

Code	DAG
Company name	Dong A Plastic Group Joint Stock Company
Date	10/04/2024
Subject	Handing violations for DAG shares

Content:

On October 04, 2024, the Hochiminh Stock Exchange issued Announcement to handle violations for DAG shares of Dong A Plastic Group Joint Stock Company as follows:

1. For supervision status: The stock changed from warning to supervision status as of October 11, 2024 according to Decision No.566/QĐ-SGDHCM dated October 04, 2024 by the Hochiminh Stock Exchange because the listed firm delayed the submission of the 2024 reviewed semi-annual financial statements by more than 30 days compared to the specified time. This is the case of securities being warned under Point g, Clause 1, Article 38 of the Rules of listing and trading of listed securities promulgated with Decision No.17/QĐ-HDTV dated 03/31/2022 by the Members' Council of the Vietnam Stock Exchange.
2. For trading suspension status: The stock continues to put into trading suspension status according to Decision No.484/QĐ-SGDHCM dated August 08, 2024 by the Hochiminh Stock Exchange because the Company continued to violate information disclosure regulations after putting into trading restriction status.
3. For supervision status: The stock continues to put into supervision status according to Decision No.720/QĐ-SGDHCM dated November 02, 2023 by the Hochiminh Stock Exchange because the Company continued to violate information disclosure regulations after putting into warning status.
4. For warning status: The stock continues to put into warning status according to Decision No.562/QĐ-SGDHCM dated September 14, 2023 by the Hochiminh Stock Exchange because the Company delayed the submission of the 2023 reviewed semi-annual financial statements by more than 15 days compared to the specified time.
5. For warning status: The stock continues to put into warning status according to Decision No.485/QĐ-SGDHCM dated August 08, 2024 by the Hochiminh Stock Exchange

because the auditor has given a qualified opinion for the audited financial statements in 2023.

6. For warning status: The stock continues to put into warning status according to Decision No.486/QĐ-SGDHCM dated August 08, 2024 by the Hochiminh Stock Exchange because the undistributed profit after tax as of December 31, 2023 was VND - 588,077,162,453 according to the consolidated audited financial statements in 2023.