

Code **TCB**  
 Company name Vietnam Technological and Commercial Joint Stock Bank  
 Date 09/09/2021  
 Subject List of internal persons & related persons in the stock issuance under ESOP

**Content:**

Vietnam Technological and Commercial Joint Stock Bank announces the list of internal persons & related persons registering to buy shares in the stock issuance under ESOP as follows:

| No. | Full name        | Position                                 | Volume before trading<br>(share) | % Ownership ratio before trading | Distributed volume (share) | Volume after trading (share) | % ownership ratio after trading |
|-----|------------------|--|----------------------------------|----------------------------------|----------------------------|------------------------------|---------------------------------|
| 1   | Do Tuan Anh      | Deputy Chairman of BOD                   | 1,287,542                        | 0.0367%                          | 200,000                    | 1,487,542                    | 0.0424%                         |
| 2   | Nguyen Tuan Minh | Person in charge of Corporate Governance | 110,745                          | 0.0032%                          | 28,483                     | 139,228                      | 0.0040%                         |
| 3   | Phung Quang Hung | Deputy CEO                               | 1,127,867                        | 0.0322%                          | 300,000                    | 1,427,867                    | 0.0407%                         |
| 4   | Phan Thanh Son   | Deputy CEO                               | 2,081,873                        | 0.0594%                          | 267,367                    | 2,349,240                    | 0.0669%                         |
| 5   | Pham Quang Thang | Deputy CEO                               | 975,222                          | 0.0278%                          | 309,350                    | 1,284,572                    | 0.0366%                         |

|              |                   |   |                  |                |                  |                  |                |
|--------------|-------------------|---|------------------|----------------|------------------|------------------|----------------|
| 6            | Nguyen Thu Lan    | Related person to Independent member of BOD | 115,000          | 0.0033%        | 50,000           | 165,000          | 0.0047%        |
| 7            | Thai Ha Linh      | Authorized Person to disclose information   | 1,012,464        | 0.0289%        | 27,029           | 1,039,493        | 0.0296%        |
| 8            | Bui Thi Khanh Van | Chief Accountant                            | 18,845           | 0.0005%        | 9,400            | 28,245           | 0.0008%        |
| 9            | Dang Ngoc Canh    | Related person to Head of Supervisory Board | 21               | 0.000001%      | 21,475           | 21,496           | 0.0006%        |
| <b>Total</b> |                   |   | <b>6,729,579</b> | <b>0.1920%</b> | <b>1,213,104</b> | <b>7,942,683</b> | <b>0.2262%</b> |

- Trading period: from September 13, 2021 to September 15, 2021.