

CÔNG TY CỔ PHẦN SÔNG BA

SONG BA
JOINT STOCK COMPANY

Số/No: 71 /24/SBA-TCKT

CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM

Độc lập - Tự do - Hạnh phúc

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Đà Nẵng, ngày 23 tháng 02 năm 2024.

Da Nang, 23 February 2024.

CÔNG BỐ THÔNG TIN ĐỊNH KỲ
INFORMATION DISCLOSURE PERIODIC

Kính gửi/ To:

- Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission;*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange;*
- Sở Giao dịch chứng khoán TP.HCM/ *HoChiMinh Stock Exchange.*

1. Tên tổ chức/ *Organization name:* Công ty Cổ phần Sông Ba/ *Song Ba Joint Stock Company.*

- Mã chứng khoán/ *Securities Symbol:* SBA
- Địa chỉ trụ sở chính/ *Address:* 573 Núi Thành – Q. Hải Châu – TP. Đà Nẵng/ *573 Nui Thanh, Hai Chau District, Da Nang City.*
- Điện thoại/ *Telephone* : 0236.3653592 – 0236.2215592;
- Fax : 0236.3653593
- Email : sba2007@songba.vn

2. Nội dung thông tin công bố/ *Contents of disclosure:*

Báo cáo tình hình quản trị công ty năm 2023 (Bản tiếng Anh)/ *Report on corporate Governance of company of the year 2023 (English version).*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 23/02/2024 tại đường dẫn/ *This information was published on the company's website on 23/02/2024, as in the link: <https://songba.vn/quan-he-co-dong>*

Trang 1



Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Trân trọng/ *Yours truly,*

Nơi nhận/Recipient

- Như trên/As above
- TCHC/Administrative Department;
- Lưu: TC-KT, VTh/Save: Financial Accounting department; Documentary department.

Tài liệu đính kèm/ Attachment:

- Báo cáo tình hình quản trị công ty năm 2023 (Bản tiếng Anh)/ *Report on corporate Governance of company of the year 2023 (English version).*

**Đại diện tổ chức
Organization representative**

Người đại diện theo pháp luật/ *Legal representative*

**TỔNG GIÁM ĐỐC
General Director**



ĐINH CHÂU HIẾU THIÊN

**REPORT ON CORPORATE GOVERNANCE
of the year 2023**

To: - *The State Securities Commission;*
- *Viet Nam Exchange;*
- *Ho Chi Minh Stock Exchange.*

- *Organization name* : Song Ba Joint Stock Company;
- *Head office address* : 573 Nui Thanh, Hai Chau District, Da Nang City;
- *Telephone* : 0236.365 3592 – 0236.221 5592;
- *Fax* : 0236.365 3593;
- *Email* : sba2007@songba.vn;
- *Charter capital* : VND 604.882.610.000;
- *Securities Symbol* : **SBA**;
- *Governance model*: : General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director;
- *The implementation of internal audit*: Implemented.

I. Activities of the General Meeting of Shareholders.

No.	Resolution/Decision No.	Date	Content
1	Resolution No. 01/2023/NQ-DHDCD	21/04/2023	Resolution of the Annual General Meeting of Shareholders of 2023.

II. Board of Management's report of the year 2023.

1. Information about the members of the Board of Management (BOM).

No.	BOM's member	Position	The date becoming/ceasing to be the member of the Board of Directors		Note
			Date of appointment	Date of dismissal	
1	Mr. Thai Hong Quan	- Member - Chairman	30/06/2020 25/06/2021		Elected to hold the position of Chairman of the BOM from 25 June 2021 according to Resolution No. 03/21/NQ-HĐQT dated 25 May 2021 of the BOM's SBA

2	Mr. Dinh Chau Hieu Thien	Member	30/06/2020		
3	Mr. Pham Phong	Member	30/06/2020		
4	Mr. Nguyen Quang Hung	Member	30/06/2020	21/04/2023	
5	Mr. Hoang Xuan Qui	Member	21/04/2023		
6	Mr. Hoang Nam Son	Independent member	30/06/2020		

2. Meetings of the Board of Management (BOM)

No.	BOM's member	Number of meetings attended by Management	Attendance rate	Reasons for absence
1	Mr. Thai Hong Quan	5/5	100%	
2	Mr. Dinh Chau Hieu Thien	5/5	100%	
3	Mr. Pham Phong	5/5	100%	
4	Mr. Nguyen Quang Hung	2/2	100%	<i>Dismissed on 21/04/2023</i>
5	Mr. Hoang Xuan Qui	3/3	100%	<i>Appointed on 21/04/2023</i>
6	Mr. Hoang Nam Son	5/5	100%	

3. Supervision by the BOM over the Board of Directors: In accordance with the Operating Regulations of the Board of Management of SBA.

4. Activities of the Board of Directors' subcommittees (If any): No.

5. Resolutions/Decisions of the Board of Management of the year 2023

No.	Resolution/Decision No.	Date	Content
1	Resolution No. 01/23/NQ-HDQT	17/02/2023	Approval of business results of Quarter IV/2022, whole year 2022 and business plan of Quarter I/2023.
2	Resolution No. 02/23/NQ-HDQT	30/03/2023	Agree on expected program contents to be submitted to the General Meeting of Shareholders at the annual meeting in 2023.
3	Resolution No. 03/23/NQ-HDQT	10/04/2023	Agree on the content and reports to be submitted to the General Meeting of Shareholders at the annual meeting in 2023.
4	Resolution No. 04/23/NQ-HDQT	12/06/2023	Approval of business results of Quarter I/2023, business plan of Quarter II/2023 and other related content.
5	Resolution No. 05/23/NQ-HDQT	18/09/2023	Approval of business results of Quarter II/2023,



			business plan of Quarter III/2023 and other related content.
6	Resolution No. 06/23/NQ-HDQT	02/10/2023	Approval for registration of trading of bonds on the Stock Exchange privately placed bond trading system.
7	Resolution No. 07/23/NQ-HDQT	09/11/2023	Approving the plan to adjust the type of securities from conditionally transferable securities to freely transferable securities for SBA's employees
8	Resolution No. 05/23/NQ-HDQT	27/11/2023	Approval of business results of Quarter III/2023, business plan of Quarter IV/2023 and other related content.
9	Decision No. 01/23/QD-S3-HDQT	03/03/2023	Organizing the Annual General Meeting of Shareholders 2023.
10	Decision No. 02/23/QD/S3-HDQT	13/04/2023	Approving the consulting contract to prepare the Economic and Technical Report for the renovation of the 55-56 poles of the 35kV line - Khe Dien Power Plant with the Central Power Engineering Consulting Co., Ltd.
11	Decision No. 03/23/QD-S3-HDQT	20/04/2023	Approving the contract for leasing the infrastructure of Khe Dien 35kV line so that the 22kV line of Tam Phuc Power Plant shares the same poles and corridors with Bao Phuc Tam Co., Ltd.
12	Decision No. 04/23/QD-S3-HDQT	21/04/2023	Approving the contractor selection plan for Financial Statement Audit of Song Ba Joint Stock Company in 2023.
13	Decision No. 04a/23/QD-S3-HDQT	12/05/2023	Approving the plan to organize the selection of contractors to audit the financial statements of Song Ba Joint Stock Company in 2023.
14	Decision No. 05/23/QD-S3- HDQT	16/05/2023	Approval of related contents for the implementation of the project: Renovating and upgrading the dining area, shift rest house for employees of Khe Dien hydroelectric power plant.
15	Decision No. 06/23/QD-S3-HDQT	26/05/2023	About Dividend payment in cash for in 2022 second for SBA's Shares.
16	Decision No. 07/23/QD-S3-HDQT	30/05/2023	About the re-Appointment of Deputy Business General Director of Song Ba Joint Stock Company
17	Decision No. 08/23/QD-S3- HDQT	01/06/2023	Company executive bonus in 2022.
18	Decision No. 10/23/QD-S3-HDQT	13/06/2023	Select the entity to audit the financial statements of Song Ba Joint Stock Company in 2023
19	Decision No. 11/23/QD-S3-HDQT	19/06/2023	About the re-Appointment Director of Branch of Song Ba Joint Stock Company – Khe Dien Hydropower Plant
20	Decision No. 12/23/QD-S3-HDQT	19/06/2023	About the re-Appointment of Chief Accountant of Song Ba Joint Stock Company.

21	Decision No. 13/23/QD-S3-HDQT	26/07/2023	Approve the 2023 salary plan of the General Director of Song Ba Joint Stock Company
22	Decision No. 14/23/QD-S3-HDQT	01/08/2023	Approve the 2023 internal audit plan at Song Ba Joint Stock Company
23	Decision No. 15/23/QD-S3-HDQT	15/08/2023	Divide responsibilities among members of the Board of Management of Song Ba Joint Stock Company
24	Decision No. 16/23/QD-S3-HDQT	22/08/2023	Approve the final settlement of investment capital for the completed Song Tranh 1 Hydropower Project
25	Decision No. 17/23/QD-S3-HDQT	25/12/2023	Agree to approve the policy of liquidation of fixed asset: Mitsubishi Pajero car, License plate: 43H - 1860.
26	Decision No. 18/23/QD-S3-HDQT	26/12/2023	Adopt the 2023 internal audit results at Song Ba Joint Stock Company.

III. Supervisory Board of the year 2023.

1. Information about members of Supervisory Board.

No.	Supervisory Board's member	Position	Day becoming/no longer member of the Supervisory Board		Note
			Date of appointment	Date of dismissal	
1	Ms. Phan Thi Anh Dao	Head of Supervisory Board	30/06/2020		Master of Finance, Bachelor of Economics - in Accounting
2	Ms. Huynh Thi Long	Member of Supervisory Board	30/06/2020		Bachelor of Economics - in Accounting
3	Mr. Hoang Xuan Qui	Member of Supervisory Board	29/04/2022	21/04/2023	Master of Business Administration, Bachelor of Economics - in Accounting
4	Ms. Tran Nguyen Anh Thu	Member of Supervisory Board	21/04/2023		Master of Finance - Banking

2. Meetings of Board of Supervisors

No.	Supervisory Board's member	Number of meetings attended	Attendance rate	Reasons for absence
1	Ms. Phan Thi Anh Dao	3/3	100%	
2	Ms. Huynh Thi Long	3/3	100%	

3	Mr. Hoang Xuan Qui	1/1	100%	Dismissed on April 21, 2023
4	Ms. Tran Nguyen Anh Thu	2/2	100%	Appointed on April 21, 2023

3. Surveillance activities of the Supervisory Board toward the Board of Management, Board of Directors and shareholders: In accordance with the Organization and Operation Regulations of SBA.

4. The coordination among the Supervisory Board with the Board of Management, Board of Directors and different managers: In accordance with the Organization and Operation Regulations of SBA.

5. Other activities of the Supervisory Board (if any): No.

IV. Directors Board

No.	Member of Directors Board	Position	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Directors
1	Mr. Dinh Chau Hieu Thien	General Director	30/03/1966	Master of Business Administration (MBA) - Electrical Engineer	Appointed on 01/07/2021
2	Mr. Nguyen The Duy	Vice General Director	14/04/1980	Electrical Engineer	Appointed on 01/06/2023
3	Mr. Phan Dinh Thanh	Vice General Director	20/12/1982	Mechanical Engineer	Appointed on 01/10/2022

V. Chief accountant

Name	Date of birth	Qualification	Appointment
Mr. Pham Thai Hung	25/09/1979	Master of Finance – Banking; Bachelor of Economics, major in accounting	Appointed on 01/07/2019 re-Appointment 01/07/2023

VI. Training on corporate governance:

Training courses on corporate governance which members of Board of Management, members of the Supervisory Board, Director (CEO), other managers and company secretary was involved in accordance with regulations on corporate governance in detail: **No.**

VII. List of affiliated persons of the public company (of the year 2023 report) and transactions of affiliated person of the Company with the Company:

- 1. List of affiliated persons of the Company:** List of affiliated persons of the Company, List of internal person and their affiliated person until 31/12/2023 attached.
- 2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal person and related person of internal person:**

No.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	Central Power Corporation (EVNCPC)	Major shareholder, State shareholder under the Vietnam Electricity Group (EVN)	0400101394, date of issue 24/03/2010	No. 78A - Duy Tan - Hoa Thuan Dong Ward - Hai Chau District - Da Nang City	Generated according to the daily electricity generation of the year 2023	Resolution No. 01/2023/NQ-DHĐCD ngày 21/04/2023 of the General Meeting of Shareholders	Revenue (sales of electricity): 71,925,354,243 VND	
						- Resolution No. 08/22/NQ-HDQT 24/11/2022 of the Board of Management's Song Ba JSC - Resolution No. 01/2023/NQ-DHĐCD of the Annual General Meeting of Shareholders	Dividends paid: 23.645.000.000; Dividends payable 42,561,000,000 VND	
2	Electric Power Trading Company	Subsidiary of Vietnam Electricity Corporation	0100100079 -073	No. 11, Cua Bac Street, Truc Bach Ward, Ba Dinh District, City. Hanoi	Generated according to the daily electricity generation of the year 2023	- Resolution No. 01/2023/NQ-DHĐCD of the Annual General Meeting of Shareholders	Revenue (sales of electricity): 300,813,264,080 VND	
3	Bao Phuc Tam Co., Ltd	Related party of member of the Board of Directors	0401736541	Xuan Hoa 2, Phuoc Ninh commune, Nong Son district, Quang Nam province,	Of the year 2023	Decision No 03/23/QD-S3-HDQT, date 20/04/2023 of the Board of Management's	Revenue (sales of electricity): 384,395,858 VND	

4	Branch of central power corporation - Quang Nam Power Company	Company under EVNCPC	0400101394-005	05 Tran Hung Dao, Tan Thanh Ward, City Tam Ky, Quang Nam province	Of the year 2023	Resolution No. 08/22/NQ-HDQT date 24/11/2022 of the Board of Management's	Service revenue: 52,823,100 VND	
5	Central power Engineering consulting Company - Branch of central power corporation	Company under EVNCPC	0400101394-031	30 Le Thanh Ton - Hai Chau 1 Ward, Hai Chau District, City Danang	Of the year 2023	Decision No 02/23/QD-S3-HDQT, date 13/04/2023 of the Board of Management's	Design consulting costs: 77,431,995 VND	

3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power: No.

4. Transactions between the company and other objects

4.1. Transactions between the company and the company that members of Board of Management, members of the Supervisory Board, Director (CEO) has been a founding member or a members of Board of Management, Director (CEO) in three (03) years (calculated at the time of reporting): **No**

4.2. Transactions between the company and the company that related person of members of Board of Management, members of the Supervisory Board, Director (CEO) as a member of Board of Management, Director (CEO): **No.**

4.3. Other transactions of the company (if any) may be beneficial material or immaterial for members of Board of Management, members of the Supervisory Board, Director (CEO): **No.**

VIII. Transactions of internal person and related person of internal person (of the year 2023 report):

1. List of internal person and their affiliated person: List of affiliated persons of the Company, List of internal person and their affiliated person 31/12/2023 attached.

2. Transactions of internal person and affiliated person on shares of the listed company:

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Hoang Xuan Qui - Member of Supervisory Board	Internal persons	10,000	0.0165 %	34,500	0.0570 %	Buy shares of SBA
2	Ms. Pham Thi Mai Hoa	Related person of Mr. Phan Dinh Thanh - Vice General Director	5,400	0.0089%	0	0%	Sell shares of SBA

IV. Other significant issues: No.

Recipients:

- As above;
- BOM member;
- Website SBA;
- Saved at: Documentary department.

**FOR AND ON BEHALF OF
THE BOARD OF MANAGEMENT
CHAIRMAN**



THAI HONG QUAN



**SONG BA
JOINT STOCK COMPANY**

**LIST OF AFFILIATED PERSONS OF THE COMPANY, LIST OF INTERNAL PERSONS AND THEIR AFFILIATED
PERSON UNTIL 31/12/2022**

*(Attached to RREPORT ON CORPORATE GOVERNANCE OF THE YEAR 2023
NO. 02/24/SBA-HDQT dated 23 February 2024)*

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
A.	THE LIST OF AFFILIATED PERSONS					
	Central Power Corporation - Founding shareholder			23,645,000	39.0902	
1	Thai Hong Quan	Chairman of the BOM	Representative founding shares holder	11,822,500	19.5451	
2	Dinh Chau Hieu Thien	Member	Representative founding shares holder	7,093,500	11.7271	
3	Hoang Xuan Qui	Member	Representative founding shares holder	4,729,000	7.8180	
B.	INTERNAL PERSONS LIST					
I.	BOARD OF MANAGEMENT					
1	Thai Hong Quan	Chairman		-	-	
2	Dinh Chau Hieu Thien	Member		21,600	0.0357	
3	Pham Phong	Member		325,175	0.5376	
4	Hoang Xuan Qui	Member		34,500	0.0570	<i>Appointed on 21/04/2023</i>
5	Nguyen Quang Hung	Member		4,620	0.0076	<i>Dismissed on 21/04/2023</i>
6	Hoang Nam Son	Member		44,909	0.0742	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
II. SUPERVISORY BOARD						
1	Phan Thi Anh Dao	Head of Supervisory Board		2,550	0.0042	
2	Huynh Thi Long	Member				
3	Tran Nguyen Anh Thu	Member				<i>Appointed on 21/04/2023</i>
III. BOARD OF DIRECTORS						
1	Dinh Chau Hieu Thien	General Director		-	-	
2	Nguyen The Duy	Vice General Director of Business		4,596	0.0076	
3	Phan Dinh Thanh	Vice General Director of Technology		8,350	0.0138	
IV. CHIEF ACCOUNTANT						
1	Pham Thai Hung	Chief Accountant - The disclosure of SBA		3,600	0.0060	
V. OTHER INTERNAL PERSONS LIST						
1	Tran Ngoc Hoanh	Branch Manager of Song Ba Joint Stock Company – Dam Safety Inspection and Consulting Center		1,900	0.0031	
2	Phan Dinh Long	Secretary of Song Ba Joint Stock Company		2,703	0.0045	
3	Nguyen Tuan Dat	Branch Manager of Song Ba Joint Stock Company – Krong H'Nang Hydropower Plant		1,750	0.0029	
4	Phan Minh Thach	Branch Manager of Song Ba Joint Stock Company – Khe Dien Hydropower Plant		5,000	0.0083	<i>Appointed on 01/07/2023</i>

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
C	THE LIST OF INTERNAL PERSON AND THEIR AFFILIATED PERSONS					
I.	List of affiliated persons of Mr. Thai Hong Quan - Chairman of the BOM					
1	Thai Hoang		Father			<i>Dead</i>
2	Tong Thi Song Huong		Mother			<i>Dead</i>
3	Doan Thi Binh Quyen		Wife			
4	Thai Hong Diem Hanh		Son			
5	Thai Hong Quy		Daughter			
6	Thai Hong Ky		Brother			<i>Dead</i>
7	Thai Hong Linh		Young brother	15,891	0.0263	
8	Thai Hong Ngoc		Young brother			<i>Dead</i>
9	Doan Thai		Father-in-law			<i>Dead</i>
10	Tran Thi Yem		Mother-in-law			<i>Dead</i>
11	Dinh Gia Thanh		Son-in-law:			
12	Chau Thi Hong Tuyen		Sister-in-law			
13	Nguyen Thi Thuy		Sister-in-law			
II.	The list of affiliated persons of Mr. Dinh Chau Hieu Thien - Member of the BOM cum General Director					
1	Dinh Tho		Father	-	-	
2	Chau Thi Hoa		Mother	-	-	<i>Dead</i>
3	Phan Van Khiem		Father-in-law			
4	Nguyen Thi Thi		Mother-in-law			
5	Nguyen Thi Hong		Stepmother	-	-	
6	Phan Thi Dieu Anh		Wife	-	-	
7	Dinh Chau Minh Phuc		Daughter	-	-	
8	Dinh Chau Minh Tri		Son	-	-	
9	Dinh Chau Hieu Tam		Brother	-	-	
10	Nguyen Hai Quynh		Sister-in-law			
11	Dinh Chau Tam Hao		Sister	-	-	
12	Le Nguyen Huan		Brother-in-law			
13	Dinh Chau Tam Huong		Young sister	-	-	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
14	Lê Van Quang		Brother-in-law			
15	Dinh Chau Hieu Thuan		Young brother	-	-	
16	Nguyen Thi Be		Sister-in-law			
17	Dinh Chau Tam Hanh		Young sister	-	-	
18	Dang Ba Lam		Brother-in-law			
19	Dinh Chau Hieu Toan		Young brother	-	-	
20	Dinh Thi Lieu		Sister-in-law			
21	Dinh Chau Tam Huong		Young sister	-	-	
22	Vu Hong Ha		Brother-in-law			
23	Dinh Chau Hieu Nguyen		Young brother	-	-	
24	Nguyen Tran Uyen Thao		Sister-in-law			
III.	List of affiliated persons of Mr. Pham Phong - Member of the BOM					
1	Pham Luong	-	Father	-	-	
2	Dinh Thi Lot	-	Mother	-	-	<i>Dead</i>
3	Ho Quang Phuroc	-	Father-in-law			<i>Dead</i>
4	Vo Thi Thu Ha		Mother-in-law			
5	Ho Thi Thu Huong	-	Wife	-	-	
6	Pham Quang Phuc Bao	-	Son	-	-	
7	Pham Ho Khanh Nhu	-	Daughter	-	-	
8	Pham Thi Ngoc	-	Young sister	-	-	
9	Pham Thi Phe	-	Young sister	-	-	
10	Pham Van Manh	-	Young brother	-	-	
11	Pham Minh Chau	-	Young sister	-	-	
12	Pham Dinh Khanh	-	Young brother	-	-	
13	Pham Thi Anh Nguyet	-	Young sister	-	-	
14	Pham Quoc Hung	-	Young brother	-	-	
VII.	List of affiliated persons of Mr. Hoang Xuan Qui - Member of the Supervisory Board					
1	Hoang An		Father			
2	Ho Thi Duong		Mother			
3	Hoang Bien Thuy		Young brother			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4	Hoang Bien Cuong		Young brother			
5	Tran Thi Thu Nguyet		Wife			
6	Hoang Duc Dung		Son			
7	Hoang Duc Duy		Son			
8	Tran Hai Hang		Father-in-law			<i>Dead</i>
9	Nguyen Thi Dang		Mother-in-law			
V.	List of affiliated persons of Mr. Nguyen Quang Hung - Member of the BOM					
1	Nguyen Quang Cuong		Father			
2	Nguyen Thi Lien		Mother			
3	Nguyen Thanh Huong		Father-in-law			<i>Dead</i>
4	Nguyen Thi Siem		Mother-in-law			<i>Dead</i>
5	Nguyen Thi Thanh Hang		Wife	3,150	0.0052	
6	Nguyen Quang Huy		Son			
7	Nguyen Chau Giang		Daughter			
8	Nguyen Ai Nhi		Young sister			
9	Le Trung Tung		Brother-in-law			
VI.	List of affiliated persons of Mr. Hoang Nam Son - Member of the BOM					
1	Hoang Quang Minh		Father			
2	Le Thi Tam		Mother			
3	Hoang Le Nam Hai		Son			
4	Hoang Le Nam Vu		Son			
5	Hoang Bach Thao		Brother			
6	Hoang Thi Thanh Thuy		Young sister			
7	Hoang Thi Ngoc Yen		Young sister			
8	Hoang Thi Hong Nhan		Young sister			
9	Pham Thi Kim Son		Sister-in-law			
10	Nguyen Khanh Quoc		Brother-in-law			
11	Nguyen Phroc Hung		Brother-in-law			
VII.	List of affiliated persons of Ms. Phan Thi Anh Dao - Head of Supervisory Board					
1	Do Minh Thong	-	Husband	8	0.0000	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2	Do Bach Duong	-	Daughter	-	-	
3	Do Minh Hoang	-	Son	-	-	
4	Do Minh Tùng	-	Son			
5	Phan Thanh Cham	-	Father	-	-	
6	Nguyen Thi Lanh	-	Mother	-	-	
7	Hoang Minh Thai		Father-in-law			<i>Dead</i>
8	Nguyen Thi Kinh		Mother-in-law			
9	Phan Anh Tuan	-	Young brother	-	-	
10	Phan Tuan Anh	-	Young brother	-	-	
VIII.	List of affiliated persons of Ms. Huynh Thi Long - Member of the Supervisory Board					
1	Huynh Van Van		Father			
2	Nguyen Thi Lien		Mother			
3	Huynh Văn Lai		Brother			
4	Huynh Thi Ly		Sister			
5	Huynh Thi Be		Sister			
6	Huynh Van Len		Young brother			
7	Le Huy Tu		Husband			
8	Le Phuong Uyen		Daughter			
9	Le Huy Khai		Son			
10	Le Van Mua		Father-in-law			
11	Ngo Thi Minh Ngoc		Mother-in-law			
12	Phan Minh Đức		Brother-in-law			
13	Nguyen Thi Le Mai		Sister-in-law			
IX.	List of affiliated persons of Ms. Tran Nguyen Anh Thu - Member of the Supervisory Board					
1	Tran Dinh Thong		Father			
2	Nguyen Phuong Phi		Mother			
3	Tran Thien Khoa		Young brother			
4	Tran Bao Nguyen Phuc		Young brother			
5	Nguyen Van Tri Quang		Husband			
6	Nguyen-Hoang Quan		Son			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7	Nguyen Hoang Minh		Father-in-law			
8	Pham Thi Thanh Dung		Mother-in-law			
X.	List of affiliated persons of Mr. Nguyen The Duy - Vice General Director of Business					
1	Nguyen Sau		Father	-	-	<i>Dead</i>
2	Duong Thi Cuc		Mother	-	-	
3	Nguyen The Phuong		Brother	-	-	
4	Nguyen The Phuoc		Young brother	-	-	
5	Truong Thi Thanh Tam		Wife	-	-	
6	Nguyen Thanh Ly Chau		Daughter	-	-	
7	Nguyen Thanh Khanh Chau		Daughter	-	-	
8	Truong Cuong		Father-in-law			
9	Le Thi Ca		Mother-in-law			
10	Le Thi Hong Ngoan		Sister-in-law			
11	Tran Thi Nguyen		Sister-in-law			
XI.	List of affiliated persons of Mr. Phan Dinh Thanh - Vice General Director of Technology					
1	Phan Dinh Quy		Father			
2	Dang Thi Loc		Mother			
3	Phan Thi Huyen		Sister			
4	Phan Thi Tham		Sister			
5	Phan Dinh Ba		Brother			
6	Phan Dinh Tai		Young brother			
7	Phan Dinh Thinh		Young brother			
8	Nguyen Thi Xuan Khanh		Wife			
9	Phan Dinh Nhan		Son			
10	Phan Dinh Nghia		Son			
11	Nguyen Viet Cong		Father-in-law			
12	Tran Thi Linh		Mother-in-law			
13	Huynh Nam Vinh		Brother-in-law			
14	Mai Xuan Cong		Brother-in-law			
15	Dang Thi Duyen		Sister-in-law			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
16	Pham Thi Mai Hoa		Sister-in-law			
17	Than Thi Tuyet		Sister-in-law			
XII.	List of affiliated persons of Mr. Pham Thai Hung - Chief Accountant					
1	Pham Xuan Gap	-	Father	-	-	<i>Dead</i>
2	Le Thi Lan	-	Mother	-	-	<i>Dead</i>
3	Nguyen Tien Thinh		Father-in-law			<i>Dead</i>
4	Doan Thi Minh		Mother-in-law			
5	Nguyen Thi Ngoc Thuy	-	Wife	-	-	
6	Pham Nguyen Vinh Khang	-	Son	-	-	
7	Pham Nguyen Vinh Trong	-	Son	-	-	
8	Pham Thai Hoang	-	Brother	-	-	
9	Pham Thai Ha	-	Brother	-	-	<i>Dead</i>
10	Pham Thanh Kim Hue	-	Young sister	-	-	
11	Pham Thi Lan Huong	-	Young sister	-	-	
12	Vo Thi Dung		Sister-in-law			
13	Le Thi Thu		Sister-in-law			
14	Dang Nam An		Brother-in-law			
15	Bui Viet Thang		Brother-in-law			
XIII.	List of affiliated persons of Mr. Tran Ngoc Hoanh - Branch Manager of Song Ba Joint Stock Company – Dam Safety Inspection and Consulting Center					
1	Tran Ngoc Hue		Father			
2	Nguyen Thi Cuc		Mother			
3	Vo Dinh Thanh		Father-in-law			
4	Vo Thi Vung		Mother-in-law			
5	Vo Hong Thai		Wife			
6	Tran Ngoc Phuong Huong		Son			
7	Tran Ngoc Minh Hoang		Son			
8	Tran Thi Ngoc Lan		Sister			
9	Tran Ngoc Hai		Brother			
10	Tran Ngoc Hong		Brother			
11	Tran Ngoc Ha		Brother			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12	Tran Thi Ngoc Hung		Young sister			
13	Nguyen Tan Hay		Brother-in-law			
14	Ho Thi Minh Tam		Sister-in-law			
15	Tran Thi Thu		Sister-in-law			
XIV.	List of affiliated persons of Mr. Phan Dinh Long - Secretary of Song Ba Joint Stock Company					
1	Phan Dinh Luong		Father			
2	Doan Thi Kim Lan		Mother			
3	Phan The Toan		Father-in-law			
4	Tran Thi Uyen		Mother-in-law			<i>Dead</i>
5	Phan Thi Uyen Phuong		Wife			
6	Phan Phuong Thuy		Daughter			
7	Phan Thanh Nam		Son			
8	Phan Dinh Loc		Brother			
9	Truong Thuy Oanh		Sister-in-law			
XIV	List of affiliated persons of Mr. Nguyen Tuan Dat - Branch Manager of Song Ba Joint Stock Company – Krong H'Nang Hydropower Plant					
1	Nguyen Duoc		Father			
2	Nguyen Thi Thiet		Mother			
3	Tran Dinh Sang		Father-in-law			
4	Luu Thi Can		Mother-in-law			
5	Tran Luu Phuong Thuy		Wife			
6	Nguyen Tran Minh Khue		Daughter			
7	Nguyen Tran Minh Tue		Daughter			
8	Nguyen Tran Minh Nhien		Daughter			
9	Nguyen Thi Thuy Trang		Young sister			
10	Nguyen Tuan Hoang		Young brother			
11	Nguyen Tuan Long		Young brother			
12	Nguyen Tuan Khai		Young brother			
13	Bui Phi Lai		Brother-in-law			
14	Quach Thi Ngoc Hien		Sister-in-law			
15	Dang Le Thanh Hoai		Sister-in-law			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
XVI.	List of affiliated persons of Mr. Phan Minh Thach - Branch Manager of Song Ba Joint Stock Company – Khe Dien Hydropower Plant					
1	Phan Thi Song Thuong		Wife			
2	Phan Tuyen Trinh		Daughter			
3	Phan Minh Thien		Son			
4	Phan Thong		Father			
5	Nguyen Thi Thu		Mother			
6	Phan Minh Thuong		Brother			
7	Phan Minh Trung		Brother			
8	Phan Minh Toan		Brother			
9	Phan Thi Bich Thuy		Sister			
10	Ho Hoan Kiem		Brother-in-law			
11	Đang Thi Hong		Father-in-law			
12	Phan Quang Trung		Mother-in-law			
13	Nguyen Thi Quynh Van		Sister-in-law			
14	Nguyen Thi Hue Huong		Sister-in-law			
15	Nguyen Thi Hong Dien		Sister-in-law			