

REPORT ON CORPORATE GOVERNANCE

(Year 2024)

- To:**
- State Securities Commission;
 - Ho Chi Minh Securities Stock Exchange.

- Name of company : NAM BAY BAY INVESTMENT CORPORATION
- Address of head office : CII TOWER, 152 Dien Bien Phu, Ward 25, Binh Thanh District, Ho Chi Minh City
- Telephone : 028.62577577 Fax: 028.62577755 Email: nbb@nbb.com.vn
- Charter Capital : 1.004.756. 560.000 VNĐ
- Stock symbol : NBB
- Governance Model : Shareholders, Board of Directors, General Director
- The implementation of internal audit: Implemented

I. ACTIVITIES OF GENERAL MEETING OF SHAREHOLDERS:

During 2024, The Annual General Meeting of Shareholders for the fiscal year 2023 held on 24/04/2024 and The First Extraordinary General Meeting of Shareholders 2024 held on 11/12/2024 approved the Resolution with the following contents:

No.	Resolution/Decision No.	Date	Content
1	48/NQ-ĐHĐCĐ	24/04/2024	<ol style="list-style-type: none">Approval of the Board of Directors' report on business operations in 2023 and business plan for 2024.Approval of the Board of Supervisors's report on the Company's operations in 2023.Approval of the Corporate Governance report for 2023.Approval of the audited financial statements and distribution of after-tax profit for 2023 according to audit results.Approval of the total remuneration, bonuses, and other benefits for the Board of Directors and Board of Supervisors in 2023.Approval of business targets for 2024, profit utilization plan, and remuneration for the Board of Directors and Board of Supervisors in 2024.Chose International Auditing Company Limited (iCPA) as the auditor for the fiscal year 2024.Approval of increasing the number of Legal Representatives Nam Bay Bay Investment Corporation from 01 to 02 person(s)Approval of the total investment capital for De LaGi Luxury Resort and Residential Area Project.Approval for Ho Chi Minh City Infrastructure Investment Joint Stock Company to purchase shares of Nam Bay Bay Investment Corporation from CII Engineering and Construction Joint Stock Company without conducting mandatory public offering procedures.Approval of allocating 3 billion VND from the Company's profit to supplement the bonus and welfare fund.
2	89/NQ-ĐHĐCĐ	11/12/2024	Approval of the policy to approve the total investment of the NBB Garden III Residential Area Project.
	90/NQ-ĐHĐCĐ		Approval for CII Trading and Investment One Member Limited Liability Company to purchase shares of Nam Bay Bay Investment Corporation without conducting mandatory public offering procedures.

No.	Resolution/Decision No.	Date	Content
	91/NQ-ĐHĐCĐ		Approval of the policy to allow CII Trading and Investment One Member Limited Liability Company to receive investments and other agreements from Ho Chi Minh City Infrastructure Investment Joint Stock Company.
	92/NQ-ĐHĐCĐ		Approval of reducing the number of Legal Representatives of Nam Bay Bay Investment Corporation from 02 (two) persons to 01 (one) person.

II. BOARD OF DIRECTORS (Report of 2024)

1. Information about the members in Board of Directors:

No.	Member of Board of Directors	Position	Date of appointment/dismissal as member of Board of Directors/ Independent member of Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Luu Hai Ca	Chairman of the Board of Directors – Non-executive Board Member	12/05/2020	
2	Mr. Le Quoc Binh	Standing Vice Chairman of the Board of Directors – Non-executive Board Member	30/07/2020	
3	Ms. Nguyen Quynh Huong	Non-executive Board Member	12/05/2020	
4	Mr. Nguyen Van Chinh	Independent Board Member	12/05/2020	
5	Mr. Pham Thanh Vu	Independent Board Member	14/12/2021	
6	Mr. Nguyen Ba Lan	Board Member and General Director	14/12/2021	

2. Meetings of the Board of Directors:

No.	Member of Board of Directors	Number of meetings attended by board members (In-person meetings and written opinions)	Attendance Rate	Reasons for absence
1	Mr. Luu Hai Ca	20/20	100%	
2	Mr. Le Quoc Binh	20/20	100%	
3	Ms. Nguyen Quynh Huong	20/20	100%	
4	Mr. Nguyen Van Chinh	20/20	100%	
5	Mr. Nguyen Ba Lan	20/20	100%	
6	Mr. Pham Thanh Vu	20/20	100%	

3. Monitoring activities of Board of Directors to Board of Management:

The Board of Directors's supervision to the Board of Management is organized frequently and deeply through direct management of the Chairman of Board of Directors and others Member of the Board of Directors:

- Direct the Board of Management and related departments to organize The Annual General Meeting of Shareholders for the fiscal year 2023 held on 24/04/2024 and the first Extraordinary General Meeting of Shareholders of 2024 on 11/12/2024.
- Hold meetings to discuss, unify policies belonged to responsibilities of the Board of Directors, direct the Board of Management and others related departments in executing policies followed right regulations of the charter and provisions of law.

4. Activities of Board Committees belonged to Board of Directors (if any): No

5. Resolutions/ Decisions of the Board of Directors (Report of 2024):

No.	Resolution/ Decision No.	Date	Content	Approval Rate
1	04/NQ-HĐQT	18/01/2024	Resolution on pledging/ mortgaging assets of Nam Bay Bay Investment Corporation to secure loan at Vietnam Joint Stock Commercial Bank for Industry and Trade – Branch 11	3/3
2	08/NQ-HĐQT	05/02/2024	Resolution on approval of investment cooperation for the De Lagi Luxury Resort and Residential Area project with Ho Chi Minh City Infrastructure Investment Joint Stock Company – Phase 1	3/3
3	12/NQ-HĐQT	05/02/2024	Resolution on approval of investment cooperation for the De Lagi Luxury Resort and Residential Area project with Ho Chi Minh City Infrastructure Investment Joint Stock Company – Phase 2	3/3
4	16/NQ-HĐQT	05/02/2024	Resolution on approval of investment cooperation for the Son Tinh – Quang Ngai Residential Area project with Ho Chi Minh City Infrastructure Investment Joint Stock Company	3/3
5	20/NQ-HĐQT	05/03/2024	Resolution on approval of convening the Annual General Meeting of Shareholders for the fiscal year 2023	6/6
6	23/NQ-HĐQT	20/03/2024	Resolution on approving Khu Bac Thu Thiem One Member Company Limited to receive the transfer of the investment cooperation contract from Ho Chi Minh City Infrastructure Investment Joint Stock Company	3/3
7	24/NQ-HĐQT	03/04/2024	Resolution on approval of contents to be submitted to the Annual General Meeting of Shareholders for fiscal year 2023	6/6
8	28/NQ-HĐQT	25/03/2024	Resolution on approval of pledging/ mortgaging assets for loan at Ho Chi Minh City Development Joint Stock Commercial Bank	3/3
9	32/NQ-HĐQT	26/03/2024	Resolution on approval of the policy of business cooperation with Khu Bac Thu Thiem One Member Company Limited	3/3
10	36//NQ-HĐQT	11/04/2024	Decision on dismissal of Mr. Tran Cong Hien as the Head of the branch of Nam Bay Bay Investment Corporation in Quang Ngai	6/6
11	37/NQ-HĐQT	11/04/2024	Decision on appointing of Mr. Nguyen Ba Lan as the Head of the branch of Nam Bay Bay Investment Corporation in Quang Ngai	5/5
12	41/NQ-HĐQT	11/04/2024	Resolution on the policy of transferring capital contribution at NBB Quang Ngai One Member Company Limited	6/6
13	45/NQ-HĐQT	11/04/2024	Decision on dismissal of Mr. Tran Cong Hien as the branch director of Nam Bay Bay Investment Corporation in Quang Ngai	6/6
14	52/QĐ-HĐQT	14/07/2024	Decision on appointing of Mr. Truong Le Duy as the Deputy General Director at Nam Bay Bay Investment Corporation	6/6
15	57/NQ-HĐQT	26/07/2024	Resolution on opinions regarding public offering of Nam Bay Bay Investment Corporation's shares by Ho Chi Minh City Infrastructure Investment Joint Stock Company	3/3
16	61/NQ-HĐQT	15/08/2024	Resolution on approval of convening the First Extraordinary General Meeting of Shareholders in 2024	6/6
17	65/NQ-HĐQT	24/09/2024	Resolution on changes of convening the First Extraordinary General Meeting of Shareholders in 2024	6/6
18	69/NQ-HĐQT	15/11/2024	Resolution on approval of the policy for CII Trading and Investment One Member Limited Liability Company to receive the transfer of investments and other agreements from Ho Chi Minh City Infrastructure Investment Joint Stock Company.	3/3
19	73/NQ-HĐQT	20/11/2024	Resolution on approval of contents to be submitted to the First Extraordinary General Meeting of Shareholders in 2024	6/6
20	79/NQ-HĐQT	06/12/2024	Resolution on approval of the policy of finding partners to transfer profit-sharing rights of project in Ward 16, District 8, Ho Chi Minh City	6/6

21	83/NQ-HĐQT	06/12/2024	Resolution on approval of loans and mortgages at Ho Chi Minh City Development Joint Stock Commercial Bank	6/6
22	87/NQ-HĐQT	09/12/2024	Resolution on approving the application for amendment of Investment Certificate for the De LaGi Luxury Resort and Residential Area Project	6/6

III. BOARD OF SUPERVISORS (Report of 2024)

1. Information about members in Board of Supervisors:

No.	Members of Board of Supervisors	Position	Date of appointment/ dismissal as member of Board of Supervisors	
			Date of appointment	Date of dismissal
1	Ms. Duong Quynh Diep	Head of Board of Supervisors	12/05/2020	
2	Mr. Le Trung Hieu	Member of Board of Supervisors	12/05/2020	
3	Ms. Le Thi Kieu Diem	Member of Board of Supervisors	12/05/2020	

2. Meetings of the Board of Supervisors:

No.	Member of Board of Supervisors	Attended Meetings	Attendance Rate	Voting rate	Reasons for absence
1	Ms. Duong Quynh Diep	2/2	100%	3/3	
2	Mr. Le Trung Hieu	2/2	100%	3/3	
3	Ms. Le Thi Kieu Diem	2/2	100%	3/3	

3. Supervision of the Board of Supervisors to Board of Directors, Board of Management and shareholders:

Board of Supervisors has implemented following tasks:

- Consider the transparency of information publish process to ensure rights of investors.
- Propose, contribute opinions to the Board of Directors, Board of Management to ensure the sustainable development of the Company as well as protect the rights and profits of shareholders.

4. Coordination of activities between the Board of Supervisors and Board of Directors, Board of Management and other managers:

During 2024, representatives of the Board of Supervisors fully participated in meetings of the Board of Directors to contribute opinions on the policies and business activities of the company.

5. Others activity of the Board of Supervisors (If any): No

IV. BOARD OF MANAGEMENT (Report of 2024):

No.	Member of Board of Management	Date of birth	Qualifications	Date of appointment/dismissal as members of Board of Management
1	Mr. Nguyen Ba Lan – General Director	15/11/1981	Master of Civil Engineering	Appointed on 27/09/2021

2	Mr. Nguyen Quy Binh – Deputy General Director	05/02/1976	Bachelor of Laws	Appointed on 06/01/2020
3	Mr. Nguyen Van Ty - Deputy General Director	11/11/1985	Bridge and Road Construction Engineer	Appointed on 09/05/2023
4	Mr. Truong Le Duy - Deputy General Director	11/12/1993	Master's degree	Appointed on 14/07/2024

V. CHIEF ACCOUNTANT (Report of 2024):

Name	Date of birth	Qualification	Date of appointment/dismissal
Mr. Nguyen Van Minh	25/02/1988	Bachelor of Economics	Appointed on 12/05/2020

VI. TRAINING ON CORPORATE GOVERNANCE:

During 2024, members in the Board of Directors, the Board of Supervisors, the General Director, other managers and the Company secretary have not attended corporate governance training courses.

VII. LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY (REPORT OF 2024) AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY:

1. List of affiliated persons of the Company: Appendix I

2. Transactions between the company and affiliated persons of the company; or between the company and major shareholders, internal persons, and affiliated persons of internal persons:

No	Name of organization/ Individual	Relationship with the Company	NSH* Certificate No., Date of issue, Place of issue	Head office address/Address	Time of transaction with the Company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors ... (if any, specify the date of issue)	Content, Quantity, Total transaction value	Note
1	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)	Major shareholder	0302483177 Date of issue 12/24/2001 Place of issue: Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	10/01/2024	Board of Directors Resolution No. 12/NQ-HĐQT dated February 5th, 2024	Business Cooperation Contract Total transaction value: 625 billion VND	
2	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)	Major shareholder	0302483177 Date of issue 12/24/2001 Place of issue: Ho Chi Minh City	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	20/03/2024	Board of Directors Resolution No. 08/NQ-HĐQT dated February 5th, 2024	Business Cooperation Contract Total transaction value: 1.000	

No	Name of organization/ Individual	Relationship with the Company	NSH* Certificate No., Date of issue, Place of issue	Head office address/Address	Time of transaction with the Company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors ... (if any, specify the date of issue)	Content, Quantity, Total transaction value	Note
			Department of Planning and Investment				billion VND	
3	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)	Major shareholder	0302483177 Date of issue: 12/24/2001 Place of issue: Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	20/03/2024	Board of Directors Resolution No. 16/NQ-HĐQT dated February 5th, 2024	Business Cooperation Contract Total transaction value: 250 billion VND	
4	Khu Bac Thu Thiem One Member Company Limited (KBTT)	Subsidiary of major shareholder	0313596380 Date of issue: 12/31/2015 Place of issue: Ho Chi Minh City Department of Planning and Investment	11th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	27/03/2024	Board of Directors Resolution No. 32/NQ-HĐQT dated March 26th, 2024	Business Cooperation Contract Total transaction value: 1.500 billion VND	
5	CII Trading and Investment One Member Limited Liability Company	Subsidiary of major shareholder	0312919832 Date of issue: 09/09/2014 Place of issue: Department of Planning and Investment of Ho Chi Minh City	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	12/12/2024	Resolution of the General Meeting of Shareholders No. 91/NQ-DHĐCD dated December 11th, 2024	Receive transfer of cooperation, investment cooperation contracts, business cooperation contracts Total transaction value: 1.490	

No	Name of organization/ Individual	Relationship with the Company	NSH* Certificate No., Date of issue, Place of issue	Head office address/Address	Time of transaction with the Company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors ... (if any, specify the date of issue)	Content, Quantity, Total transaction value	Note
							billion VND	

3. Transactions between company internal persons, affiliated persons of internal persons and subsidiaries, companies controlled by the listed company: None

4. Transactions between the company and other entities:

4.1 Transactions between the company and companies in which members of the Board of Directors, members of the Board of Supervisors, General Director and other managers have been and are founding members or members of the Board of Directors, General Director within the past three (03) years (calculated at the time of reporting):

No.	Stakeholders	Transaction content	Relationship
1	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)	Business cooperation contract	Mr. Le Quoc Binh – Standing Vice Chairman of the Board of Directors of NBB Company and General Director of CII Company. Ms. Nguyen Quynh Huong - Non-executive member of the Board of Directors of NBB Company and Deputy General Director of CII Company. Mr. Luu Hai Ca - Chairman of the Board of Directors of NBB Company and member of the Board of Directors of CII Company.
2	Khu Bac Thu Thiem One Member Company Limited (KBTT)	Business cooperation contract	Mr. Le Quoc Binh - Standing Vice Chairman of the Board of Directors of NBB Company and Chairman of the Member Council of KBTT Company.
3	CII Trading and Investment One Member Limited Liability Company (CII Invest)	Receive transfer of cooperation, investment cooperation contracts, business cooperation contracts	Mr. Truong Le Duy - Deputy General Director of NBB Company and General Director of CII Invest Company.

4.2 Transactions between the company and companies in which affiliated persons of members of the Board of Directors, members of the Board of Supervisors, and General Director are members of the Board of Directors or General Director: None.

4.3 Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, and General Director: None.

VIII. LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY (ANNUAL REPORT) AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY:

1. List of internal persons and affiliated persons of internal persons: Appendix II

2. Transactions of internal person and affiliated persons on shares of listed companies:

No.	Implementor	Relationship with the internal person	Number of shares owned at the beginning of the period	Beginning share ownership ratio (%)	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Reasons for increase, decrease (buy, sell, convert, reward...)
1	Luu Hai Ca	Chairman of Board of Directors	64.000	0,06%	0	0,00%	Sell stocks

(Note: Number of outstanding shares: 100.159.795 shares (according to the list of closing date of last registration 31/12/2024 of Vietnam Securities Depository and Clearing Corporation, Ho Chi Minh City Branch)

IX. OTHER ISSUES TO NOTE: (None)

Recipients:

- As above;
- Archived to the Board of Directors.

On behalf of BOARD OF DIRECTORS
CHAIRMAN OF BOARD OF DIRECTORS



Luu Hai Ca

Appendix I

List of affiliated persons of the Company

No.	Name of organization/ Individual	Securities trading account (If any)	Position at the Company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person/ internal person	Time of ending to be affiliated person/ internal person	Reasons (when changes arise related to item 13 and item 14)	Relationship with the internal person
1	2	3	4	5	6	7	8	9	10	11	12
1	Luu Hai Ca		Chairman of Board of Director					12/05/2020		Elected Board Member for Term IV	
2	Le Quoc Binh		Standing Vice Chairman of the Board of Director					30/07/2020		Elected Board Member for Term IV	
3	Nguyen Quynh Huong		Non- excutive Board Member					12/05/2020		Elected Board Member for Term IV	
4	Nguyen Ba Lan		Board Member and CEO					Appointed as CEO on September 27, 2021 and elected as a member of the Board of Directors on December 14, 2021		Elected Board Member for Term IV	
5	Nguyen Van Chinh		Independent Board Member					12/05/2020		Elected Board Member for Term IV	
6	Pham Thanh Vu		Independent Board Member					14/12/2021		Elected Board Member for Term IV	

No.	Name of organization/ Individual	Securities trading account (If any)	Position at the Company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person/ internal person	Time of ending to be affiliated person/ internal person	Reasons (when changes arise related to item 13 and item 14)	Relationship with the internal person
1	2	3	4	5	6	7	8	9	10	11	12
7	Duong Quynh Diep		Head of Board of Supervisors					12/05/2020		Member of Board of Supervisors elected for term IV	
8	Le Trung Hieu		Member of Board of Supervisors					12/05/2020		Member of Board of Supervisors elected for term IV	
9	Le Thi Kieu Diem		Member of Board of Supervisors					12/05/2020		Member of Board of Supervisors elected for term IV	
10	Nguyen Quy Binh		Deputy General Director					06/01/2020		Appointed on 06/01/2020	Member of Executive Board
11	Nguyen Van Ty		Deputy General Director					09/05/2023		Appointed on 09/05/2023	Member of Executive Board
12	Truong Le Duy		Deputy General Director					14/07/2024		Appointed on 14/07/2024	Member of Executive Board
13	Nguyen Van Minh		Chief Accountant					12/05/2020		Appointed on 12/05/2020	Member of Executive Board
14	Pham Phuc Loc		Company Secretary (Corporate Governance Officer)					08/06/2022		Appointed on 08/06/2022	

No.	Name of organization/ Individual	Securities trading account (If any)	Position at the Company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person/ internal person	Time of ending to be affiliated person/ internal person	Reasons (when changes arise related to item 13 and item 14)	Relationship with the internal person
1	2	3	4	5	6	7	8	9	10	11	12
15	Do Xuan Chinh		Person in charge of Internal Audit Department					08/06/2022		Appointed on 08/06/2022	
16	Hung Thanh Construction - Trading - Service - Production Company Limited			0302232310	01/03/2001	Department of Planning and Investment of Ho Chi Minh City	City Gate Towers Office Building, 15 Vo Van Kiet, Ward 16, District 8, HCMC	29/06/2007			Subsidiary
17	NBB Quang Ngai Company Limited			4300319588	30/07/2003	Planning and Investment of Quang Ngai Province	Lot C1-3, Tinh Phong Industrial Park, Tinh Phong Commune, Son Tinh District, Quang Ngai Province	07/07/2023			Subsidiary
18	Ho Chi Minh City Infrastructure Investment Joint Stock Company			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	25/09/2014			Major shareholders holding more than 10% of shares
19	Thep My Service and Trading Company Limited			0313434686	10/09/2015	Long An Department of Planning and Investment	Hamlet 4, Long Dinh Commune, Can Duoc District, Long An Province	22/01/2024			Major shareholders holding more than 10% of shares
20	CII Trading and Investment One Member Limited Liability Company			0312919832	09/09/2014	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	05/11/2024			Major shareholders holding more than 10% of shares

Appendix II

List of internal persons and affiliated persons of internal persons

No.	1	2	3	4	5	6	7	8	9	10
	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
	Luu Hai Ca		Chairman of the Board of Director							
	Nguyen Thi Huynh Suong									
	Nguyen Thi Tien									
	Luu Thi Hoi									
	Luu Van Cam									
	Luu Cung Thi									
										Sibling
										Sibling
										Sibling
										Mother
										Wife

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Luu Trong Vu									Sibling
	Tran Thong									Brother in law
	Do Thi Nguyet									Sister in law
	Nguyen Thi Xuan									Sister in law
	Nguyen Tan Thanh									Father in law
	Luu Nguyen Bao Thu									Daughter
	Luu Nguyen Bao Tran									Daughter
	Luu Nguyen Bao Chau									Daughter
	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	45.974.332	45,76%	Member of Board of Director

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	CII Engineering and Construction Joint Stock Company (CII E&C)			0304200346	19/01/2006	Ho Chi Minh City Department of Planning and Investment	CII Tower, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC			Chairman of Board of Director
2	Le Quoc Binh		Standing Vice Chairman of the Board of Director							
	Le Thi Lien									Mother

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Pham Thi Thuy Hang									Wife
	Le Pham Quoc Cuong									Son
	Le Pham Quoc Thanh									Son
	Huynh Linh Dan									Daughter in law
	Le Quang Phuc									Sibling
	Le Thi Phuong Thao									Sibling
	Le Minh Tri									Sibling

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Le Minh Chau									Sibling
	Truong Dinh Tam									Brother in law
	Chau Thi Ngoc Huong									Sister in law
	Than Thi Thu Trang									Sister in law
	Ho Thi To Anh									Sister in law
	Le Thi Le Huyen									Sister in law
	Ho Chi Minh City Infrastructure Investment Joint			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	45.974.332	45,76%	Member of Board of Director and

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Stock Company (CII)									General Director
	CII Bridges and Roads Investment Joint Stock Company			0300482241	08/03/2000	Ho Chi Minh City Department of Planning and Investment	477-479 An Duong Vuong, Ward 11, District 6, HCMC			Member of Board of Director
	Khu Bac Thu Thiem One Member Company Limited (KBTT)			0313596380	31/12/2015	Ho Chi Minh City Department of Planning and Investment	11th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC			Chairman of Member Council
3	Nguyen Quynh Huong		Non-executive Board Member					19.200	0,02%	
	Nguyen Huy Tam									Father

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Do Thi Khanh Hoa									Mother
	Nguyen Huy Tung									Brother
	To Yen Ngoc									Sister in law
	Nguyen Phu Dong									Son
	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	45.974.332	45,76%	Deputy General Director

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
4	Nguyen Ba Lan		Member of the Board of Director and General Director							
	Nguyen Trung									Father
	Pham Thi Thao Hien									Wife
	Nguyen Ba Linh									Sibling
	Nguyen Ba Long									Sibling
	Nguyen Thi Phuong Uyen									Sibling

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Thi Phuong Uyen									Sibling
	Nguyen Hai Bao Quoc									Brother in law
	Vo Quoc Thuan									Brother in law
	Vo Thi Loan									Sister in law
	Le Trong Anh Thu									Sister in law
5	Nguyen Van Chinh		Independent Board Member							
	Nguyen Van Van									Father

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Tran Thi Phit									Mother
	Huynh Thi Nhu Pham									Wife
	Nguyen Tien Khang									Son
	Nguyen Tien Thinh									Son
	Nguyen Van Dang									Sibling
	Nguyen Thi Hien									Sibling
	Vo Thi Xuan Thu									Sister in law
	Tran Huu Cong									Brother in law
	Huynh Chung									Father in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Tran Thi Hong									Mother in law
	Huynh Thanh Vy									Brother in law
	Huynh Thanh Hoai									Brother in law
	Huynh Thanh Nhan									Brother in law
	Huynh Thi Nhu Hoa									Sister in law
	Huynh Thi Nhu Quyen									Sister in law
	Sai Gon Water Infrastructure Corporation			0303476454	27/08/2004	Ho Chi Minh City Department of Planning and Investment	477-479 An Duong Vuong, Ward 11, District 6, HCMC			Member of Board of Supervisors
	CII Engineering and Construction Joint Stock Company (CII E&C)			0304200346	19/01/2006	Ho Chi Minh City Department of Planning and Investment	CII TOWER Building, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC			Member of Board of Supervisors

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	CII Bridges and Roads Investment Joint Stock Company			0300482241	08/03/2000	Ho Chi Minh City Department of Planning and Investment	477-479 An Duong Vuong, Ward 11, District 6, HCMC			Member of Board of Director and General Director
6	Pham Thanh Vu		Independent Board Member							
	Phung The Ngoc									Wife
	Pham Mai Anh									Son
	Pham Quoc Anh									Father

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Ho Thi Dung									Mother
	Pham An Diep									Sibling
	Nguyen Tien Cang									Brother in law
	Pham Quynh Trang									Sister
	Ngo Cao Cuong									Brother in law
	Phung Tu Cuong									Father in law
	Kieu Thi Hong									Mother in law
	Phung The Bao									Brother in law
	Binh Duong Water – Environment Corporation –			3700145694	07/02/2006	Department of Planning and Investment of Binh Duong Province	No. 11, Ngo Van Tri, Phu Loi Ward, Thu Dau Mot City, Binh Duong Province			Member of Board of Director

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Joint Stock Company									
7	Duong Quynh Diep		Head of Board of Supervisors							
	Duong Van Nhan									Father
	Nguyen Thi Lam									Mother
	Nguyen Danh Cong									Husband
	Duong Quynh Mai									Sibling
	Duong Tung Son									Sibling
	Nguyen Thanh Thuy									Mother in law
	Nguyen Danh Thanh									Father in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Quynh Chi									Daughter
	Nguyen Quynh Thu									Daughter
	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	45.974.332	45,76%	Deputy Director of Capital Management
	Mekong – Mỹ Tho Mekong – My Tho Tourism Joint Stock Company			1200655742	16/05/2007	Department of Planning and Investment of Tien Giang Province	No. 01A, Tet Mau Than, Ward 4, My Tho City, Tien Giang Province			Member of Board of Director

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
8	Le Trung Hieu		Member of Board of Supervisors							
	Nguyen Hoang Bich Ngoc									Wife
	Le Huy Chuc									Father
	Pham Thi Ai									Mother
	Le Thanh Trung									Sibling
	Nguyen Hoai Anh Dao									Sister in law
	Le Nguyen Khanh Linh									Daughter
	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)			0302483177	24/12/2001	Department of Planning and Investment of Ho Chi Minh City	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	45.974.332	45,76%	Director of Capital Management

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
9	Le Thi Kieu Diem		Member of Board of Supervisors							
	Le Thanh Danh									Father
	Huynh Thi Con									Mother
	Le Thi Thu Hoa									Sibling
	Le Thi Thu Nhi									Sibling
	Le Huynh Duy									Sibling
	Le Bao Thach									Husband
	Le Quoc Bao									Brother in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
10	Nguyen Quy Binh		Deputy General Director							
	Nguyen Van Anh									Father
	Nguyen Thi Phuong									Mother
	Nguyen Viet Chu									Sibling
	Nguyen Thi Nhung									Sister in law
	Nguyen Mac Thuy									Sibling
	Thai Van Lau									Brother in law
	Nguyen Mac Van									Sibling
	Le Binh									Brother in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Quoc Dinh									Sibling
	Pham Thi Diep Anh									Sister in law
	Nguyen Thi Thanh Thuy									Wife
	Nguyen Ha Quyen									Daughter
	Nguyen Nam Quang									Son
11	Nguyen Van Ty		Deputy General Director							
	Dieu Van Anh									Wife
	Nguyen Minh Hang									Daughter

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Van Em									Father
	Duong Thi Sau									Mother
	Nguyen Van Thanh									Sibling
	Nguyen Thi Hanh									Sibling
	Nguyen Van Phuc									Sibling
	Trinh Thi Can									Mother in law
	Dieu Thi Thu Van									Sister in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Dieu Thi Thu Ha									Sister in law
	CII Engineering and Construction Joint Stock Company (CII E&C)			0304200346	19/01/2006	Department of Planning and Investment of Ho Chi Minh City	CII TOWER Building, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC			Member of Board of Director
12	Truong Le Duy		Deputy General Director							
	Huynh Thi Thuy Na									Wife

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Truong Dinh Tam									Father
	Le Thi Phuong Thao									Mother
	Truong Le Duong Cam									Sibling
	Truong An Chi									Daughter
	Truong An Di									Daughter
	Huynh Van No									Father in law
	CII Trading and Investment One Member Limited Liability Company			0312919832	09/09/2014	Department of Planning and Investment of Ho Chi Minh City	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	17.176.736	17,10%	General Director
13	Nguyen Van Minh		Chief Accountant							

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Khac Hai									Father
	Mai Thi Xinh			038158015522	02/07/2021	The Police Department on Residence Management and National Data on Population				Mother
	Le Thi Kim Tien									Wife
	Nguyen Thi Mai Thao									Sibling
	Nguyen Van Linh									Sibling
	Nguyen Le Bao Tram									Daughter
	Nguyen Le Bao Vy									Daughter
14	Pham Phuc Loc		Company Secretary							
	Pham Tan An									Father

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Thi Thanh Thuy									Mother
	Truong Quang Toan									Father in law
	Nguyen Thi Sinh									Mother in law
	Truong Thi Thu Huyen									Wife
	Pham Phuc Toan									Sibling
	Truong Thi Hai Hau									Sister in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Truong Thi Duyen									Sister in law
	Truong Dai Loc									Brother in law
	Pham Minh Anh									Daughter
15	Do Xuan Chinh		Person in charge of Internal Audit Department							
	Ngo Thi Dao									Wife
	Do Quoc Ai									Sibling
	Do Thi Duc									Sibling

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1	2	3		4	5	6	7	8	9	10
	Vu Thi Nhan									Sister in law
	Nguyen Thi Hop									Sister in law
	Do Van Chinh									Sibling
	Do Xuan Chinh									Sibling
	Vu Thi Thanh My									Sister in law
	La Thi Phuong									Sister in law
	Do Xuan Truong									Son

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1	2	3		4	5	6	7	8	9	10
	Do Thi My Linh									Daughter
	Nghiem Thi Anh									Daughter in law