissal	Internal person
12	Vu Thi Hanh		Member of the BOS						22/4/2024	Dismissal	Internal person
13	Nguyen Thi Hong Duong		Member of the BOS					22/4/2024		Appointment	Internal person
14	Do Duc Tu		Member of the BOS					22/4/2024		Appointment	Internal person
15	Bui Duc Thang		Deputy General Director								Internal person
16	Nguyen Ngoc Lan		Deputy General Director								Internal person
17	Tang Thi Trinh		Chief Accountant								Internal person

No	Name of Organization /Individual	Securities trading account	Position at the company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
18	Tran Thi Hue		Northern Branch Director								Internal person
19	Nguyen Trung Kien		Central Branch Director								Internal person
20	Nguyen Hoang Tung		Southern Branch Director								Internal person
21	Thai Anh Son		Company Secretary, Authorized Person to Disclose Information								Internal person
22	Le Thi Huong Mai		Head of Internal Audit and Risk Management Department								Internal person
23	Dang Thi Thao		Member of the Board of Internal Audit and Risk Management Department					1/7/2024		Personnel mobilization	Internal person
24	Pham Viet Hung		Chief of Office of the Board of Directors					1/7/2024		Personnel mobilization	Internal person
25	Dinh Ngoc Dat		Company Secretary, Authorized Person to					1/7/2024		Personnel appointment	Internal person

No	Name of Organization /Individual	Securities trading account	Position at the company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
			Disclose Information								

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons

No.	Name of Organization/ Individual	Relationship with the Company	ID card number/ Business Registration Certificate No.	Date of issue	Place of issue	Head office address/ Contact address	Time of transaction with the Company	Resolution No./ Decision No. approved by General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction	Note
1	Vietnam Bank for Agriculture and Rural Development Insurance Joint Stock Company	Under the control of the parent bank - Agribank	38GP/KDBH	30/12/2022	Ministry of Finance	6th Floor, Building CC 29T1 Hoang Dao Thuy Street, Trung Hoa Ward, Cau Giay District, Ha Noi City	Dated 31/5/2024	Resolution 417/NQ-HDQT dated 31/5/2024	Consulting contract for the sale of treasury shares between Agriseco and Abic	
2	Vietnam Bank for Agriculture and Rural Development	Controlling shareholder	0100686174	11/01/2024	Department of Planning and Investment	No. 2 Lang Ha Street, Thanh Cong Ward, Ba Dinh District, Ha Noi City	Dated 7/6/2024	Resolution 418/NQ-HDQT dated 7/6/2024	The contracts for issuance advisory, listing advisory, issuance agency, registration agency, depository services, and bondholder management services for non-deposited bonds issued by the Vietnam Bank for Agriculture and Rural Development (Agribank) to the public in 2023 between Agribank and Agriseco, with an expected issuance value of 10,000 billion VND	

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None

4. Transactions between the company and other objects

4.1 Transactions between the Company and the company that its members of Board of Directors, the Board of Supervisors, General Director have been founding members or members of Board of Directors, or the General Director in three (03) latest years: None

4.2 Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, General Director and other managers as a member of Board of Directors, General Director: None

4.3 Other transactions of the Company (if any) may bring material or non-material benefits for members of Board of Directors, members of the Board of Supervisors, General Director and other managers: None

VIII. Share transactions of internal persons and their affiliated persons

1. Transactions of internal persons and affiliated persons at the end of the period

a. Internal person dismissed during the year (As of 22/04/2024 and 01/07/2024)

No	Name of organization/ individual	Securities trading account	Position in the company	ID card number/ Passport number	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Mai Khac Chinh		Member of the BOD					0	0.00%	
1.1	Mai Thi Mien							0	0.00%	Mother
1.2	Mai Trung Hieu							0	0.00%	Older brother
1.3	Mai Duy Tung							0	0.00%	Younger brother
1.4	Mai Thi Phuc							0	0.00%	Younger sister

No	Name of organization/ individual	Securities trading account	Position in the company	ID card number/ Passport number	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
						Management of Social Order				
1.5	Mai Thi Huong							0	0.00%	Younger sister
1.6	Nguyen Thi Dieu Linh							0	0.00%	Wife
1.7	Mai Thi Hang							0	0.00%	Child
1.8	Mai Linh							0	0.00%	Child
1.9	Mai Thi Ngan Anh							0	0.00%	Child
1.10	Nguyen Thi Kim Xuyen							0	0.00%	Mother in law
1.11	Nguyen Viet Nang							0	0.00%	Younger brother in law
1.12	Nguyen Luong Son							0	0.00%	Younger brother in law

No	Name of organization/ individual	Securities trading account	Position in the company	ID card number/ Passport number	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.13	Tran Thi Thanh							0	0.00%	Older sister in law
1.14	Nguyen Thi Phuong							0	0.00%	Younger sister in law
1.15	Nguyen Van Tinh							0	0.00%	Son in law
2	Le Quang Su		Member of the BOD					0	0.00%	
2.01	Le Sam							0	0.00%	Father
2.02	Nguyen Thi Khoa							0	0.00%	Mother
2.03	Le Bang Tam							0	0.00%	Older sister
2.04	Nguyen Thi Hong Loan							0	0.00%	Wife
2.05	Le Thu Ngan							0	0.00%	Child
2.06	Le Kim Chau							0	0.00%	Child

No	Name of organization/ individual	Securities trading account	Position in the company	ID card number/ Passport number	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.07	Nguyen Tien Bao							0	0.00%	Father in law
2.08	Vu Thi Bich Hong							0	0.00%	Mother in law
2.09	Nguyen Tien Quang							0	0.00%	Old brother in law
3	Pham Thi Hong Thu		Member of the BOD					1.067	0.00%	
3.1	Pham Thi Sam							0	0.00%	Mother
3.2	Pham Thi Binh							0	0.00%	Older sister
3.3	Pham Thi Thuy								0.00%	Younger sister
3.4	Ngo Van Viet							0	0.00%	Husband
3.5	Ngo Vuong							0	0.00%	Child

No	Name of organization/ individual	Securities trading account	Position in the company	ID card number/ Passport number	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.6	Ngo Thi Mai Phuong							0	0.00%	Child
4	Mrs. Nguyen Thi Hong Nhung		Member of the BOS					0	0.00%	
4.01	Nguyen Dinh An							0	0.00%	Father
4.02	Pham Thi Sam							0	0.00%	Mother
4.03	Nguyen Duc Toan							0	0.00%	Younger brother
4.04	Nguyen Manh Thang							0	0.00%	Younger brother
4.05	Phi Hong Thinh							0	0.00%	Husband
4.06	Phi Hong Son							0	0.00%	Child
4.07	Phi Hong Ha							0	0.00%	Child

No	Name of organization/ individual	Securities trading account	Position in the company	ID card number/ Passport number	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.08	Nguyen Thi Huong Nhai							0	0.00%	Younger sister in law
4.09	Pham Thi Huong							0	0.00%	Younger sister in law
5	Vu Thi Hanh		Member of the BOS					0	0.00%	
5.1	Vu Mao							0	0.00%	Father
5.2	Phan Thi Kim Dung							0	0.00%	Mother
5.3	Do Tuan Anh							0	0.00%	Husband
5.4	Do Vu Hanh Chau							0	0.00%	Child
5.5	Vu Phuong Mai							0	0.00%	Older sister
5.6	Vu Quoc Bao							0	0.00%	Younger brother
5.7	Le Thi Thuy Duong							0	0.00%	Younger sister in law

No	Name of organization/ individual	Securities trading account	Position in the company	ID card number/ Passport number	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.8	Tran Viet Ha									Older brother in law
6	Thai Anh Son		Chief of Office of the Board of Directors, Company Secretary					0	0.00%	
6.1	Dao Thi Phuong Hoa							0	0.00%	Mother
6.2	Tran Thi Thu Huyen							0	0.00%	Wife
6.3	Thai Minh Quan							0	0.00%	Child
6.4	Thai Minh Khoi							0	0.00%	Child
6.5	Thai Thu Thuy							0	0.00%	Younger sister
6.6	Le Minh Quang							0	0.00%	Younger brother in law
6.7	Dao Thi Loan							0	0.00%	Mother in law

No	Name of organization/ individual	Securities trading account	Position in the company	ID card number/ Passport number	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.8	Tran Quang Hung							0	0.00%	Father in law

b. Internal person as of 31/12/2024

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Phan Van Tuan		Chairman of the Board of Directors					0	0.00%	
1.1	Phan Van Thong							0	0.00%	Dad
1.2	Nguyen Thi Nghia							0	0.00%	Mom
1.3	Phan Thi Huyen							0	0.00%	Younger Sister
1.4	Phan Thi Duyen							0	0.00%	Younger Sister
1.5	Dang Cam Hanh							0	0.00%	Wife

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.6	Phan Khoi Nguyen							0	0.00%	Child
1.7	Phan Gia Khang							0	0.00%	Child
1.8	Dang Anh							0	0.00%	Father in law
1.9	Le Thi Hoai Nam							0	0.00%	Mother in law
1.10	Ho Xuan Hoa							0	0.00%	Younger brother in law
1.11	Nguyen Tuan Dung							0	0.00%	Younger brother in law
2	Le Son Tung		Member of the BOD cum General Director					0	0.00%	
2.1	Le Hong Bon							0	0.00%	Father
2.2	Phi Ngoc Bao							0	0.00%	Mother

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.3	Le Thu Thuy							0	0.00%	Older sister
2.4	Phung Thi Huong Giang							0	0.00%	Wife
2.5	Le Nam Hung							0	0.00%	Child
2.6	Le Huy Kien							0	0.00%	Child
2.7	Phung Van Quyen							0	0.00%	Father in law
2.8	Truong Thi Mai Xuan							0	0.00%	Mother in law
2.9	To Thanh Tuan		Deputy Head of Individual Customer Investment Advisory Department No. 2					0	0.00%	Brother in law

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3	Nguyen Duc Thuan		Member of the BOD					0	0.00%	
3.1	Nguyen Quang Buu							0	0.00%	Father
3.2	Nguyen Thi Dan							0	0.00%	Mother
3.3	Nguyen Thi Thuy Hang							0	0.00%	Older sister
3.4	Vu Van Trong							0	0.00%	Brother in law
3.5	Nguyen Thi Thuy Hoan							0	0.00%	Older sister
3.6	Tran Duc Nam							0	0.00%	Brother in law
3.7	Pham Minh Thuan							0	0.00%	Wife
3.8	Pham Quang Hai							0	0.00%	Father in law
3.9	Dao Thi Bac							0	0.00%	Mother in law
3.10	Nguyen Gia Bao							0	0.00%	Child
3.11	Nguyen Ngoc Gia Nhi							0	0.00%	Child

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4	Nguyen Thi Hong Hanh		Member of the BOD					0	0.00%	
4.1	Mr. Nguyen Van Tham							0	0.00%	Father
4.2	Mrs. Nguyen Thi Son							0	0.00%	Mother
4.3	Mrs. Nguyen Thi Van							0	0.00%	Mother in law
4.4	Mr. Nguyen Xuan Anh							0	0.00%	Husband
4.5	Mr. Nguyen Xuan Thanh							0	0.00%	Child
4.6	Mss. Nguyen Phuong Anh							0	0.00%	Child
4.7	Mr. Nguyen Van Hung							0	0.00%	Brother
5	Doan Ngoc Hoan		Member of the BOD					0	0.00%	

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.1	Doan Ngoc Cat							0	0.00%	Father
5.2	Vu Thi Ly							0	0.00%	Mother
5.3	Nguyen Thi Dinh							0	0.00%	Wife
5.4	Doan Ngoc Quynh Anh							0	0.00%	Daughter
5.5	Doan Ngoc Hai							0	0.00%	Older brother
5.6	Doan Thi Huong							0	0.00%	Younger sister
5.7	Doan Vu Hiep							0	0.00%	Younger brother
5.8	Dao Thi Xuan							0	0.00%	Sister in law

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.9	Ha Thi Tho							0	0.00%	Sister in law
5.10	Hoang Duc Hien							0	0.00%	Brother in law
5.11	Viet An Medical Investment Joint Stock Company							0	0.00%	Related organizations
6	Bui Duc Thang		Deputy General Director					11	0.00%	
6.1	Nguyen Thi Bai							0	0.00%	Mother
6.2	Bui Thi Phuong Thao							0	0.00%	Younger sister
6.3	Tran Thi Mai							0	0.00%	Wife
6.4	Bui Minh Duc							0	0.00%	Child
6.5	Bui Trang Linh							0	0.00%	Child

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7	Nguyen Ngoc Lan		Deputy General Director					0	0.00%	
7.1	Nguyen Dinh Tau							0	0.00%	Father
7.2	Cao Thi Xanh							0	0.00%	Mother
7.3	Mac Dinh Khuyen							0	0.00%	Husband
7.4	Mac Dinh Minh Bao							0	0.00%	Child
7.5	Mac Thanh Phuc							0	0.00%	Child
7.6	Vu Thi Nu							0	0.00%	Mother in law
8	Le Huong Giang		Head of the BOS					0	0.00%	
8.1	Le Duy Ky							0	0.00%	Father

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8.2	Ly Thi Bich							0	0.00%	Mother
8.3	Trinh Duc Anh							0	0.00%	Child
8.4	Trinh Duc Minh							0	0.00%	Child
8.5	Nguyen Tu Linh							0	0.00%	Child
8.6	Le Huong Lan							0	0.00%	Younger sister
8.7	Le Bich Thao							10	0.00%	Younger sister
8.8	Le Binh Phuong							0	0.00%	Brother in law
9	Do Duc Tu		Member of the BOS					0	0,00%	
9.01	Nguyen Thi Dieu Than							0	0,00%	Mother

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.02	Pham Van Sao							0	0,00%	Father in law
9.03	Nguyen Thi Cap							0	0,00%	Mother in law
9.04	Pham Thi Theu							0	0,00%	Wife
9.05	Do Thanh Tung							0	0,00%	Child
9.06	Do Thanh Binh							0	0,00%	Child
9.07	Do Thi Minh Nguyet							0	0,00%	Older sister
9.08	Do Duy Hien							0	0,00%	Younger brother
9.09	Nguyen Pham Viet							0	0,00%	Older brother in law
9.10	Le Thu Huong							0	0,00%	Younger sister in law

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10	Nguyen Thi Hong Duong		Member of the BOS					0	0.00%	
10.1	Nguyen Manh Dung							0	0.00%	Father
10.2	Nguyen Thi Phuong							0	0.00%	Mother
10.3	Nguyen Van Tuc							0	0.00%	Father in law
10.4	Bui Thi Tuyen							0	0.00%	Mother in law
10.5	Nguyen Duc Vinh							0	0.00%	Husband
10.6	Nguyen Duc An							0	0.00%	Child
10.7	Nguyen Duc Danh							0	0.00%	Child
10.8	Nguyen Thi Phuong Dung							0	0.00%	Younger sister

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.9	Tran Xuan Hoang							0	0.00%	Younger brother in law
10.10	Agribank Banking Services Company Limited							0	0.00%	Related organizat ion
11	Tang Thi Trinh		Chief Accountant					0	0.00%	
11.1	Nguyen Van Son							0	0.00%	Husband
11.2	Nguyen Nhat Nam							0	0.00%	Child
11.3	Nguyen Thi Que							0	0.00%	Mother in law
11.4	Nguyen Thi Toi							0	0.00%	Mother
11.5	Tang Thi Thuy Linh							0	0.00%	Older sister
11.6	Duong Hong Khanh							0	0.00%	Older brother in law

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12	Tran Thi Hue		Northern Branch Director					0	0.00%	
12.1	Vu Thi Huyen							0	0.00%	Mother
12.2	Pham Van Thuan							0	0.00%	Father in law
12.3	Dang Thi Tra							0	0.00%	Mother in law
12.4	Pham Viet Hung							0	0.00%	Husband
12.5	Pham Ha An							0	0.00%	Child
12.6	Pham Huy Khoi							0	0.00%	Child
12.7	Tran Huu Thoat							0	0.00%	Older brother
12.8	Tran Thi Luyen							0	0.00%	Older sister

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12.9	Tran Thi Cham							0	0.00%	Older sister
12.10	Tran Thi Lan Anh							0	0.00%	Younger sister
12.11	Tran Hai Anh							0	0.00%	Younger brother in law
12.12	Nguyen Van Thanh							0	0.00%	Older brother in law
12.13	Nguyen Thi Van							0	0.00%	Older sister in law
13	Nguyen Trung Kien		Central Branch Director					0	0.00%	
13.1	Nguyen Huu Quang							0	0.00%	Father
13.2	Nguyen Thi Loan							0	0.00%	Mother
13.3	Le Minh Ke							0	0.00%	Father in law

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
13.4	Nguyen Thi Van							0	0.00%	Mother in law
13.5	Le Thi Mai							0	0.00%	Wife
13.6	Nguyen Mai Phuong							0	0.00%	Child ₈
13.7	Nguyen Ha Phuong							0	0.00%	Child ₉
13.8	Nguyen Le Nam Phuong							0	0.00%	Child ₁₀
13.9	Nguyen Thu Huyen Trang							0	0.00%	Younger sister
13.10	Nguyen Thanh Tung							0	0.00%	Younger brother
13.11	Le Viet Thang							0	0.00%	Younger brother in law
13.12	Nguyen Thao Thuc Linh							0	0.00%	Younger sister in law
14	Nguyen Hoang Tung		Southern Branch Director					0	0.00%	
14.1	Nguyen Hoang Thuy							0	0.00%	Father

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
						Management of Social Order				
14.2	Tran Thi Thanh Toan							0	0.00%	Mother
14.3	Nguyen Hoang Bao Uyen							0	0.00%	Child
14.4	Nguyen Minh Hoang							0	0.00%	Child
14.5	Nguyen Thi Hoang Nga							0	0.00%	Younger sister
14.6	Le Nhat Linh							0	0.00%	Younger brother in law
14.7	Nguyen Tran Anh							0	0.00%	Older brother
14.8	Phan Thanh Van							0	0.00%	Older sister in law
15	Le Thi Mai Huong		Head of the Internal Audit and Risk Management Department					0	0.00%	
15.1	Le Van Ngu							0	0.00%	Father

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
15.2	Le Thi Chung							0	0.00%	Mother
15.3	Nguyen Quang Cap							0	0.00%	Husband
15.4	Nguyen Le Nguyet Minh							0	0.00%	Child
15.5	Nguyen Quang Huy							0	0.00%	Child
15.6	Nguyen Van Phung							0	0.00%	Father in law
15.7	Nguyen Thi Cu							0	0.00%	Mother in law
15.8	Le Thanh Tung							0	0.00%	Older sister
15.9	Le Tuan Nghia							0	0.00%	Younger brother
16	Dang Thi Thao		Member of the Internal Audit and Risk Management Department					0	0.00%	Internal Person

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
16.1	Dang Chuan							0	0.00%	Father
16.2	Nguyen Thi Lan							0	0.00%	Mother
16.3	Dang Thi Hoa							0	0.00%	Older Sister
16.4	Dang Thi Hoai							0	0.00%	Older Sister
16.5	Nguyen Minh Dung							0	0.00%	Husband
16.6	Nguyen Van Luc							0	0.00%	Father in law
16.7	Nguyen Thi Minh							0	0.00%	Mother in law
16.8	Do Huu Chinh							0	0.00%	Brother in law
17	Pham Viet Hung		Chief of Office of the Board of Directors and Corporate					0	0.00%	

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
			Governance Officer							
17.1	Nguyen Thi Nhung		Staff of Office of the Board					0	0.00%	Wife
17.2	Pham Ngoc Minh Khue							0	0.00%	Child
17.3	Pham Danh Han							0	0.00%	Father
17.4	Nguyen Xuan Dung							0	0.00%	Mother
17.5	Pham Thi Thu Hang							0	0.00%	Older sister
17.6	Pham Chi Kien							0	0.00%	Brother in law
17.7	Ho Thi Van							0	0.00%	Mother in law
18	Dinh Ngoc Dat		Company Secretary, Authorized Person to Disclose Information					0	0.00%	

No.	Name of organization/ individual	Securities trading account	Position at the company	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
18.1	Nguyen Thi Thuy Hang							0	0.00%	Wife
18.2	Dinh Ngoc Duy							0	0.00%	Child
18.3	Dinh Ngoc Thanh							0	0.00%	Father
18.4	Pham Kim Lien							0	0.00%	Mother
18.5	Dinh Ngoc Lam							0	0.00%	Younger Brother
18.6	Nguyen Dang Ky							0	0.00%	Father in law
18.7	Bui Thi Minh Tuyet							0	0.00%	Mother in law

c. Transactions of internal persons and affiliated persons with shares of the company: None

IX. Other significant issues: None

Recipient:

- As above;
- The Board of Directors;
- The Board of Supervisors;
- Archived: the Board of Directors' Office, Personnel Organizing and General Administration Department

CHAIRMAN OF THE BOARD OF DIRECTORS



Phan Van Tuan