

No.: 742/2023/CV-SSIHO
Ref: Resolution No. 08/2023/NQ-HĐĐT and
Resolution No. 10/2023/NQ-HĐĐT of the Board of
Investment (English version)

Ho Chi Minh City, June 26, 2023

EXTRAORDINARY INFORMATION DISCLOSURE

To:

- **The State Securities Commission**
- **Vietnam Stock Exchange**
- **Hochiminh Stock Exchange**
- **Hanoi Stock Exchange**

1. Organization name: **SSI SECURITIES CORPORATION**
- Ticker: **SSI**
 - Address: **72 Nguyen Hue, Ben Nghe Ward, District 1, HCMC**
 - Telephone: **028-38242897**
 - Fax: **028-38242997**
 - Email: **congbothongtin@ssi.com.vn**
 - Website: **<https://www.ssi.com.vn/>**
2. Contents of disclosure:
- English version of Resolution No. 08/2023/NQ-HĐĐT dated June 22, 2023 of the Board of Investment of SSI Securities Corporation on approval of the credit line on VND 6,000,000,000,000 at Vietnam Joint Stock Commercial Bank for Industry and Trade – Thanh An Branch.
 - English version of Resolution No. 10/2023/NQ-HĐĐT dated June 23, 2023 of the Board of Investment of SSI Securities Corporation on approval of the credit line on VND 10,000,000,000,000 at Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.
3. This information was posted on SSI website on June 26, 2023 at this link www.ssi.com.vn.

We hereby declare to be responsible for the accuracy and completeness of the above information.

Attached documents:

- English version of Resolution No. 08/2023/NQ-HĐĐT of the Board of Investment;
- English version of Resolution No. 10/2023/NQ-HĐĐT of the Board of Investment.

Organization representative
Party authorized to disclose information



Nguyen Thi Thanh Ha
Chief Financial Officer



No.: 08/2023/NQ-HĐĐT

Ho Chi Minh City, June 22, 2023

**RESOLUTION
THE BOARD OF INVESTMENT**

Re: a credit line at Vietnam Joint Stock Commercial Bank for Industry and Trade – Thanh An Branch

**THE BOARD OF INVESTMENT
SSI SECURITIES CORPORATION**

Pursuant to:

- *The Charter of SSI Securities Corporation;*
- *Operation Regulation of the Board of Investment;*
- *Meeting Minutes of the Board of Investment on June 22, 2023.*

RESOLVED THAT

Article 1: Approval on a credit line at Vietnam Joint Stock Commercial Bank for Industry and Trade – Thanh An Branch (Vietinbank Thanh An) as below:

1. Approve of a credit line at Vietinbank Thanh An with a maximum amount of VND 6,000,000,000,000 (In words: Six thousand billion dong).

Purposes: Investment and trading in Government Bond, Government-guaranteed Bond, Certificates of Deposit, including disbursement to offset the cost of purchasing Government Bond, Government-guaranteed Bond, Certificates of Deposit arising within 30 working days.

2. Approve of SSI Securities Corporation - Hanoi Branch using the entire credit line provided by Vietinbank Thanh An. SSI Securities Corporation - Hanoi Branch is entitled to sign and seal agreements related to borrowing, mortgage/pledge at Vietinbank Thanh An.
3. Approve of using assets legally owned by SSI Securities Corporation - Hanoi Branch stated in the Mortgage Agreement (or agreement's appendix) between SSI Securities Corporation – Hanoi Branch and Vietinbank Thanh An to secure all debt repayment obligations of SSI Securities Corporation at Vietinbank.
4. Agree to use revenue, profit and other receivables of the Company to fulfill debt repayment obligations to Vietinbank Thanh An.
5. Authorize Ms. Nguyen Thi Thanh Ha - Position: Chief Financial Officer, or Ms. Nguyen Vu Thuy Huong - Position: Senior Managing Director – Treasury & Principal Investment or Mr. Duong Minh Duc - Position: Director of Money Market Desk representing SSI Securities Corporation – Hanoi Branch to sign credit agreements, debt acceptance agreements, guaranteed asset value agreements, valuation minutes, mortgage/guarantee agreements, other agreements or



documents related to the granting of credit and the mortgage/pledge of assets at Vietinbank Thanh An.

Article 2: This Resolution comes into effect from signing date. Members of the Board of Investment and related individuals, departments are responsible for the implementation of this Resolution.

Recipients:

- As Article 2;
- Archives.

ON BEHALF OF THE BOARD OF INVESTMENT
CHAIRMAN



NGUYEN DUY HUNG





No.: 10/2023/NQ-HDDT

Ho Chi Minh City, June 23, 2023

**RESOLUTION
THE BOARD OF INVESTMENT**

Re: a credit line at Vietnam Joint Stock Commercial Bank for Industry and Trade - Hanoi City Branch

**THE BOARD OF INVESTMENT
SSI SECURITIES CORPORATION**

Pursuant to:

- *The Charter of SSI Securities Corporation;*
- *Operation Regulation of the Board of Investment;*
- *Meeting Minutes of the Board of Investment on June 23, 2023.*

RESOLVED THAT

Article 1: Approval on a credit line at Vietnam Joint Stock Commercial Bank for Industry and Trade - Hanoi City Branch (the Bank) as below:

1. Approve of the plan of a loan, guarantee at the Bank with the balance at any time not exceeding VND 10,000,000,000,000 (In words: Ten thousand billion dong), purposes as follows:
 - Short-term loan: Investment and trading of Government bonds, Certificates of Deposit including disbursement to offset the costs of purchasing Government bonds and Certificates of Deposit including arising within 30 working days;
 - Overdraft loan: Supplementing working capital for business activities (except for the purpose of investing and trading in stocks and corporate bonds);
 - Loan guarantee for the purpose of serving business activities of the Company.
2. Approve of mortgage/pledge assets legally owned by SSI Securities Corporation (including but not limited to Contracts of Deposit, Certificates of Deposit signed by SSI Securities Corporation, SSI Securities Corporation – Hanoi Branch) stated in the Mortgage/Pledge Agreement (agreement's appendix) between SSI Securities Corporation and the Bank to secure all debt repayment obligations of SSI Securities Corporation with the Bank under loan agreements.
3. Approve of using revenue, profit and other receivables of the Company to fulfill debt repayment obligations to the Bank.
4. Approve of Mr. Nguyen Hong Nam - Position: Chief Executive Officer or Ms. Nguyen Thi Thanh Ha - Position: Chief Financial Officer or Ms. Nguyen Vu Thuy Huong – Position: Senior Managing Director – Treasury & Principal Investment or Mr. Duong Minh Duc – Position: Director of Money Market Desk signing loan agreement, pledge agreement, guarantee agreement of SSI Securities Corporation with the Bank, including but not limited to: Loan agreement, pledge agreement, guarantee agreement, other documents related to transactions at the Bank in accordance with law and SSI Securities Corporation.



