

No.:62/2025/VIX-CBTT

Hanoi, January 22nd, 2025

**DISCLOSURE OF INFORMATION
ON THE STATE SECURITIES COMMISSION'S PORTAL
AND STOCK EXCHANGE'S PORTAL**

To: - The State Securities Commission
- Vietnam Stock Exchange
- Ho Chi Minh Stock Exchange

Company Name: VIX SECURITIES JOINT STOCK COMPANY

Ticker: VIX

Address: Floor 22, No. 52 Le Dai Hanh Street, Le Dai Hanh Ward, Hai Ba Trung District,
Hanoi City.

Telephone: (024) 4456 8888, Ext: 5103; **Fax:** (024) 3978 5379

Spokesman: Du Van Toan

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Information disclosure type:

☐ 24 hours ☐ 72 hours ☐ 07 days ☐ Irregular ☐ upon request ☒ periodic

Content of information disclosure:

VIX Securities Joint Stock Company ("VIX") periodically discloses the following information:

The Corporate governance report for 2024

Detailed information was posted on VIX website on January 22nd, 2025, at this link:

<https://vixs.vn/en/report>

We hereby declare to be responsible for the accuracy and completeness of the disclosed information.

Recipients:

- As above,
- Office archive.

**PARTY AUTHORIZED
TO DISCLOSE INFORMATION**



DU VAN TOAN

CORPORATE GOVERNANCE REPORT

Period: Year of 2024

To:

- The State Securities Commission
- Vietnam Stock Exchange
- Ho Chi Minh Stock Exchange

- ❖ **Company Name:** VIX SECURITIES JOINT STOCK COMPANY
- ❖ **Address:** Floor 22, No. 52 Le Dai Hanh Street, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi City.
- ❖ **Telephone:** 024.44568888, Ext: 5103; **Fax:** 024.39785379/80 **Email:** info@vixs.vn
- ❖ **Charter Capital:** VND 14.585.131.730.000
(In words: Fourteen trillion, five hundred eighty-five billion, one hundred thirty-one million, seven hundred thirty thousand Vietnam dong)
- ❖ **Ticker:** VIX
- ❖ **Corporate Governance Model:** Shareholders General Meeting, Board of Directors (BOD), Supervisory Board, and General Director
- ❖ **Internal Audit Function:** Implemented

I. Activities of the General Meeting of Shareholders (GMS):

No.	Resolution No./Decision	Date	Content
1	01/2024/VIX/ NQ- ĐHCĐ	15/04/2024	<p><i>The 2024 Annual General Meeting of Shareholders approved the following issues:</i></p> <ul style="list-style-type: none">- Approval of the 2023 audited financial statements, business results, and profit distribution plan for 2023.- The Board of Directors (BOD) Report on Corporate Governance and the Performance of the BOD and each member of the BOD in 2023.- The Supervisory Board Report on the Business Results of 2023, the Performance of the Board of Directors, and the General Director.- Self-Assessment Report on the Performance of the Supervisory Board and each member of the Supervisory Board in 2023, and the Supervisory Board's activity plan for 2024.- Approval of remuneration and operational expenses for BOD and Supervisory Board in 2023, and the Plan for remuneration and operating expenses for the BOD and the Supervisory Board in 2024.- Business plan for 2024.- Approval of selection of the auditing firm for the 2024 Financial Statements.- Plan for issuing shares to pay dividends and issuing shares to increase charter capital from owners' equity.- Plan for offering additional shares to existing shareholders to increase charter capital.- Plan for issuing shares under the Employee Stock Ownership Plan (ESOP).



II. Board or Directors

1. Members

No.	Name	Position	Date of Appointment/Termination of the BOD members	
			Date of Appointment	Date of Termination
1	Thai Hoang Long	Chairman	15/04/2023	27/09/2024
2	Nguyen Tuan Dung (*)	The member in charge of BOD	25/06/2021	
3	Truong Ngoc Lan	Member of BOD - CEO	15/04/2023	
4	Cao Thi Hong	Independent Member	25/06/2021	
5	Tran Thi Hong Ha	Independent Member	25/06/2021	

(*) Mr. Nguyen Tuan Dzung is assigned the responsibility of Chairman of the Board of Directors from September 27th, 2024, replacing Mr. Thai Hoang Long, who has been dismissed as Chairman of the Board of Directors from September 27th, 2024.

2. BOD's meetings

No.	Name	Attendance/Total Meetings	Attendance Rate (%)	Reason for Absence
1	Thai Hoang Long	26/36	72,2%	Resignation from September 27 th , 2024
2	Nguyen Tuan Dung	36/36	100%	
3	Truong Ngoc Lan	36/36	100%	
4	Cao Thi Hong	36/36	100%	
5	Tran Thi Hong Ha	36/36	100%	

3. Supervisory Activities of the Executive Board: Supervising the implementation of the resolutions of the General Meeting of Shareholders and the resolutions of the Board of Directors in accordance with the law and the company's charter.

4. Activities of the subcommittees of the BOD: (No subcommittees)

5. Resolutions of the BOD: (Appendix I - attached)

III. Supervisory Board (SB):

1. Members

No.	Name	Position	Date of Appointment/Termination	Professional qualification
1	Trinh Thi My Le	Head of SB	25/06/2021	Bachelor of Accounting
2	Nguyen Thi Duyen	Member	25/06/2021	Bachelor of Accounting
3	Tran Hong Van	Member	15/04/2023	Bachelor of Economics

2. SB's meetings

No.	Name	Position	Attendance/Total Meetings	Attendance Rate (%)	Voting rate (%)	Reason for Absence
1	Trinh Thi My Le	Head of SB	2/2	100%	100%	
2	Nguyen Thi Duyen	Member	2/2	100%	100%	
3	Tran Hong Van	Member	2/2	100%	100%	

3. The Supervisory Board's monitoring activities of the Board of Directors, the Executive Board, and shareholders.

The Supervisory Board has carried out monitoring activities towards the Board of Directors and the Executive Board as follows:

- Supervising the activities of the BOD:
 - + The BOD has complied with the provisions of the Law on Enterprises, the Law on Securities, the Company's Charter, and other relevant legal regulations. The BOD has strictly implemented the resolutions of the 2024 Annual General Meeting of Shareholders.
 - + The BOD has effectively performed its role in directing, supervising, and supporting the Executive Board in managing the Company's operations according to the issues approved by the General Meeting of Shareholders.
 - + The SB highly appreciates the competence, responsibility, and unity of the BOD in performing the corporate governance functions.
- Supervising the activities of the Executive Board:
 - + The Executive Board has adhered to its functions, duties, and powers as prescribed by law, the Company's Charter, actively implementing the resolutions of the General Meeting of Shareholders and the BOD, closely following the business plan targets for 2024 approved by the General Meeting of Shareholders.
 - + The Executive Board has assigned clear, specific tasks to each member in charge of various areas, regularly organizing periodic meetings, reviewing, and assessing the Company's management performance.
 - + In preparing the periodic financial statements and reports on the Company's business operations: the Executive Board has complied with Vietnamese accounting standards and relevant legal regulations.

4. Cooperation between the SB and the BOD, the Executive Board, and other managers

- The Supervisory Board has always received close and enthusiastic cooperation from the BOD, the Executive Board, and the managers of the Company. The Executive Board and the departments' managers have provided timely and sufficient information and documents related to the Company's business activities and assigned staff to work with the SB when required.
- The Supervisory Board has appointed a representative to attend all meetings of the Board of Directors.
- The SB has informed the BOD and the Executive Board about the work plan, inspection, and control plans for the period and has coordinated well with the Company's functional departments to complete its functions and duties.

5. Other activities of the Supervisory Board

- The SB regularly updates new policies and regulations.
- Carries out other duties as prescribed by the Law on Enterprises.

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IV. Executive Board

No.	Executive Members	Date of Birth	Professional qualification	Appointment Date
1	Truong Ngoc Lan - CEO	02/06/1978	Master of Business Administration	19/10/2022
2	Do Ngoc Dinh – Vice CEO	30/01/1983	Bachelor of Economics	17/07/2017

V. Chief Accountant:

No.	Họ và tên	Date of Birth	Professional qualification	Date of Appointment/Termination
1	Nguyen Thi Thu Hang	05/08/1983	Bachelor of Finance & Accounting	23/05/2018

VI. Corporate Governance Training:

In 2024 Mr Nguyen Tuan Dung (Member of the BOD), Mr Truong Ngoc Lan (Member of the BOD and CEO), and Ms Duong Thi Kim Oanh (Head of Internal Control Department) has participated in a management training course named “**Corporate Governance in the Context of Capital Market Integration and Stock Market Upgrading**” organized by the State Securities Commission of Vietnam (SSC).

VII. List of Relevant persons of the listed company and transactions of Relevant persons with the Company:

1. List of the Company's relevant persons (Appendix II attached).
2. Transactions between the company and its relevant persons, major shareholders, internal actors, and relevant persons of internal actors: *(None)*.
3. Transactions between the company's internal actors and their relevant persons with subsidiaries or companies controlled by the Company: *(None)*.
4. Transactions between the Company and other relevant persons:
 - 4.1: Transactions between the Company and companies where members of the Board of Directors, Supervisory Board, Chief Executive Officer, or other managers have been or are founders, members of the Board of Directors, or Chief Executive Officers within the past three (3) years (as of the reporting date): *(None)*.
 - 4.2. Transactions between the Company and companies where relevant persons of members of the Board of Directors, Supervisory Board, Chief Executive Officer, or other managers are members of the Board of Directors or Chief Executive Officers: *(None)*.
 - 4.3. Other transactions of the Company (if any) that may provide material or non-material benefits to members of the Board of Directors, Supervisory Board, Chief Executive Officer, or other managers: *(None)*.

VIII. Stock Transactions of internal actors and relevant persons of internal actors during the Period (First Half of 2024):

1. *List of internal actors and relevant persons of internal actors (Appendix III attached)*
2. *Stock transactions of internal actors and their relevant persons regarding the Company's VIX shares:*

Name of person conducting transaction	Position/ Relationship with intenal shareholders	Number of Shares hold at the Beginning of the Period		Number of Shares Hold at the End of the Period		Reason for increase/decrease (purchase, sale, conversion, bonus, etc.)
		No. of shares	Rate (%)	No. of shares	Rate (%)	
Nguyen Tuan Dung	In charge of BOD, Corporate Governance Officer	229.747	0,034%	593.954	0,040%	Receiving dividends by shares, bonus shares, purchasing newly issued shares, and purchasing ESOP shares.
Truong Ngoc Lan	Member of BOD, CEO	0	0,00%	500.000	0,030%	Purchasing ESOP shares.
Tran Thi Hong Ha	Member of BOD	353.818	0,053%	860.707	0,060%	Receiving dividends by shares, bonus shares, purchasing newly issued shares, and purchasing ESOP shares.
Cao Thi Hong	Member of BOD	0	0,00%	100.000	0,007%	Purchasing ESOP shares.
Do Ngoc Dinh	Vice CEO	2.475	0,0004%	505.320	0,030%	Receiving dividends by shares, bonus shares, purchasing newly issued shares, and purchasing ESOP shares.
Nguyen Thi Thu Hang	Chief Accountant:	0	0,00%	2.180.000	0,150%	Purchasing ESOP shares.
Vu Thi Ngoc Mai	Internal Audit Specialist	0	0,00%	50.000	0,003%	Purchasing ESOP shares.
Tran Bich Thuy	The older sister of Mrs. Tran Hong Van – member of SB	6.825	0,001%	14.672	0,001%	Receiving dividends by shares, bonus shares and purchasing newly issued shares.

IX. Other issues to Note: (None)

CHAIRPERSON OF THE BOD



NGUYEN TUAN DUNG

APPENDIX I

LIST OF THE BOARD OF DIRECTORS' RESOLUTIONS 2024 (Attached to the Corporate Governance Report 2024 by VIX Securities Joint Stock Company)

No	Resolution No	Date Issued	Content	Approval Rate
1	01/2024/VIX/NQ-HĐQT	30/01/2024	Approval of the shareholder's written opinion ballot of No Va Land Investment Group Corporation.	100%
2	02/2024/VIX/NQ-HĐQT	26/02/2024	Approval plan to organize the 2024 Annual General Meeting of Shareholders.	100%
3	03/2024/VIX/NQ-HĐQT	07/03/2024	Approval of the credit limit at VPBank	100%
4	04/2024/VIX/NQ-HĐQT	12/03/2024	Approval of a short-term credit limit at EVNFinance Joint Stock Company (EVNFinance).	100%
5	05/2024/VIX/NQ-HĐQT	14/03/2024	Approval for 2023 dividend payment and stock issuance plan to increase capital from equity.	100%
6	06/2024/VIX/NQ-HĐQT	20/03/2024	Approval of the authorization to attend the 2024 Extraordinary General Meeting of Shareholders of Vietnam Seaproducts Joint Stock Corporation.	100%
7	07/2024/VIX/NQ-HĐQT	22/03/2024	Establishment of the shareholder eligibility verification committee for the 2024 Annual General Meeting	100%
8	08/2024/VIX/NQ-HĐQT	22/03/2024	Approval of the CEO salary adjustment.	100%
9	09/2024/VIX/NQ-HĐQT	25/03/2024	Approval of a short-term credit limit at Vietinbank - Thang Long Branch.	100%
10	10/2024/VIX/NQ-HĐQT	15/04/2024	Approval of the authorization to attend the 2024 Annual General Meeting of Shareholders of EIB.	100%
11	11/2024/VIX/NQ-HĐQT	15/04/2024	Approval of the authorization to attend the 2024 Annual General Meeting of Shareholders of EIB	100%
12	12/2024/VIX/NQ-HĐQT	24/04/2024	Approval of the implementation of the stock issuance plan according to the	100%

			Resolution of the 2024 Annual General Meeting of Shareholders.	
13	13/2024/VIX/NQ-HĐQT	24/04/2024	Approval to establish the executive committee for the employee stock offering program.	100%
14	14/2024/VIX/NQ-HĐQT	24/04/2024	Approval of the list of employees eligible for purchasing shares under the ESOP 2024 program.	100%
15	15/2024/VIX/NQ-HĐQT	24/04/2024	Approval of the registration documents for additional stock issuance to existing shareholders to increase the charter capital.	100%
16	16/2024/VIX/NQ-HĐQT	26/04/2024	Approval to select a cybersecurity service provider.	100%
17	17/2024/VIX/NQ-HĐQT	07/05/2024	Approval of funding support for the National Cybersecurity Association.	100%
18	18/2024/VIX/NQ-HĐQT	11/06/2024	Approval of procedures and timelines for stock issuance to increase charter capital in 2024	100%
19	19/2024/VIX/NQ-HĐQT	25/06/2024	Approval of criteria for selecting investors to purchase odd shares, undistributed shares, and supplementing the share issuance documents to increase the charter capital in 2024.	100%
20	20/2024/VIX/NQ-HĐQT	02/07/2024	Approval of the plan to purchase VSC shares.	100%
21	21/2024/VIX/NQ-HĐQT	18/07/2024	Closing the list of VIX shareholders to exercise rights.	100%
22	22/2024/VIX/NQ-HĐQT	18/07/2024	Change of the Fund Management Company entrusted with the investment in shares of Vietnam Seaproducts Corporation – Joint Stock Company (SEA)	100%
23	23/2024/VIX/NQ-HĐQT	08/08/2024	Approval of the plan to purchase PC1 shares.	100%
24	24/2024/VIX/NQ-HĐQT	09/08/2024	Approval of the credit limit at Vietnam Maritime Commercial Joint Stock Bank (MSB).	100%

25	25/2024/VIX/NQ-HĐQT	23/08/2024	Approval of the credit limit at Vietnam Commercial Joint Stock Export Import Bank (EIB).	100%
26	26/2024/VIX/NQ-HĐQT	26/08/2024	Approval of funding for school construction.	100%
27	27/2024/VIX/NQ-HĐQT	29/08/2024	Approval of the plan to obtain a loan at Vietcombank – Tay Ho Branch.	100%
28	28/2024/VIX/NQ-HĐQT	13/09/2024	Approval of the plan to handle unsold shares of VIX-2024.	100%
29	29/2024/VIX/NQ-HĐQT	20/09/2024	Approval of the results of the 2023 issuance of shares for dividend payment, issuance of shares to increase charter capital from equity, additional share offering to existing shareholders, and issuance of ESOP shares in 2024.	100%
30	30/2024/VIX/NQ-HĐQT	27/09/2024	Approval of the dismissal of the Chairman of the Board of Directors.	100%
31	31/2024/VIX/NQ-HĐQT	27/09/2024	Assignment of the person in charge of the Board of Directors.	100%
32	32/2024/VIX/NQ-HĐQT	27/09/2024	Dismissal of the position of Standing Member of the Board of Directors.	100%
33	33/2024/VIX/NQ-HĐQT	27/09/2024	Approval of the delegation of authority in the company's management and operations.	100%
34	34/2024/VIX/NQ-HĐQT	07/10/2024	Approval of the plan to purchase additional EIB shares.	100%
35	35/2024/VIX/NQ-HĐQT	17/10/2024	Approval of the plan to purchase HAH shares.	100%
36	36/2024/VIX/NQ-HĐQT	29/10/2024	Approval of the credit limit at ACB.	100%
37	37/2024/VIX/NQ-HĐQT	29/10/2024	Approval of the credit limit at IVB	100%
38	38/2024/VIX/NQ-HĐQT	30/10/2024	Approval of the credit limit at BIDV-Ha Thanh Branch	100%
39	39/2024/VIX/NQ-HĐQT	26/11/2024	Approval of the authorization to attend the Extraordinary General Meeting of Shareholders of EIB.	100%
40	40/2024/VIX/NQ-HĐQT	27/11/2024	Increase the credit limit at VietinBank - Thang Long Branch.	100%

41	41/2024/VIX/NQ-HĐQT	28/11/2024	Regarding the continued funding for the restoration and preservation of Kinh Mon Pagoda in Hai Duong province.	100%
42	42/2024/VIX/NQ-HĐQT	02/12/2024	Approval of the plan to purchase BSR share.	100%
43	43/2024/VIX/NQ-HĐQT	26/12/2024	Approval of the credit limit for the 2024-2025 period at Techcombank.	100%

Hanoi, January 22nd, 2025

CHAIRPERSON OF THE BOD



NGUYEN TUAN DUNG

APPENDIX II - LIST OF COMPANY'S RELEVANT PERSONS
(Attached to Annual Corporate Governance Report for 2024)

No.	Name	Securities trading account	Position with the company (if any)	ID/Passport/Business Registration Certificate No.	Date of issue	Place of issue	Address	Date of becoming relevant person	Date of termination of relevant person status	Reason for changing information	Relation with the Internal actors
1	2	3	4	5	6	7	8	9	10	11	12
1	Thai Hoang Long		Member of BoD							Resigned on 27/09/2024	
1.1	Bui Thi Hao										Mother
1.2	Thai Hoang Giang										Brother
1.3	Tran Thi Xuan Hang										Wife
1.4	Thai Hoang Khoi										Son
1.5	Thai Hoang Van Khanh										Daughter
1.6	Bui Ngoc Chat										Mother in Law
2	Nguyen Tuan Dung		Manager of BoDs, Corporate governance officer								



2.1	Dao Thi Tam Khanh										Wife
2.2	Nguyen Dao Tam Anh										Daughter
2.3	Nguyen Ngoc Hieu Anh										Son
2.4	Nguyen Ngoc Lam										Father
2.5	Vu Thi Xuan										Mother
2.6	Nguyen Thi Thu Thuy										Sister
2.7	Nguyen Tien Thanh										Brother
2.8	Nguyen Thanh Tien										Brother
2.9	Nguyen Thi Duc Hanh										Sister
2.1	Dao Tuan										Father in law
2.11	Vu Thi Nhung										Mother in law

2.12	Nguyen Trong Thanh										Brother in law
2.13	Nguyen Linh										Brother in law
2.12	Dao Thi Thu										Sister in law
2.15	Dao Thi Theu										Sister in law
3	Truong Ngoc Lan		Member of BOD -Chief Executive Officer								
3.1	Truong Moc Lam										Father
3.2	Tran Thi Hoa Cam										Mother
3.3	Truong Van Tuyen										Father in law
3.4	Huynh Thi Tu Han										Mother in law
3.5	Truong My Hanh										Wife
3.6	Truong Kim Long										Son

3.7	Truong Bao Chau										Daughter
3.8	Truong Thi Dieu Linh										Sister
3.9	Vu Dinh Thuyen										Brother in law
4	Cao Thi Hong		Member of BoD								
4.1	Le Thi Minh										Mother
4.2	Cao Thi Gam										Sister
4.3	Cao Long Bien										Brother
4.4	Cao Van Long										Brother
4.5	Le Gia Thien										Son
4.6	Le Gia Bao										Son
4.7	Le Bao Ngoc										Daughter



4.8	Vo Song Hy										Brother in law
4.9	Hoang Thanh Mai										Sister in law
4.10	To Nhat Ha										Sister in law
5	Tran Thi Hong Ha		Member of BoD								
5.1	Nguyen Huy										Husband
5.2	Nguyen Minh Thao										Daughter
5.3	Nguyen Hung										Son
5.4	Tran van Binh										Father
5.5	Pham Thi Loan										Mother
5.6	Tran Trung Hieu										Brother
5.7	Do Thi Thu Ha										Sister in law



5.8	Nguyen Huong										Father in law
5.9	Nguyen Thi Chuyen										Mother in law
6	Do Ngoc Dinh		Deputy CEO								
6.1	Nguyen Thuy Nga										Wife
6.2	Do Duc Anh										Son
6.3	Do Duc Hung										Son
6.4	Do Ngoc Doa										Father
6.5	Pham Thi Kich										Mother
6.6	Do Ngoc Dap										Brother
6.7	Nguyen Mau Luc										Father in law
6.8	Bui Thi Chao										Mother in law



6.9	Giang Thi Hao										Sister in law
7	Nguyen Thi Thu Hang		Chief Accountant								
7.1	Tran Khanh Hiep										Husband
7.2	Tran Khanh Van Nhi										Daughter
7.3	Tran Khanh Tue Nhi										Daughter
7.4	Tran Khanh Nam Phong										Son
7.5	Nguyen Van Dai										Father
7.6	Nguyen Thi Loan										Mother
7.7	Nguyen Van Long										Brother in law
7.8	Nguyen Thi Hai Lan										Sister
7.9	Nguyen Thi Thanh Nga										Sister
7.10	Le Manh Cuong										Brother in law
7.11	Nguyen Thi Ha										Mother in law

8	Du Van Toan		Secretary of BoD, Spokesman								
8.1	Vu Thi Lan Phuong										Wife
8.2	Du Tra My										Daughter
8.3	Du Vu Tuan Khang										Son
8.4	Du Thuy Dung										Daughter
8.5	Ngo Thanh Duong										Son in law
8.6	Du Thi Nhan										Sister
8.7	Du Van Nhan										Brother
8.8	Nguyen Thi He										Sister in law
8.9	Du Xuan Thuc										Brother
8.10	Du Quang Duc										Brother

8.11	Dinh Thi My										Sister in law
8.12	Du Thi Thuy										Sister
8.13	Tran Dinh Luong										Brother in law
8.14	Du Van Hoan										Brother
8.15	Dinh Thi Hoat										Sister in law
8.16	Vu Huu Chan										Father in law
8.17	Vu Thi Toat										Mother in law
9	Trinh Thi My Le		Head of Supervisory Board								
9.1	Nghiem Xuan Hoa										Husband
9.2	Nghiem Khanh Chi										Daughter
9.3	Nghiem Quang Minh										Son
9.4	Trinh Van Duong										Father

9.5	Nguyen Thi Ninh										Mother
9.6	Trinh Ngoc Manh										Brother
9.7	Nghiem Van Pha										Father in law
10	Nguyen Thi Duyen		Member of Supervisory Board								
10.1	Nguyen Ba Yen										Father
10.2	Nguyen Thi Nhan										Mother
10.3	Nguyen Ba Hien										Brother
10.4	Nguyen Ba Hoa										Brother
10.5	Nguyen Ngoc Le Minh										Son
10.6	Nguyen Ngoc Minh Ha										Daughter
10.7	Nguyen Van Huong										Father in law
10.8	Le Thi Loi										Mother in law



10.9	Le Thi Thom										Sister in law
11	Tran Hong Van		Member of Supervisory Board								
11.1	Nguyen Thi Phuc										Mother
11.2	Tran Bich Thuy										Sister
11.3	Tran Thanh Hieu										Brother
11.4	Ha Van Anh										Daughter
11.5	Ha Quynh Anh										Daughter
11.6	Ha Thi Quy										Mother in law
11.7	Ha Van Than										Husband
11.8	Dang Xuan Khoa										Brother in law
12	Vu Thi Ngoc Mai		Internal Auditor								
12.1	Du Thi Nhan										Mother



VIX SECURITIES
JOINT STOCK COMPANY

APPENDIX III- LIST OF INTERNAL ACTORS AND RELEVANT PERSONS
(Attached to Annual Corporate Governance Report for 2024)

No.	Name	Securities Trading Account	Position with company (if any)	ID/Passport/Business Registration Certificate No.	Date of issue	Place of issue	Address	Number of shares holding at the end of the period	Percentage of share holding at the end of the period (%)	Note
1	2	3	4	5	6	7	8	9	10	11
1	Thai Hoang Long		Member of BoD							Resigned on 27/09/2024
1.1	Bui Thi Hao									Mother
1.2	Thai Hoang Giang									Brother
1.3	Tran Thi Xuan Hang									Wife
1.4	Thai Hoang Khoi									Son
1.5	Thai Hoang Van Khanh									Daughter
1.6	Bui Ngoc Chat									Mother in Law
2	Nguyen Tuan Dung		Manager of BoDs, Corporate governance officer					593,954	0.040%	
2.1	Dao Thi Tam Khanh									Wife
2.2	Nguyen Dao Tam Anh									Daughter
2.3	Nguyen Ngoc Hieu Anh									Son
2.4	Nguyen Ngoc Lam									Father
2.5	Vu Thi Xuan									Mother
2.6	Nguyen Thi Thu Thuy									Sister
2.7	Nguyen Tien Thanh									Brother
2.8	Nguyen Thanh Tien									Brother
2.9	Nguyen Thi Duc Hanh									Sister
2.1	Dao Tuan									Father in law
2.11	Vu Thi Nhung									Mother in law

2.12	Nguyen Trong Thanh									Brother in law
2.13	Nguyen Linh									Brother in law
2.12	Dao Thi Thu									Sister in law
2.15	Dao Thi Theu									Sister in law
3	Truong Ngoc Lan		Member of BOD - Chief Executive Officer					500,000	0.030%	
3.1	Truong Moc Lam									Father
3.2	Tran Thi Hoa Cam									Mother
3.3	Truong Van Tuyen									Father in law
3.4	Huynh Thi Tu Han									Mother in law
3.5	Truong My Hanh									Wife
3.6	Truong Kim Long									Son
3.7	Truong Bao Chau									Daughter
3.8	Truong Thi Dieu Linh									Sister
3.9	Vu Dinh Thuyen									Brother in law
4	Cao Thi Hong		Member of BoD					100,000	0.007%	
4.1	Le Thi Minh									Mother
4.2	Cao Thi Gam									Sister
4.3	Cao Long Bien									Brother
4.4	Cao Van Long									Brother
4.5	Le Gia Thien									Son
4.6	Le Gia Bao									Son
4.7	Le Bao Ngoc									Daughter
4.8	Vo Song Hy									Brother in law
4.9	Hoang Thanh Mai									Sister in law
4.10	To Nhat Ha									Sister in law
5	Tran Thi Hong Ha		Member of BoD					860,707	0.060%	
5.1	Nguyen Huy									Husband
5.2	Nguyen Minh Thao									Daughter

5.3	Nguyen Hung									Son
5.4	Tran van Binh									Father
5.5	Pham Thi Loan									Mother
5.6	Tran Trung Hieu									Brother
5.7	Do Thi Thu Ha									Sister in law
5.8	Nguyen Huong									Father in law
5.9	Nguyen Thi Chuyen									Mother in law
6	Do Ngoc Dinh		Deputy CEO					505,320	0.030%	
6.1	Nguyen Thuy Nga									Wife
6.2	Do Duc Anh									Son
6.3	Do Duc Hung									Son
6.4	Do Ngoc Doa									Father
6.5	Pham Thi Kich									Mother
6.6	Do Ngoc Dap									Brother
6.7	Nguyen Mau Luc									Father in law
6.8	Bui Thi Chao									Mother in law
6.9	Giang Thi Hao									Sister in law
7	Nguyen Thi Thu Hang		Chief Accountant					2,180,000	0.15%	
7.1	Tran Khanh Hiep									Husband
7.2	Tran Khanh Van Nhi									Daughter
7.3	Tran Khanh Tue Nhi									Daughter
7.4	Tran Khanh Nam Phone									Son
7.5	Nguyen Van Dai									Father
7.6	Nguyen Thi Loan									Mother
7.7	Nguyen Van Long									Brother in law
7.8	Nguyen Thi Hai Lan									Sister
7.9	Nguyen Thi Thanh Nga									Sister
7.10	Le Manh Cuong									Brother in law





7.11	Nguyen Thi Ha									Mother in law
8	Du Van Toan		Secretary of BoD, Person authorized to disclose information							
8.1	Vu Thi Lan Phuong									Wife
8.2	Du Tra My									Daughter
8.3	Du Vu Tuan Khang									Son
8.4	Du Thuy Dung									Daughter
8.5	Ngo Thanh Duong									Son in law
8.6	Du Thi Nhan									Sister
8.7	Du Van Nhan									Brother
8.8	Nguyen Thi He									Sister in law
8.9	Du Xuan Thuc									Brother
8.10	Du Quang Duc									Brother
8.11	Dinh Thi My									Sister in law
8.12	Du Thi Thuy									Sister
8.13	Tran Dinh Luong									Brother in law
8.14	Du Van Hoan									Brother
8.15	Dinh Thi Hoat									Sister in law
8.16	Vu Huu Chan									Father in law
8.17	Vu Thi Toat									Mother in law
9	Trinh Thi My Le		Head of Supervisory Board							
9.1	Nghiem Xuan Hoa									Husband
9.2	Nghiem Khanh Chi									Daughter
9.3	Nghiem Quang Minh									Son
9.4	Trinh Van Duong									Father
9.5	Nguyen Thi Ninh									Mother
9.6	Trinh Ngoc Manh									Brother



9.7	Nghiem Van Pha									Father in law
10	Nguyen Thi Duyen		Member of Supervisory Board							
10.1	Nguyen Ba Yen									Father
10.2	Nguyen Thi Nhan									Mother
10.3	Nguyen Ba Hien									Brother
10.4	Nguyen Ba Hoa									Brother
10.5	Nguyen Ngoc Le Minh									Son
10.6	Nguyen Ngoc Minh Ha									Daughter
10.7	Nguyen Van Huong									Father in law
10.8	Le Thi Loi									Mother in law
10.9	Le Thi Thom									Sister in law
11	Tran Hong Van		Member of Supervisory Board							
11.1	Nguyen Thi Phuc									Mother
11.2	Tran Bich Thuy							14,672	0.001%	Sister
11.3	Tran Thanh Hieu									Brother
11.4	Ha Van Anh									Daughter
11.5	Ha Quynh Anh									Daughter
11.6	Ha Thi Quy									Mother in law
11.7	Ha Van Than									Husband
11.8	Dang Xuan Khoa									Brother in law
12	Vu Thi Ngoc Mai		Internal Auditor					50,000	0.003%	
12.1	Du Thi Nhan									Mother
12.2	Vu Van Phong									Brother
12.3	Quach Thi Tuyen									Sister in law
12.4	Vu Thi Huong									Sister
12.5	Vu Van Hung									Brother

12.6	Hoang Thi Van									Sister in law
12.7	Vu Van Hoa									Brother
12.8	Dinh Thi Hong Tam									Sister in law

Hà Nội, January, 22nd 2025
IN CHARGE OF THE BOD ✓


NGUYEN TUAN DUNG

