

Hanoi, January 24th, 2025

REPORT ON CORPORATE GOVERNANCE

(Year 2024)

To: - The State Securities Commission;
- The Vietnam Stock Exchange;
- The Hochiminh Stock Exchange;
- National Financial Supervisory Commission.

- Name of company: Agribank Securities Corporation (Agriseco)
- Address of headoffice: 5th Floor – Green Diamond Building, 93 Lang Ha Street, Lang Ha Ward, Dong Da District, Ha Noi City, Viet Nam
- Telephone: 024 62 762 666 - Fax: 024 62 765 666
- Charter capital: VND 2,153,913,090,000
- Stock symbol: AGR
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and General Director
- The implementation of internal audit: Implemented

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders:

| No. | Resolution No. | Date | Content |
|-----|---|------------|---|
| 1 | Resolution of the 2024 Annual General Meeting of Shareholders | 22/04/2024 | - Approve the Reports including: Report on governance and performance of the Board of Directors and each member of the Board of Directors in 2023; Report on the activities of the Board of Supervisors in 2023; Audited financial statements of the Company in 2023 and Appraisal report of the Audited financial statements of 2023 of the Board of Supervisors; - Approve the 2023 Profit Distribution Plan; - Approve the 2024 Business Plan; |

| No. | Resolution No. | Date | Content |
|-----|----------------|------|--|
| | | | <ul style="list-style-type: none"> - Approve the Board of Directors' remuneration and the salary, remuneration and operating budget of the Board of Supervisors for 2024; - Approve the list of auditing firms to audit the 2024 Financial Statements; - Approve the number and list of members of the Board of Directors of Agribank Securities Corporation for the 2024-2029 term; - Approve the number and list of members of the Board of Supervisors of Agribank Securities Corporation for the 2024-2029 term. |

II. The Board of Directors

1. Information about the members of the Board of Directors (BOD)

In 2024, the Board of Directors (BOD) convened 08 in-person meetings and conducted 31 times of written consultations.

| No. | Member of the Board of Directors | Position | The date becoming member of the Board of Directors | The date ceasing to be member of the Board of Directors |
|-----|----------------------------------|----------------------------------|--|---|
| 1 | Mr. Phan Van Tuan | Chairman of the BOD | 22/6/2019 | - |
| 2 | Mr. Le Son Tung | Member of the BOD | 28/4/2023 | - |
| 3 | Mr. Mai Khac Chinh | Member of the BOD | 22/6/2019 | 22/4/2024 |
| 4 | Mr. Le Quang Su | Independent member of the BOD | 22/6/2019 | 22/4/2024 |
| 5 | Mrs. Phan Thi Hong Thu | Non-executive members of the BOD | 22/6/2019 | 22/4/2024 |
| 6 | Mr. Nguyen Duc Thuan | Member of the BOD | 22/4/2024 | - |
| 7 | Mrs. Nguyen Thi Hong Hanh | Non-executive member of the BOD | 22/4/2024 | - |
| 8 | Mr. Doan Ngoc Hoan | Independent member of the BOD | 22/4/2024 | - |

2. Meetings of the Board of Directors:

| No. | Member of the Board of Directors | Number of meetings attended by Board of Directors (in-person meeting) | Attendance rate (%) | Number of Feedback Responses (Collected via written consultation) | Percentage (%) | Reasons for absence |
|-----|----------------------------------|---|---------------------|---|----------------|---------------------|
| 1 | Mr. Phan Van Tuan | 08 | 100 | 31 | 100 | - |
| 2 | Mr. Le Son Tung | 08 | 100 | 31 | 100 | - |

| No. | Member of the Board of Directors | Number of meetings attended by Board of Directors (in-person meeting) | Attendance rate (%) | Number of Feedback Responses (Collected via written consultation) | Percentage (%) | Reasons for absence |
|-----|----------------------------------|---|---------------------|---|----------------|--|
| 3 | Mr. Mai Khac Chinh | 03 | 100 | 12 | 100 | Not a member of the BOD from 22/4/2024 |
| 4 | Mr. Le Quang Su | 03 | 100 | 12 | 100 | Not a member of the BOD from 22/4/2024 |
| 5 | Mrs. Pham Thi Hong Thu | 03 | 100 | 12 | 100 | Not a member of the BOD from 22/4/2024 |
| 6 | Mr. Nguyen Duc Thuan | 05 | 100 | 19 | 100 | Member of the BOD from 22/4/2024 |
| 7 | Mrs. Nguyen Thi Hong Hanh | 05 | 100 | 19 | 100 | Member of the BOD from 22/4/2024 |
| 8 | Mr. Doan Ngoc Hoan | 05 | 100 | 19 | 100 | Member of the BOD from 22/4/2024 |

3. Supervising General Directors by Board of Directors

- The Board of Directors fully supervised the Board of Management in implementing the Resolutions/Decisions of the General Meeting of Shareholders and the Board of Directors. The Board of Supervisors (BOS) supported the Board of Directors in supervising the Board of Management.
- The General Director implemented the information and reporting regime to the Board of Directors periodically and suddenly according to Decision No. 19/2016/QD-HDQT-VP dated 16/12/2016.

4. Activities of the Board of Directors' Subcommittees

- Agriseco did not establish a Subcommittee, the Board of Directors appoints an independent member of the Board of Directors to be in charge of salary and bonus issues.
- The Board of Directors established the Board of Directors Office and the Internal Audit and Risk Management Department to fully perform the tasks to support the Board of Directors' activities in accordance with the law and the Company's internal regulations.

5. Resolutions/Decisions of the Board of Directors

5.1 Resolutions of the Board of Directors

| No. | Resolution No. | Date | Content | Approval Rate |
|-----|----------------|------------|--|---------------|
| 1 | 396/NQ-HDQT | 15/01/2024 | Resolution on the approval of the provision for the period of 31/12/2023 | 100% |
| 2 | 397/NQ-HDQT | 16/01/2024 | Resolution on the approval of the salary fund in 2023 | 100% |
| 3 | 398/NQ-HDQT | 23/01/2024 | Resolution on amending, supplementing and promulgating new Labor Regulations | 100% |
| 4 | 399/NQ-HDQT | 26/01/2024 | Resolution on promulgating the Consulting Process for Corporate Bond Offering Documents | 100% |
| 5 | 400/NQ-HDQT | 26/01/2024 | Resolution on amending, supplementing and promulgating new regulations on anti-money laundering | 100% |
| 6 | 401/NQ-HDQT | 01/02/2024 | Resolution on the contents of the first Board of Directors meeting in 2024 - Proposal to the Board of Directors dated 25/1/2024 by the General Director on the temporary assignment of the 2024 Business and Financial Plan - Proposal to the Board of Directors dated 29/1/2024 by the General Director on the purchase and replacement of network equipment and servers in the information technology system at Agribank Securities Corporation - Proposal to the Board of Directors dated 31/1/2024 by the General Director on the request for approval of the 2023 Salary Loan Plan of the Branches | 100% |
| 7 | 402/NQ-HDQT | 20/02/2024 | Resolution on Approving the plan to organize the 2024 Annual General Meeting of Shareholders (AGM) and Approving the finalization of the list of shareholders entitled to attend the 2024 Annual General Meeting of Shareholders | 100% |
| 8 | 403/NQ-HDQT | 01/03/2024 | Resolution on updating the Charter according to the new Head Office address | 100% |
| 9 | 404/NQ-HDQT | 01/03/2024 | Resolution on the contents of the second Board of Directors meeting in 2024 - Draft Report on the assessment of the 2023 performance results of the Board of Directors and each member of the Board of Directors; - Draft 2023 Operational Report of the Board of Supervisors; - Report on 2023 performance evaluation of the Board of Management, members of the Board of Management, and Branch Directors; - Report summarizing activities in 2023, directions and tasks in 2024; - Proposal to the Board of Directors dated 29/1/2024 by the General Director on purchasing new and replacing network equipment and servers; - Proposal to the Board of Directors dated 28/2/2024 by the General Director on the plan for investment, purchase of fixed assets and upgrading of fixed assets in 2024; | 100% |
| 10 | 405/NQ-HDQT | 05/03/2024 | Resolution on promulgating regulations on customer development cooperation with partners | 100% |
| 11 | 406/NQ-HDQT | 02/04/2024 | Resolution on policy and personnel expected to appoint the position of Chief Accountant | 100% |
| 12 | 407/NQ-HDQT | 09/04/2024 | Resolution on the contents of the third Board of Directors meeting in 2024 - The Board of Directors approved the voting contents at the 2024 Annual General Meeting of Shareholders; - The Board of Directors approved the Labor Plan, Salary Fund, and remuneration of managers and employees in 2024 | 100% |
| 13 | 408/NQ-HDQT | 11/04/2024 | Resolution on Manager's Reward | 100% |

| No. | Resolution No. | Date | Content | Approval Rate |
|-----|----------------|------------|---|---------------|
| 14 | 409/NQ-HĐQT | 17/04/2024 | Resolution on reappointment of Deputy General Director of the Company | 100% |
| 15 | 410/NQ-HĐQT | 17/04/2024 | Resolution on appointing personnel to hold the position of Chief Accountant of the Company | 100% |
| 16 | 411/NQ-HĐQT | 19/04/2024 | Resolution on approving the number of members of the Board of Directors and Supervisory Board for the 2024-2029 term and the list of candidates for members of the Board of Directors and Supervisory Board for the 2024-2029 term to be submitted at the 2024 Annual General Meeting of Shareholders | 100% |
| 17 | 412/NQ-HĐQT | 22/04/2024 | Resolution on election of Chairman of the Board of Directors for the 2024 - 2029 term | 100% |
| 18 | 413/NQ-HĐQT | 22/04/2024 | Resolution on implementing the Resolution of the 2024 Annual General Meeting of Shareholders | 100% |
| 19 | 414/NQ-HĐQT | 22/04/2024 | Resolution on IT Security System Warranty | 100% |
| 20 | 415/NQ-HĐQT | 02/05/2024 | Resolution on exemption of interest arising from late execution of judgment against Mr. Ta Kim Hung | 100% |
| 21 | 416/NQ-HĐQT | 31/05/2024 | Resolution on reappointment of personnel holding the position of Director of Central Branch | 100% |
| 22 | 417/NQ-HĐQT | 31/05/2024 | Resolution on Approval of the policy of signing a consulting contract to implement the sale of treasury stocks between Agriseco and Abic | 100% |
| 23 | 418/NQ-HĐQT | 07/06/2024 | Resolution on signing the Contract for issuance consultancy, listing consultancy, issuance agent, registration agent, depository and Contract for providing management services for non-depository bondholders of bonds issued by the Agribank to the public in 2024 between Agribank and Agriseco | 100% |
| 24 | 419/NQ-HĐQT | 11/06/2024 | Resolution on approving the selection of an independent auditing unit to review/audit the semi-annual/annual financial statements and financial safety ratio reports for 2024 | 100% |
| 25 | 420/NQ-HĐQT | 12/06/2024 | Resolution on Approval of the policy of closing the Northern Branch and Nguyen Van Troi Transaction Office - Southern Branch | 100% |
| 26 | 421/NQ-HĐQT | 20/06/2024 | Resolution on funding support for the construction of an experiential playground and teaching equipment for Thanh Linh Kindergarten, Thanh Chuong District, Nghe An Province | 100% |
| 27 | 422/NQ-HĐQT | 28/06/2024 | Resolution on the contents of the sixth Board of Directors meeting in 2024 - Proposal to the Board of Directors dated 20/6/2024 by the General Director on the improvement of the company's organizational model and the plan for arranging, assigning and appointing personnel according to the new organizational model; - Proposal to the Board of Directors dated 25/6/2024 by the General Director on the completion of the positions of Head of Personnel Organizing and General Administration Department, Chief of the Board of Directors' Office, Person in charge of corporate governance and Company Secretary; - Proposal to the Board of Directors dated 25/6/2024 of the General Director on promulgating Regulations on salary policy and income for investment consulting staff; - Proposal to the Board of Directors dated 21/06/2024 of the General Director on approving the provision level for the Reward Fund and Employee Welfare Fund in 2023; - Proposal to the Board of Directors dated 25/06/2024 by the General Director on approving the marketing and communication plan in the form of renting LED screen advertising at the airport in 2024. | 100% |
| 28 | 423/NQ-HĐQT | 12/07/2024 | Resolution on the approval of the provision for the period of 30/06/2024 | 100% |

| No. | Resolution No. | Date | Content | Approval Rate |
|-----|----------------|------------|---|---------------|
| 29 | 424/NQ-HĐQT | 17/07/2024 | Resolution on promulgating Regulations on the purchase and sale of Government Bonds, Government-guaranteed Bonds, and Local Government Bonds | 100% |
| 30 | 425/NQ-HĐQT | 19/07/2024 | Resolution on approving the value of a basic salary coefficient in 2024 | 100% |
| 31 | 426/NQ-HĐQT | 08/01/2024 | Resolution on reappointment of personnel to hold the position of Deputy General Director – Mrs. Nguyen Ngoc Lan | 100% |
| 32 | 427/NQ-HĐQT | 29/08/2024 | Resolution on purchasing new copyrighted anti-virus software, office software and computer operating systems | 100% |
| 33 | 428/NQ-HĐQT | 17/09/2024 | Resolution on implementing dividend payment in 2023 | 100% |
| 34 | 429/NQ-HĐQT | 08/10/2024 | Resolution on amending, supplementing and promulgating new Regulations on management and use of Welfare Fund at Agribank Securities JSC | 100% |
| 35 | 430/NQ-HĐQT | 08/10/2024 | Resolution on maintenance and warranty of securities management and trading system | 100% |
| 36 | 431/NQ-HĐQT | 22/10/2024 | Resolution on amending and supplementing the Regulations on management and use of the Reward Fund at Agribank Securities JSC | 100% |
| 37 | 432/NQ-HĐQT | 30/10/2024 | Resolution on buying gifts on the occasion of New Year 2025 | 100% |
| 38 | 433/NQ-HĐQT | 29/11/2024 | Resolution on rewarding managers | 100% |
| 39 | 434/NQ-HĐQT | 03/12/2024 | Resolution on amending, supplementing and promulgating the Grassroots Democracy Regulations at the workplace of Agribank Securities JSC | 100% |
| 40 | 435/NQ-HĐQT | 16/12/2024 | Resolution on the abolition of Decision No. 156/QĐ-HĐQT dated October 8, 2020 of the Board of Directors on promulgating the Regulation on financial allocation in Agribank Securities Company | 100% |
| 41 | 436/NQ-HĐQT | 17/12/2024 | Resolution on promulgating regulations on securities brokerage business | 100% |

5.2 Decision of the Board of Directors

| No. | Decision No. | Date | Content |
|-----|----------------|------------|--|
| 1 | 233/QĐ-CT.HĐQT | 15/01/2024 | Decision on reappointing Ms. Doan Thi Ngoc Lan to the position of Deputy Director of Central Branch |
| 2 | 234/QĐ-HĐQT | 23/01/2024 | Decision on promulgating Labor Regulations at Agriseco |
| 3 | 235/QĐ-HĐQT | 26/01/2024 | Decision on promulgating Agriseco's Anti-Money Laundering Regulations |
| 4 | 236/QĐ-HĐQT | 26/01/2024 | Decision on promulgating the process of Consulting on Corporate Bond Offering Documents |
| 5 | 237/QĐ-CT.HĐQT | 29/02/2024 | Decision on the establishment of the Organizing Committee of the 2024 Annual General Meeting of Shareholders |
| 6 | 238/QĐ-HĐQT | 05/03/2024 | Decision on Issuing Regulations on Customer Development Cooperation with Partners at Agriseco |
| 7 | 239/QĐ-CT.HĐQT | 27/03/2024 | Decision on the appointment of personnel to the position of Deputy Head of the Analysis - Research Department (Mr. Nguyen Thai Quoc) |
| 8 | 240/QĐ-CT.HĐQT | 28/03/2024 | The decision on salary grade change for management employees is under the authority of the Chairman of the Board of Directors (Mr. Pham Viet Hung) |
| 9 | 241/QĐ-CT.HĐQT | 22/04/2024 | Decision on appointing Mr. Khong Van Tuyen to the position of Head of Corporate Financial Advisory |
| 10 | 242/QĐ-HĐQT | 22/04/2024 | Decision on appointment of Chief Accountant (Ms. Tang Thi Trinh) |
| 11 | 243/QĐ-HĐQT | 22/04/2024 | Decision on reappointment to the position of Deputy General Director (Mr. Bui Duc Thang) |

| No. | Decision No. | Date | Content |
|-----|----------------|------------|--|
| 12 | 244/QĐ-HĐQT | 22/04/2024 | Decision on election of Chairman of the Board of Directors for the 2024-2029 term |
| 13 | 245/QĐ-CT.HĐQT | 23/04/2024 | Decision on assignment of tasks to Board of Directors members |
| 14 | 246/QĐ-CT.HĐQT | 10/05/2024 | Decision on the employee transfer (Mr. Dinh Ngoc Dat) |
| 15 | 247/QĐ-HĐQT | 31/05/2024 | Decision on reappointment of Director of Central Branch (Mr. Nguyen Trung Kien) |
| 16 | 248/QĐ-HĐQT | 12/06/2024 | Decision on closing Northern Branch |
| 17 | 249/QĐ-HĐQT | 12/06/2024 | Decision on closing Nguyen Van Troi Transaction Office |
| 18 | 250/QĐ-HĐQT | 28/06/2024 | Decision on dissolution of the Brokerage and Investment Advisory Department under Agriseco Headquarters |
| 19 | 251/QĐ-HĐQT | 28/06/2024 | Decision on the establishment of Institutional Client Investment Advisory Department under the Headquarters |
| 20 | 252/QĐ-HĐQT | 28/06/2024 | Decision on organization and operation of the Institutional Client Investment Advisory Department under Agriseco Headquarters |
| 21 | 253/QĐ-HĐQT | 28/06/2024 | Decision on the establishment of Individual Customer Investment Advisory Department No.1 under the Headquarters |
| 22 | 254/QĐ-HĐQT | 28/06/2024 | Decision on the establishment of Individual Customer Investment Advisory Department No.2 under the Headquarters |
| 23 | 255/QĐ-HĐQT | 28/06/2024 | Decision on organization and operation of the Individual Client Investment Advisory Department under the Headquarters |
| 24 | 256/QĐ-HĐQT | 28/06/2024 | Decision to rename the Administrative and Human Resources Department to the Personnel Organizing and General Administration Department and General Administration Department at the Headquarters |
| 25 | 257/QĐ-HĐQT | 28/06/2024 | Decision on organization and operation of the Personnel Organizing and General Administration Department |
| 26 | 258/QĐ-HĐQT | 28/06/2024 | Decision on organization and operation of the Internal Control and Risk Management Department |
| 27 | 259/QĐ-HĐQT | 28/06/2024 | Decision on organization and operation of the Proprietary Trading and Underwriting Department |
| 28 | 260/QĐ-HĐQT | 28/06/2024 | Decision on organization and operation of the Financial Accounting Department |
| 29 | 261/QĐ-CT.HĐQT | 28/06/2024 | Decision on transferring Mrs. Dang Thi Thao to become a member of the Internal Audit and Risk Management Department |
| 30 | 262/QĐ-HĐQT | 28/06/2024 | Decision on appointing personnel to the position of Head of Personnel Organizing and General Administration Department (Mr. Thai Anh Son) |
| 31 | 263/QĐ-HĐQT | 28/06/2024 | Decision on appointing personnel to hold the position of Chief of Office of the Board of Directors (Mr. Pham Viet Hung) |
| 32 | 264/QĐ-HĐQT | 28/06/2024 | Decision on appointing personnel to the position of Company Secretary (Mr. Dinh Ngoc Dat) |
| 33 | 265/QĐ-CT.HĐQT | 28/06/2024 | Decision to assign the task of managing the operations of Institutional Client Investment Advisory Department (Mrs. Doan Thi Vy Anh) |
| 34 | 266/QĐ-CT.HĐQT | 28/06/2024 | Decision to assign the task of managing the operations of the Individual Customer Investment Advisory Department No.1 (Mr. Phan Manh Cuong) |
| 35 | 267/QĐ-CT.HĐQT | 28/06/2024 | Decision to assign the task of managing the operations of the Individual Customer Investment Advisory Department No.2 (Mrs. Tran Thi Hue) |
| 36 | 268/QĐ-HĐQT | 28/06/2024 | Decision on renaming the Brokerage-Service Department under Branches to the Investment Advisory Department |

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| No. | Decision No. | Date | Content |
|-----|----------------|------------|---|
| 37 | 269/QĐ-HĐQT | 28/06/2024 | Decision on promulgating the Regulation on organization and operation of Branches and Transaction Offices under Agribank Securities Corporation |
| 38 | 269A/QĐ-HĐQT | 01/07/2024 | Decision on promulgating regulations on salary and income policies for investment consulting staff |
| 39 | 270/QĐ-HĐQT | 17/07/2024 | Decision on promulgating Regulations on the Trading of Government Bonds, Government-Guaranteed Bonds, and Local Government Bonds |
| 40 | 271/QĐ-HĐQT | 01/08/2024 | Decision on reappointment to the position of Deputy General Director of the Company (Ms. Nguyen Ngoc Lan) |
| 41 | 272/QĐ-HĐQT | 08/10/2024 | Decision on promulgating the Regulations on management and use of Welfare Fund |
| 42 | 273/QĐ-HĐQT | 22/10/2024 | Decision on promulgating the Regulation on management and use of the Reward Fund |
| 43 | 274/QĐ-CT.HĐQT | 28/10/2024 | Decision on reappointment to the position of Deputy Director of Southern Branch (Ms. Ho My The) |
| 44 | 275/QĐ-HĐQT | 03/12/2024 | Decision on promulgating the Grassroots Democracy Regulations at the workplace of Agribank Securities JSC |
| 45 | 276/QĐ-HĐQT | 17/12/2024 | Decision on promulgating regulations on securities brokerage business |
| 46 | 277/QĐ-CT.HĐQT | 31/12/2024 | Decision on dismissal of Mr. Nguyen Ngoc Tuan from the position of Head of TD&BLPH Department |
| 47 | 278/QĐ-CT.HĐQT | 31/12/2024 | Decision on assigning the task of being in charge of the Department of Physical Training and Physical Education to Mr. Hoang Toan Quan |

III. Board of Supervisors (BOS)

1. Information about members of the Board of Supervisors

| No. | Members of the Board of Supervisors | Position | The date becoming member of the Board of Supervisors | The date ceasing to be member of the Board of Supervisors | Qualification |
|-----|-------------------------------------|----------------------------------|--|---|-----------------------|
| 1 | Mrs. Le Huong Giang | Head of the Board of Supervisors | 22/06/2019 | - | Master of Economics |
| 2 | Mrs. Nguyen Thi Hong Nhung | Member of the BOS | 20/11/2014 | 22/04/2024 | Bachelor of Economics |
| 3 | Mrs. Vu Thi Hanh | Member of the BOS | 11/06/2018 | 22/04/2024 | Master of Economics |
| 4 | Mrs. Nguyen Thi Hong Duong | Member of the BOS | 22/04/2024 | | Master |
| 5 | Mr. Do Duc Tu | Member of the BOS | 22/04/2024 | | Bachelor of Economics |

2. Meetings of the Board of Supervisors

| No. | Member of the Board of Supervisors | Number of meetings attended by the Board of Supervisors (in-person meeting) | Attendance rate (%) | Voting rate (%) | Number of times to obtain written consultation | Response rate (%) | Voting rate (%) | Reasons for absence |
|-----|------------------------------------|---|---------------------|-----------------|--|-------------------|-----------------|---|
| 1 | Mrs. Le Huong Giang | 19/19 | 100 | 100 | 0 | | | |
| 2 | Mrs. Nguyen Thi Hong Nhung | 06/19 | 100 | 100 | 0 | | | Not a member of the Board of Supervisors from 22/4/2024 |
| 3 | Mrs. Vu Thi Hanh | 06/19 | 100 | 100 | 0 | | | Not a member of the Board of Supervisors from 22/4/2024 |
| 4 | Mrs. Nguyen Thi Hong Duong | 13/19 | 100 | 100 | 0 | | | Member of the Board of Supervisors from 22/4/2024 |
| 5 | Mr. Do Duc Tu | 13/19 | 100 | 100 | 0 | | | Member of the Board of Supervisors from 22/4/2024 |

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

The BOS fully performed its supervisory role as stipulated in the Company Charter, including:

- Monitoring operations and financial performance: Monitoring of governance and management functions, risk management and evaluating the internal control system, as well as ensuring compliance with laws, legal regulations, and the regulations of the State Securities Commission.
- Report on the activities of the Board of Supervisors in 2023 presented to the General Meeting of Shareholders.
- + Monitoring business operations and financial status
- + Monitoring the performance of the Board of Directors
- + Monitoring the performance of the Board of Management
- Audit of 2023 Financial Report; Audit of 2024 Semi-annual Financial Report. 

4. The coordination among the Board of Supervisors, the Board of Directors, the Board of Management and other managers:

- To resolve issues requiring the Board of Directors' opinion, the General Director had a proposal and the Chairman of the Board of Directors organized a direct meeting/ased for the Board of Directors' opinion in writing. The organization of the meeting/asking for opinion was carried out in accordance with regulations.
- Meeting documents, dossiers requesting opinions from the BOD, and Resolutions/Decisions issued by the BOD all be sent to the BOS in the same format, content as for members of the BOD.
- The assessment reports and compliance monitoring reports of the Supervisory Board were sent to the Board of Directors and the General Director.
- The BOS coordinated with the Board of Directors to supervise the General Director in implementing the Resolutions of the General Meeting of Shareholders, the Resolutions and Decisions of the Board of Directors, and evaluates the implementation progress.
- Upon request of the BOS, the General Director provided information for the Supervisory Board to perform its duties.
- The Board of Directors and the General Director coordinated with the Board of Supervisors to implement the 2023 operation plan and conduct an appraisal of the 2023 financial reports and 2024 Semi-annual Financial Report.
- The Board of Supervisors was invited and fully attended all meetings of the Board of Directors according to the provisions of the Charter and internal regulations of the Company.

5. Other activities of the Board of Supervisors (if any):

- Submit to the General Meeting of Shareholders for approving the List of independent auditing organization.
- Inspect the Head Office and 03 branches at the request of major shareholder Agribank (2 times in 2024).

IV. Board of Management

| No. | Members of Board of Management | Position | Date of birth | Qualification | Date of appointment /dismissal of members of the Board of Management |
|-----|--------------------------------|-------------------------|---------------|------------------------------------|--|
| 1 | Mr. Le Son Tung | The General Director | 11/03/1983 | Telecommunications Engineer | 01/03/2023 |
| 2 | Mr. Bui Duc Thang | Deputy General Director | 20/12/1973 | Bachelor of Mathematical Economics | 28/08/2020 |
| 3 | Mrs. Nguyen Ngoc Lan | Deputy General Director | 18/02/1979 | Bachelor of Laws | 30/08/2019 |

V. Chief Accountant

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| Full name | Date of birth | Professional qualifications | Date of appointment/dismissal |
|---------------------|---------------|-----------------------------|-------------------------------|
| Mrs. Tang Thi Trinh | 26/08/1987 | Master of Economics | 22/04/2024 |

VI. Training courses on corporate governance

Members of the Board of Directors, members of the Board of Supervisors, members of the Board of Board of Management, other managers completed the Corporate Governance course which was organized by the Center for Scientific Research and Securities Training - State Securities Commission.

VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company

1. The list of affiliated persons of the Company

| No | Name of Organization /Individual | Securities trading account | Position at the company | NSH No. | Date of issue | Place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the Company |
|----|--|----------------------------|-------------------------------------|------------|---------------|---|--|--|--|-----------|-------------------------------------|
| 1 | Vietnam Bank for Agriculture and Rural Development | | | 0100686174 | 2/12/2022 | Hanoi Department of Planning and Investment | No. 2 Lang Ha Street, Thanh Cong Ward, Ba Dinh District, Ha Noi City | | | | Parent Bank Controlling Shareholder |
| 2 | Phan Van Tuan | | Chairman of the BOD | | | | | | | | Internal person |
| 3 | Le Son Tung | | Member of the BOD, General Director | | | | | | | | Internal person |
| 4 | Mai Khac Chinh | | Member of the BOD | | | | | | 22/4/2024 | Dismissal | Internal person |

| No | Name of Organization /Individual | Securities trading account | Position at the company | NSH No. | Date of issue | Place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the Company | | | | |
|----|----------------------------------|----------------------------|-------------------------|---------|---------------|----------------|---------|--|--|--------|-------------------------------|-----------|-----------|-------------|-----------------|
| | | | | | | | | | | | | | | | |
| 5 | Le Quang Su | | Member of the BOD | | | | | | | | | | 22/4/2024 | Dismissal | Internal person |
| 6 | Pham Thi Hong Thu | | Member of the BOD | | | | | | | | | | 22/4/2024 | Dismissal | Internal person |
| 7 | Nguyen Duc Thuan | | Member of the BOD | | | | | | | | | 22/4/2024 | | Appointment | Internal person |
| 8 | Nguyen Thi Hong Hanh | | Member of the BOD | | | | | | | | | 22/4/2024 | | Appointment | Internal person |
| 9 | Doan Ngoc Hoan | | Member of the BOD | | | | | | | | | 22/4/2024 | | Appointment | Internal person |
| 10 | Le Huong Giang | | Head of the BOS | | | | | | | | | | | | Internal person |

| No | Name of Organization /Individual | Securities trading account | Position at the company | NSH No. | Date of issue | Place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the Company |
|----|----------------------------------|----------------------------|-------------------------|---------|---------------|----------------|---------|--|--|-------------|-------------------------------|
| 11 | Nguyen Thi Hong Nhung | | Member of the BOS | | | | | | 22/4/2024 | Dismissal | Internal person |
| 12 | Vu Thi Hanh | | Member of the BOS | | | | | | 22/4/2024 | Dismissal | Internal person |
| 13 | Nguyen Thi Hong Duong | | Member of the BOS | | | | | | 22/4/2024 | Appointment | Internal person |
| 14 | Do Duc Tu | | Member of the BOS | | | | | | 22/4/2024 | Appointment | Internal person |
| 15 | Bui Duc Thang | | Deputy General Director | | | | | | | | Internal person |
| 16 | Nguyen Ngoc Lan | | Deputy General Director | | | | | | | | Internal person |
| 17 | Tang Thi Trinh | | Chief Accountant | | | | | | | | Internal person |

| No | Name of Organization /Individual | Securities trading account | Position at the company | NSH No. | Date of issue | Place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the Company | |
|----|----------------------------------|----------------------------|--|---------|---------------|----------------|---------|--|--|--------|-------------------------------|-----------------|
| 18 | Tran Thi Hue | | Northern Branch Director | | | | | | | | Internal person | |
| 19 | Nguyen Trung Kien | | Central Branch Director | | | | | | | | Internal person | |
| 20 | Nguyen Hoang Tung | | Southern Branch Director | | | | | | | | Internal person | |
| 21 | Thai Anh Son | | Company Secretary, Authorized Person to Disclose Information | | | | | | | | Internal person | |
| 22 | Le Thi Huong Mai | | Head of Internal Audit and Risk Management Department | | | | | | | | Internal person | |
| 23 | Dang Thi Thao | | Member of the Board of Internal Audit and Risk Management Department | | | | | | 1/7/2024 | | Personnel mobilization | Internal person |
| 24 | Pham Viet Hung | | Chief of Office of the Board of Directors | | | | | | 1/7/2024 | | Personnel mobilization | Internal person |
| 25 | Dinh Ngoc Dat | | Company Secretary, Authorized Person to | | | | | | 1/7/2024 | | Personnel appointment | Internal person |

| No | Name of Organization /Individual | Securities trading account | Position at the company | NSH No. | Date of issue | Place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the Company |
|----|----------------------------------|----------------------------|-------------------------|---------|---------------|----------------|---------|--|--|--------|-------------------------------|
| | | | Disclose Information | | | | | | | | |

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons

| No. | Name of Organization/ Individual | Relationship with the Company | ID card number/ Business Registration Certificate No. | Date of issue | Place of issue | Head office address/ Contact address | Time of transaction with the Company | Resolution No./ Decision No. approved by General Meeting of Shareholders/ Board of Directors | Content, quantity, total value of transaction | Note |
|-----|--|---|---|---------------|---------------------------------------|---|--------------------------------------|--|---|------|
| 1 | Vietnam Bank for Agriculture and Rural Development Insurance Joint Stock Company | Under the control of the parent bank - Agribank | 38GP/KDBH | 30/12/2022 | Ministry of Finance | 6th Floor, Building CC 29T1 Hoang Dao Thuy Street, Trung Hoa Ward, Cau Giay District, Ha Noi City | Dated 31/5/2024 | Resolution 417/NQ-HDQT dated 31/5/2024 | Consulting contract for the sale of treasury shares between Agriseco and Abic | |
| 2 | Vietnam Bank for Agriculture and Rural Development | Controlling shareholder | 0100686174 | 11/01/2024 | Department of Planning and Investment | No. 2 Lang Ha Street, Thanh Cong Ward, Ba Dinh District, Ha Noi City | Dated 7/6/2024 | Resolution 418/NQ-HDQT dated 7/6/2024 | The contracts for issuance advisory, listing advisory, issuance agency, registration agency, depository services, and bondholder management services for non-deposited bonds issued by the Vietnam Bank for Agriculture and Rural Development (Agribank) to the public in 2023 between Agribank and Agriseco, with an expected issuance value of 10,000 billion VND | |

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None

4. Transactions between the company and other objects

4.1 Transactions between the Company and the company that its members of Board of Directors, the Board of Supervisors, General Director have been founding members or members of Board of Directors, or the General Director in three (03) latest years: None

4.2 Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, General Director and other managers as a member of Board of Directors, General Director: None

4.3 Other transactions of the Company (if any) may bring material or non-material benefits for members of Board of Directors, members of the Board of Supervisors, General Director and other managers: None

VIII. Share transactions of internal persons and their affiliated persons

1. Transactions of internal persons and affiliated persons at the end of the period

a. Internal person dismissed during the year (As of 22/04/2024 and 01/07/2024)

| No | Name of organization/ individual | Securities trading account | Position in the company | ID card number/ Passport number | Date of issue | Place of issue | Contact address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|-----|----------------------------------|----------------------------|-------------------------|---------------------------------|---------------|----------------|-----------------|---|--|-----------------|
| 1 | Mai Khac Chinh | | Member of the BOD | | | | | 0 | 0.00% | |
| 1.1 | Mai Thi Mien | | | | | | | 0 | 0.00% | Mother |
| 1.2 | Mai Trung Hieu | | | | | | | 0 | 0.00% | Older brother |
| 1.3 | Mai Duy Tung | | | | | | | 0 | 0.00% | Younger brother |
| 1.4 | Mai Thi Phuc | | | | | | | 0 | 0.00% | Younger sister |

| No | Name of organization/ individual | Securities trading account | Position in the company | ID card number/ Passport number | Date of issue | Place of issue | Contact address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | |
|------|----------------------------------|----------------------------|-------------------------|---------------------------------|---------------|----------------------------|-----------------|---|--|----------------|------------------------|
| | | | | | | Management of Social Order | | | | | |
| 1.5 | Mai Thi Huong | | | | | | | 0 | 0.00% | Younger sister | |
| 1.6 | Nguyen Thi Dieu Linh | | | | | | | | 0 | 0.00% | Wife |
| 1.7 | Mai Thi Hang | | | | | | | | 0 | 0.00% | Child |
| 1.8 | Mai Linh | | | | | | | | 0 | 0.00% | Child |
| 1.9 | Mai Thi Ngan Anh | | | | | | | | 0 | 0.00% | Child |
| 1.10 | Nguyen Thi Kim Xuyen | | | | | | | | 0 | 0.00% | Mother in law |
| 1.11 | Nguyen Viet Nang | | | | | | | | 0 | 0.00% | Younger brother in law |
| 1.12 | Nguyen Luong Son | | | | | | | | 0 | 0.00% | Younger brother in law |

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| No | Name of organization/ individual | Securities trading account | Position in the company | ID card number/ Passport number | Date of issue | Place of issue | Contact address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|------|----------------------------------|----------------------------|-------------------------|---------------------------------|---------------|----------------|-----------------|---|--|-----------------------|
| 1.13 | Tran Thi Thanh | | | | | | | 0 | 0.00% | Older sister in law |
| 1.14 | Nguyen Thi Phuong | | | | | | | 0 | 0.00% | Younger sister in law |
| 1.15 | Nguyen Van Tinh | | | | | | | 0 | 0.00% | Son in law |
| 2 | Le Quang Su | | Member of the BOD | | | | | 0 | 0.00% | |
| 2.01 | Le Sam | | | | | | | 0 | 0.00% | Father |
| 2.02 | Nguyen Thi Khoa | | | | | | | 0 | 0.00% | Mother |
| 2.03 | Le Bang Tam | | | | | | | 0 | 0.00% | Older sister |
| 2.04 | Nguyen Thi Hong Loan | | | | | | | 0 | 0.00% | Wife |
| 2.05 | Le Thu Ngan | | | | | | | 0 | 0.00% | Child |
| 2.06 | Le Kim Chau | | | | | | | 0 | 0.00% | Child |

| No | Name of organization/ individual | Securities trading account | Position in the company | ID card number/ Passport number | Date of issue | Place of issue | Contact address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|------|----------------------------------|----------------------------|-------------------------|---------------------------------|---------------|----------------|-----------------|---|--|--------------------|
| 2.07 | Nguyen Tien Bao | | | | | | | 0 | 0.00% | Father in law |
| 2.08 | Vu Thi Bich Hong | | | | | | | 0 | 0.00% | Mother in law |
| 2.09 | Nguyen Tien Quang | | | | | | | 0 | 0.00% | Old brother in law |
| 3 | Pham Thi Hong Thu | | Member of the BOD | | | | | 1.067 | 0.00% | |
| 3.1 | Pham Thi Sam | | | | | | | 0 | 0.00% | Mother |
| 3.2 | Pham Thi Binh | | | | | | | 0 | 0.00% | Older sister |
| 3.3 | Pham Thi Thuy | | | | | | | | 0.00% | Younger sister |
| 3.4 | Ngo Van Viet | | | | | | | 0 | 0.00% | Husband |
| 3.5 | Ngo Vuong | | | | | | | 0 | 0.00% | Child |

| No | Name of organization/ individual | Securities trading account | Position in the company | ID card number/ Passport number | Date of issue | Place of issue | Contact address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | |
|------|----------------------------------|----------------------------|-------------------------|---------------------------------|---------------|----------------|-----------------|---|--|-------|-----------------|
| 3.6 | Ngo Thi Mai Phuong | | | | | | | 0 | 0.00% | Child | |
| 4 | Mrs. Nguyen Thi Hong Nhung | | Member of the BOS | | | | | | 0 | 0.00% | |
| 4.01 | Nguyen Dinh An | | | | | | | | 0 | 0.00% | Father |
| 4.02 | Pham Thi Sam | | | | | | | | 0 | 0.00% | Mother |
| 4.03 | Nguyen Duc Toan | | | | | | | | 0 | 0.00% | Younger brother |
| 4.04 | Nguyen Manh Thang | | | | | | | | 0 | 0.00% | Younger brother |
| 4.05 | Phi Hong Thinh | | | | | | | | 0 | 0.00% | Husband |
| 4.06 | Phi Hong Son | | | | | | | | 0 | 0.00% | Child |
| 4.07 | Phi Hong Ha | | | | | | | | 0 | 0.00% | Child |

| No | Name of organization/ individual | Securities trading account | Position in the company | ID card number/ Passport number | Date of issue | Place of issue | Contact address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | |
|------|----------------------------------|----------------------------|-------------------------|---------------------------------|---------------|----------------|-----------------|---|--|-----------------------|--|
| 4.08 | Nguyen Thi Huong Nhai | | | | | | | 0 | 0.00% | Younger sister in law | |
| 4.09 | Pham Thi Huong | | | | | | | 0 | 0.00% | Younger sister in law | |
| 5 | Vu Thi Hanh | | | | | | | Member of the BOS | 0 | 0.00% | |
| 5.1 | Vu Mao | | | | | | | 0 | 0.00% | Father | |
| 5.2 | Phan Thi Kim Dung | | | | | | | 0 | 0.00% | Mother | |
| 5.3 | Do Tuan Anh | | | | | | | 0 | 0.00% | Husband | |
| 5.4 | Do Vu Hanh Chau | | | | | | | 0 | 0.00% | Child | |
| 5.5 | Vu Phuong Mai | | | | | | | 0 | 0.00% | Older sister | |
| 5.6 | Vu Quoc Bao | | | | | | | 0 | 0.00% | Younger brother | |
| 5.7 | Le Thi Thuy Duong | | | | | | | 0 | 0.00% | Younger sister in law | |

| No | Name of organization/ individual | Securities trading account | Position in the company | ID card number/ Passport number | Date of issue | Place of issue | Contact address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | |
|-----|----------------------------------|----------------------------|--|---------------------------------|---------------|----------------|-----------------|---|--|----------------------|------------------------|
| 5.8 | Tran Viet Ha | | | | | | | | | Older brother in law | |
| 6 | Thai Anh Son | | Chief of Office of the Board of Directors, Company Secretary | | | | | | 0 | 0.00% | |
| 6.1 | Dao Thi Phuong Hoa | | | | | | | | 0 | 0.00% | Mother |
| 6.2 | Tran Thi Thu Huyen | | | | | | | | 0 | 0.00% | Wife |
| 6.3 | Thai Minh Quan | | | | | | | | 0 | 0.00% | Child |
| 6.4 | Thai Minh Khoi | | | | | | | | 0 | 0.00% | Child |
| 6.5 | Thai Thu Thuy | | | | | | | | 0 | 0.00% | Younger sister |
| 6.6 | Le Minh Quang | | | | | | | | 0 | 0.00% | Younger brother in law |
| 6.7 | Dao Thi Loan | | | | | | | | 0 | 0.00% | Mother in law |

| No | Name of organization/ individual | Securities trading account | Position in the company | ID card number/ Passport number | Date of issue | Place of issue | Contact address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|-----|----------------------------------|----------------------------|-------------------------|---------------------------------|---------------|----------------|-----------------|---|--|---------------|
| 6.8 | Tran Quang Hung | | | | | | | 0 | 0.00% | Father in law |

b. Internal person as of 31/12/2024

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | | | |
|-----|----------------------------------|----------------------------|------------------------------------|--------------------------|---------------|----------------|---------|---|--|------|---|-------|----------------|
| 1 | Phan Van Tuan | | Chairman of the Board of Directors | | | | | 0 | 0.00% | | | | |
| 1.1 | Phan Van Thong | | | | | | | | | | 0 | 0.00% | Dad |
| 1.2 | Nguyen Thi Nghia | | | | | | | | | | 0 | 0.00% | Mom |
| 1.3 | Phan Thi Huyen | | | | | | | | | | 0 | 0.00% | Younger Sister |
| 1.4 | Phan Thi Duyen | | | | | | | | | | 0 | 0.00% | Younger Sister |
| 1.5 | Dang Cam Hanh | | | | | | | | | | 0 | 0.00% | Wife |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|------|----------------------------------|----------------------------|--|--------------------------|---------------|----------------|---------|---|--|------------------------|
| 1.6 | Phan Khoi Nguyen | | | | | | | 0 | 0.00% | Child |
| 1.7 | Phan Gia Khang | | | | | | | 0 | 0.00% | Child |
| 1.8 | Dang Anh | | | | | | | 0 | 0.00% | Father in law |
| 1.9 | Le Thi Hoai Nam | | | | | | | 0 | 0.00% | Mother in law |
| 1.10 | Ho Xuan Hoa | | | | | | | 0 | 0.00% | Younger brother in law |
| 1.11 | Nguyen Tuan Dung | | | | | | | 0 | 0.00% | Younger brother in law |
| 2 | Le Son Tung | | Member of the BOD cum General Director | | | | | 0 | 0.00% | |
| 2.1 | Le Hong Bon | | | | | | | 0 | 0.00% | Father |
| 2.2 | Phi Ngoc Bao | | | | | | | 0 | 0.00% | Mother |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|-----|----------------------------------|----------------------------|---|--------------------------|---------------|----------------|---------|---|--|---|
| 2.3 | Le Thu Thuy | | | | | | | 0 | 0.00% | Older sister |
| 2.4 | Phung Thi Huong Giang | | | | | | | 0 | 0.00% | Wife |
| 2.5 | Le Nam Hung | | | | | | | 0 | 0.00% | Child  |
| 2.6 | Le Huy Kien | | | | | | | 0 | 0.00% | Child  |
| 2.7 | Phung Van Quyen | | | | | | | 0 | 0.00% | Father in law |
| 2.8 | Truong Thi Mai Xuan | | | | | | | 0 | 0.00% | Mother in law |
| 2.9 | To Thanh Tuan | | Deputy Head of Individual Customer Investment Advisory Department No. 2 | | | | | 0 | 0.00% | Brother in law |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | |
|------|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|-------|----------------|
| 3 | Nguyen Duc Thuan | | Member of the BOD | | | | | 0 | 0.00% | | |
| 3.1 | Nguyen Quang Buu | | | | | | | | 0 | 0.00% | Father |
| 3.2 | Nguyen Thi Dan | | | | | | | | 0 | 0.00% | Mother |
| 3.3 | Nguyen Thi Thuy Hang | | | | | | | | 0 | 0.00% | Older sister |
| 3.4 | Vu Van Trong | | | | | | | | 0 | 0.00% | Brother in law |
| 3.5 | Nguyen Thi Thuy Hoan | | | | | | | | 0 | 0.00% | Older sister |
| 3.6 | Tran Duc Nam | | | | | | | | 0 | 0.00% | Brother in law |
| 3.7 | Pham Minh Thuan | | | | | | | | 0 | 0.00% | Wife |
| 3.8 | Pham Quang Hai | | | | | | | | 0 | 0.00% | Father in law |
| 3.9 | Dao Thi Bac | | | | | | | | 0 | 0.00% | Mother in law |
| 3.10 | Nguyen Gia Bao | | | | | | | | 0 | 0.00% | Child |
| 3.11 | Nguyen Ngoc Gia Nhi | | | | | | | | 0 | 0.00% | Child |

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| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | |
|-----|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|-------|---------------|
| 4 | Nguyen Thi Hong Hanh | | Member of the BOD | | | | | 0 | 0.00% | | |
| 4.1 | Mr. Nguyen Van Tham | | | | | | | | 0 | 0.00% | Father |
| 4.2 | Mrs. Nguyen Thi Son | | | | | | | | 0 | 0.00% | Mother |
| 4.3 | Mrs. Nguyen Thi Van | | | | | | | | 0 | 0.00% | Mother in law |
| 4.4 | Mr. Nguyen Xuan Anh | | | | | | | | 0 | 0.00% | Husband |
| 4.5 | Mr. Nguyen Xuan Thanh | | | | | | | | 0 | 0.00% | Child |
| 4.6 | Mss. Nguyen Phuong Anh | | | | | | | | 0 | 0.00% | Child |
| 4.7 | Mr. Nguyen Van Hung | | | | | | | | 0 | 0.00% | Brother |
| 5 | Doan Ngoc Hoan | | Member of the BOD | | | | | | 0 | 0.00% | |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|-----|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|-----------------|
| 5.1 | Doan Ngoc Cat | | | | | | | 0 | 0.00% | Father |
| 5.2 | Vu Thi Ly | | | | | | | 0 | 0.00% | Mother |
| 5.3 | Nguyen Thi Dinh | | | | | | | 0 | 0.00% | Wife |
| 5.4 | Doan Ngoc Quynh Anh | | | | | | | 0 | 0.00% | Daughter |
| 5.5 | Doan Ngoc Hai | | | | | | | 0 | 0.00% | Older brother |
| 5.6 | Doan Thi Huong | | | | | | | 0 | 0.00% | Younger sister |
| 5.7 | Doan Vu Hiep | | | | | | | 0 | 0.00% | Younger brother |
| 5.8 | Dao Thi Xuan | | | | | | | 0 | 0.00% | Sister in law |

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| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | |
|------|--|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|---------------|-----------------------|
| 5.9 | Ha Thi Tho | | | | | | | 0 | 0.00% | Sister in law | |
| 5.10 | Hoang Duc Hien | | | | | | | | 0 | 0.00% | Brother in law |
| 5.11 | Viet An Medical Investment Joint Stock Company | | | | | | | | 0 | 0.00% | Related organizations |
| 6 | Bui Duc Thang | | Deputy General Director | | | | | | 11 | 0.00% | |
| 6.1 | Nguyen Thi Bai | | | | | | | | 0 | 0.00% | Mother |
| 6.2 | Bui Thi Phuong Thao | | | | | | | | 0 | 0.00% | Younger sister |
| 6.3 | Tran Thi Mai | | | | | | | | 0 | 0.00% | Wife |
| 6.4 | Bui Minh Duc | | | | | | | | 0 | 0.00% | Child |
| 6.5 | Bui Trang Linh | | | | | | | | 0 | 0.00% | Child |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|-----|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|---------------|
| 7 | Nguyen Ngoc Lan | | Deputy General Director | | | | | 0 | 0.00% | |
| 7.1 | Nguyen Dinh Tau | | | | | | | 0 | 0.00% | Father |
| 7.2 | Cao Thi Xanh | | | | | | | 0 | 0.00% | Mother |
| 7.3 | Mac Dinh Khuyen | | | | | | | 0 | 0.00% | Husband |
| 7.4 | Mac Dinh Minh Bao | | | | | | | 0 | 0.00% | Child |
| 7.5 | Mac Thanh Phuc | | | | | | | 0 | 0.00% | Child |
| 7.6 | Vu Thi Nu | | | | | | | 0 | 0.00% | Mother in law |
| 8 | Le Huong Giang | | Head of the BOS | | | | | 0 | 0.00% | |
| 8.1 | Le Duy Ky | | | | | | | 0 | 0.00% | Father |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note | |
|------|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|--------|----------------|
| 8.2 | Ly Thi Bich | | | | | | | 0 | 0.00% | Mother | |
| 8.3 | Trinh Duc Anh | | | | | | | | 0 | 0.00% | Child |
| 8.4 | Trinh Duc Minh | | | | | | | | 0 | 0.00% | Child |
| 8.5 | Nguyen Tu Linh | | | | | | | | 0 | 0.00% | Child |
| 8.6 | Le Huong Lan | | | | | | | | 0 | 0.00% | Younger sister |
| 8.7 | Le Bich Thao | | | | | | | | 10 | 0.00% | Younger sister |
| 8.8 | Le Binh Phuong | | | | | | | | 0 | 0.00% | Brother in law |
| 9 | Do Duc Tu | | Member of the BOS | | | | | | 0 | 0,00% | |
| 9.01 | Nguyen Thi Dieu Than | | | | | | | | 0 | 0,00% | Mother |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|------|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|-----------------------|
| 9.02 | Pham Van Sao | | | | | | | 0 | 0,00% | Father in law |
| 9.03 | Nguyen Thi Cap | | | | | | | 0 | 0,00% | Mother in law |
| 9.04 | Pham Thi Theu | | | | | | | 0 | 0,00% | Wife |
| 9.05 | Do Thanh Tung | | | | | | | 0 | 0,00% | Child |
| 9.06 | Do Thanh Binh | | | | | | | 0 | 0,00% | Child |
| 9.07 | Do Thi Minh Nguyet | | | | | | | 0 | 0,00% | Older sister |
| 9.08 | Do Duy Hien | | | | | | | 0 | 0,00% | Younger brother |
| 9.09 | Nguyen Pham Viet | | | | | | | 0 | 0,00% | Older brother in law |
| 9.10 | Le Thu Huong | | | | | | | 0 | 0,00% | Younger sister in law |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|------|-------------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|----------------|
| 10 | Nguyen Thi Hong Duong | | Member of the BOS | | | | | 0 | 0.00% | |
| 10.1 | Nguyen Manh Dung | | | | | | | 0 | 0.00% | Father |
| 10.2 | Nguyen Thi Phuong | | | | | | | 0 | 0.00% | Mother |
| 10.3 | Nguyen Van Tuc | | | | | | | 0 | 0.00% | Father in law |
| 10.4 | Bui Thi Tuyen | | | | | | | 0 | 0.00% | Mother in law |
| 10.5 | Nguyen Duc Vinh | | | | | | | 0 | 0.00% | Husband |
| 10.6 | Nguyen Duc An | | | | | | | 0 | 0.00% | Child |
| 10.7 | Nguyen Duc Danh | | | | | | | 0 | 0.00% | Child |
| 10.8 | Nguyen Thi Phuong Dung | | | | | | | 0 | 0.00% | Younger sister |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|-------|---|----------------------------|-------------------------|--------------------------|----------------------|----------------|---------|---|--|------------------------|
| 10.9 | Tran Xuan Hoang | | | | | | | 0 | 0.00% | Younger brother in law |
| 10.10 | Agribank Banking Services Company Limited | | | | | | | 0 | 0.00% | Related organization |
| 11 | Tang Thi Trinh | | Chief Accountant | | | | | 0 | 0.00% | |
| 11.1 | Nguyen Van Son | | | | | | | 0 | 0.00% | Husband |
| 11.2 | Nguyen Nhat Nam | | | | | | | 0 | 0.00% | Child |
| 11.3 | Nguyen Thi Que | | | | | | | 0 | 0.00% | Mother in law |
| 11.4 | Nguyen Thi Toi | | | | | | | 0 | 0.00% | Mother |
| 11.5 | Tang Thi Thuy Linh | | | | | | | 0 | 0.00% | Older sister |
| 11.6 | Duong Hong Khanh | | 0 | 0.00% | Older brother in law | | | | | |

| No. | Name of organization/ individual | Securities trading account | Position at the company | ID card No./Passport No. | Date of issue | Place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|------|-------------------------------------|----------------------------|--------------------------|--------------------------|---------------|----------------|---------|---|--|---------------|
| 12 | Tran Thi Hue | | Northern Branch Director | | | | | 0 | 0.00% | |
| 12.1 | Vu Thi Huyen | | | | | | | 0 | 0.00% | Mother |
| 12.2 | Pham Van Thuan | | | | | | | 0 | 0.00% | Father in law |
| 12.3 | Dang Thi Tra | | | | | | | 0 | 0.00% | Mother in law |
| 12.4 | Pham Viet Hung | | | | | | | 0 | 0.00% | Husband |
| 12.5 | Pham Ha An | | | | | | | 0 | 0.00% | Child |
| 12.6 | Pham Huy Khoi | | | | | | | 0 | 0.00% | Child |
| 12.7 | Tran Huu Thoat | | | | | | | 0 | 0.00% | Older brother |
| 12.8 | Tran Thi Luyen | | | | | | | 0 | 0.00% | Older sister |

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|-------|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|------------------------|
| 12.9 | Tran Thi Cham | | | | | | | 0 | 0.00% | Older sister |
| 12.10 | Tran Thi Lan Anh | | | | | | | 0 | 0.00% | Younger sister |
| 12.11 | Tran Hai Anh | | | | | | | 0 | 0.00% | Younger brother in law |
| 12.12 | Nguyen Van Thanh | | | | | | | 0 | 0.00% | Older brother in law |
| 12.13 | Nguyen Thi Van | | | | | | | 0 | 0.00% | Older sister in law |
| 13 | Nguyen Trung Kien | | Central Branch Director | | | | | 0 | 0.00% | |
| 13.1 | Nguyen Huu Quang | | | | | | | 0 | 0.00% | Father |
| 13.2 | Nguyen Thi Loan | | | | | | | 0 | 0.00% | Mother |
| 13.3 | Le Minh Ke | | | | | | | 0 | 0.00% | Father in law |

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|-------|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|---------------|------------------------|---|-------|--------|
| 13.4 | Nguyen Thi Van | | | | | | | 0 | 0.00% | Mother in law | | | | |
| 13.5 | Le Thi Mai | | | | | | | | 0 | 0.00% | Wife | | | |
| 13.6 | Nguyen Mai Phuong | | | | | | | | 0 | 0.00% | Child _B | | | |
| 13.7 | Nguyen Ha Phuong | | | | | | | | 0 | 0.00% | Child _G | | | |
| 13.8 | Nguyen Le Nam Phuong | | | | | | | | 0 | 0.00% | Child _K | | | |
| 13.9 | Nguyen Thu Huyen Trang | | | | | | | | 0 | 0.00% | Younger sister | | | |
| 13.10 | Nguyen Thanh Tung | | | | | | | | 0 | 0.00% | Younger brother | | | |
| 13.11 | Le Viet Thang | | | | | | | | 0 | 0.00% | Younger brother in law | | | |
| 13.12 | Nguyen Thao Thuc Linh | | | | | | | | 0 | 0.00% | Younger sister in law | | | |
| 14 | Nguyen Hoang Tung | | | | | | | Southern Branch Director | | | | 0 | 0.00% | |
| 14.1 | Nguyen Hoang Thuy | | | | | | | | | | | 0 | 0.00% | Father |

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|------|----------------------------------|----------------------------|---|--------------------------|---------------|----------------------------|---------|---|--|------------------------|
| | | | | | | Management of Social Order | | | | |
| 14.2 | Tran Thi Thanh Toan | | | | | | | 0 | 0.00% | Mother |
| 14.3 | Nguyen Hoang Bao Uyen | | | | | | | 0 | 0.00% | Child |
| 14.4 | Nguyen Minh Hoang | | | | | | | 0 | 0.00% | Child |
| 14.5 | Nguyen Thi Hoang Nga | | | | | | | 0 | 0.00% | Younger sister |
| 14.6 | Le Nhat Linh | | | | | | | 0 | 0.00% | Younger brother in law |
| 14.7 | Nguyen Tran Anh | | | | | | | 0 | 0.00% | Older brother |
| 14.8 | Phan Thanh Van | | | | | | | 0 | 0.00% | Older sister in law |
| 15 | Le Thi Mai Huong | | Head of the Internal Audit and Risk Management Department | | | | | 0 | 0.00% | |
| 15.1 | Le Van Ngu | | | | | | | 0 | 0.00% | Father |

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|------|----------------------------------|----------------------------|---|--------------------------|---------------|----------------|---------|---|--|-----------------|
| 15.2 | Le Thi Chung | | | | | | | 0 | 0.00% | Mother |
| 15.3 | Nguyen Quang Cap | | | | | | | 0 | 0.00% | Husband |
| 15.4 | Nguyen Le Nguyet Minh | | | | | | | 0 | 0.00% | Child |
| 15.5 | Nguyen Quang Huy | | | | | | | 0 | 0.00% | Child |
| 15.6 | Nguyen Van Phung | | | | | | | 0 | 0.00% | Father in law |
| 15.7 | Nguyen Thi Cu | | | | | | | 0 | 0.00% | Mother in law |
| 15.8 | Le Thanh Tung | | | | | | | 0 | 0.00% | Older sister |
| 15.9 | Le Tuan Nghia | | | | | | | 0 | 0.00% | Younger brother |
| 16 | Dang Thi Thao | | Member of the Internal Audit and Risk Management Department | | | | | | 0 | 0.00% |

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|------|----------------------------------|----------------------------|---|--------------------------|---------------|----------------|---------|---|--|----------------|
| 16.1 | Dang Chuan | | | | | | | 0 | 0.00% | Father |
| 16.2 | Nguyen Thi Lan | | | | | | | 0 | 0.00% | Mother |
| 16.3 | Dang Thi Hoa | | | | | | | 0 | 0.00% | Older Sister |
| 16.4 | Dang Thi Hoai | | | | | | | 0 | 0.00% | Older Sister |
| 16.5 | Nguyen Minh Dung | | | | | | | 0 | 0.00% | Husband |
| 16.6 | Nguyen Van Luc | | | | | | | 0 | 0.00% | Father in law |
| 16.7 | Nguyen Thi Minh | | | | | | | 0 | 0.00% | Mother in law |
| 16.8 | Do Huu Chinh | | | | | | | 0 | 0.00% | Brother in law |
| 17 | Pham Viet Hung | | Chief of Office of the Board of Directors and Corporate | | | | | 0 | 0.00% | |

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|------|----------------------------------|----------------------------|---|--------------------------|---------------|----------------|---------|---|--|----------------|
| | | | Governance Officer | | | | | | | |
| 17.1 | Nguyen Thi Nhung | | Staff of Office of the Board | | | | | 0 | 0.00% | Wife |
| 17.2 | Pham Ngoc Minh Khue | | | | | | | 0 | 0.00% | Child |
| 17.3 | Pham Danh Han | | | | | | | 0 | 0.00% | Father |
| 17.4 | Nguyen Xuan Dung | | | | | | | 0 | 0.00% | Mother |
| 17.5 | Pham Thi Thu Hang | | | | | | | 0 | 0.00% | Older sister |
| 17.6 | Pham Chi Kien | | | | | | | 0 | 0.00% | Brother in law |
| 17.7 | Ho Thi Van | | | | | | | 0 | 0.00% | Mother in law |
| 18 | Dinh Ngoc Dat | | Company Secretary, Authorized Person to Disclose Information | | | | | | | |

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|------|----------------------------------|----------------------------|-------------------------|--------------------------|---------------|----------------|---------|---|--|-----------------|
| 18.1 | Nguyen Thi Thuy Hang | | | | | | | 0 | 0.00% | Wife |
| 18.2 | Dinh Ngoc Duy | | | | | | | 0 | 0.00% | Child |
| 18.3 | Dinh Ngoc Thanh | | | | | | | 0 | 0.00% | Father |
| 18.4 | Pham Kim Lien | | | | | | | 0 | 0.00% | Mother |
| 18.5 | Dinh Ngoc Lam | | | | | | | 0 | 0.00% | Younger Brother |
| 18.6 | Nguyen Dang Ky | | | | | | | 0 | 0.00% | Father in law |
| 18.7 | Bui Thi Minh Tuyet | | | | | | | 0 | 0.00% | Mother in law |

c. Transactions of internal persons and affiliated persons with shares of the company: None

IX. Other significant issues: None

Recipient:

- As above;
- The Board of Directors;
- The Board of Supervisors;
- Archived: the Board of Directors' Office, Personnel Organizing and General Administration Department

CHAIRMAN OF THE BOARD OF DIRECTORS



Phan Van Tuan